

# INTRODUCTION TO CONTINUUM MECHANICS

Laboratori de Càlcul Numèric (LaCàN)  
 Dep. de Matemàtica Aplicada III  
 Universitat Politècnica de Catalunya  
 www-lacan.upc.es



## Continuum Media

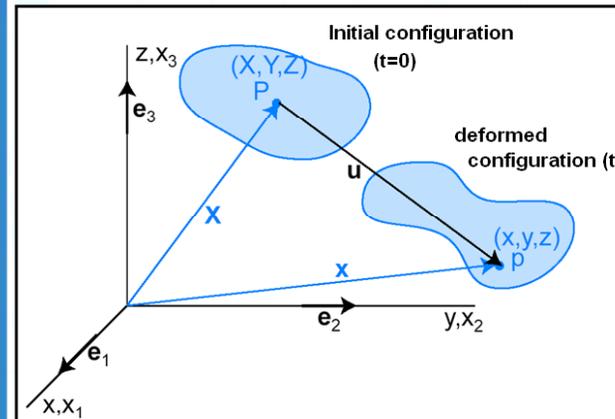
- Material that can be analysed at the macroscopic level.
- There is no need to consider macroscopic discontinuities.
- The quantities and properties of the medium (temperature, density, displacement...) can be represented by continuous functions with the required smoothness.
- Continuum media:
  - solids (with own shape)
  - fluids (liquids, gases)
  - ...

## Continuum mechanics

1. Equations of motion
2. Kinematics:
  - Lagrangian or material description
  - Eulerian or spatial description
3. Deformations
  - Small deformations
4. Stress tensor

**OBJETIVE:** write the equilibrium equations that govern continuum media

## EQUATION OF MOTION



Initial position  
 $\mathbf{X} = X_i \mathbf{e}_i$

Position vector  
 $\mathbf{x} = x_i \mathbf{e}_i$

Displacement  
 $\mathbf{u} = \mathbf{x} - \mathbf{X}$

**Equation of motion:**

$$\mathbf{x} = \varphi(\mathbf{X}, t)$$

abuse of notation

$$\mathbf{x} = \mathbf{x}(\mathbf{X}, t)$$

- For a fixed  $t$ ,  $x = \varphi(\cdot, t)$  must satisfy:

- Biunivoc:  $\det \frac{\partial \mathbf{x}}{\partial \mathbf{X}} \neq 0 \quad \forall t$

- Continuity and differentiability

- $\varphi(\mathbf{X}, 0) = \mathbf{X}$

## KINEMATICS

### Lagrangian or material description (Euler)

- Physical quantities (and equations) are described with **material coordinates  $\mathbf{X}$** . For example,  $M(\mathbf{X}, t)$  represents the physical quantity of the particle that at  $t=0$  was at position  $\mathbf{X}$ , and as a function of time.



If we fix  $\mathbf{X}$ , we follow the same material particle at different points of the space.

- Usually employed in solids

### Eulerian or spatial description (Bernoulli & D'Alembert)

- The physical quantities (and equations) are described with **spatial coordinates  $\mathbf{x}$** . For example,  $m(\mathbf{x}, t)$  represents a physical quantity at time  $t$  at the spatial position  $\mathbf{x}$

If we fix  $\mathbf{x}$ , we are observing the same spatial point, where different material particles may be located at different times.

- Usually employed in fluids

- Once we know the equation of motion, we can swap from the Eulerian description,  $m(\mathbf{x}, t)$ , to the Lagrangian one,  $M(\mathbf{X}, t)$



Example:

$$\mathbf{x} = \varphi(\mathbf{X}, t) \equiv \begin{cases} x = X + Yt + Zt^2 \\ y = Xt + Y + Zt^2 \\ z = Xt + Yt^2 + Z \end{cases}$$

$$m(\mathbf{x}, t) = (x + y + z)t$$

Compute  $M(\mathbf{X}, t)$ . Compute  $m((1,2,3), 1)$  and  $M((1,2,3), 1)$  ¿what does it mean for each case?

## Time derivatives (material and spatial)

Physical quantity

- Lagrangian or material description  $M(\mathbf{X}, t)$

material time derivative  $\frac{d \cdot}{dt} := \frac{\partial \cdot}{\partial t} \Big|_{\mathbf{x}}$

time variation of a material particle

- Eulerian or spatial description  $m(\mathbf{x}, t)$

spatial time derivative  $\frac{\partial \cdot}{\partial t} := \frac{\partial \cdot}{\partial t} \Big|_{\mathbf{x}}$

time variation of a fix spatial point

$$M(\mathbf{X}, t) = m(\mathbf{x}(\mathbf{X}, t), t)$$

By differentiating we obtain the relation between the

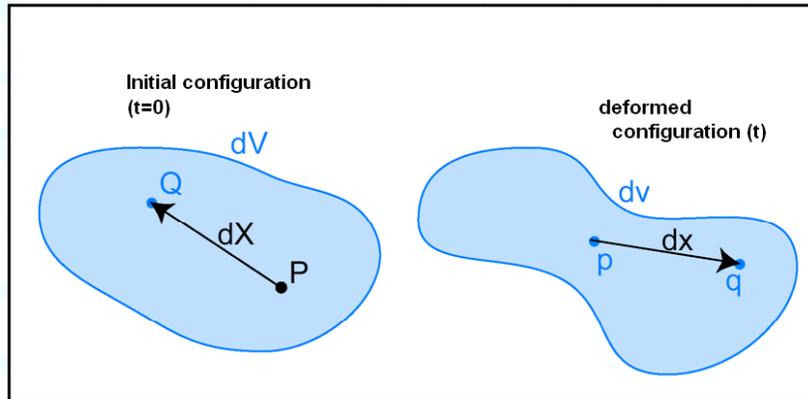
material derivative:  $\frac{d \cdot}{dt} := \frac{\partial \cdot}{\partial t} \Big|_{\mathbf{x}}$  and the spatial derivative:  $\frac{\partial \cdot}{\partial t} := \frac{\partial \cdot}{\partial t} \Big|_{\mathbf{x}}$

$$\frac{\partial M}{\partial t} = \frac{\partial m}{\partial t} + \frac{\partial m}{\partial \mathbf{x}} \mathbf{v} \quad \text{with} \quad \mathbf{v}(\mathbf{X}, t) = \frac{\partial \mathbf{x}}{\partial t} \Big|_{\mathbf{X}}$$

(velocity)

Usually we write:  $\frac{df}{dt} = \frac{\partial f}{\partial t} + \mathbf{v} \cdot \nabla f$

## DEFORMATION



- Locally, at a point P,

$$dx = F dX$$

- Deformation gradient tensor

$$F = F_{ij} \mathbf{e}_i \otimes \mathbf{e}_j \quad \mathbf{e}_j = \frac{\partial x_i}{\partial X_j}$$

dual basis

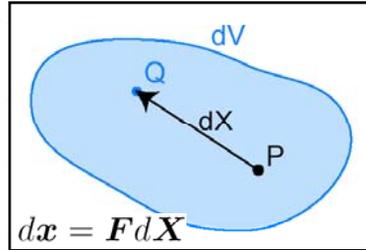
2nd order tensor that is represented with the following matrix:

$$F = \begin{pmatrix} \frac{\partial x_1}{\partial X_1} & \frac{\partial x_1}{\partial X_2} & \frac{\partial x_1}{\partial X_3} \\ \frac{\partial x_2}{\partial X_1} & \frac{\partial x_2}{\partial X_2} & \frac{\partial x_2}{\partial X_3} \\ \frac{\partial x_3}{\partial X_1} & \frac{\partial x_3}{\partial X_2} & \frac{\partial x_3}{\partial X_3} \end{pmatrix}$$

### Stretch

$$dS = \|d\mathbf{X}\|, \quad ds = \|d\mathbf{x}\|$$

$$\mathbf{T} = \frac{d\mathbf{X}}{dS}, \quad \mathbf{t} = \frac{d\mathbf{x}}{ds}$$



$$d\mathbf{x} = \mathbf{F}d\mathbf{X}$$

**Stretch**  
(lengthening/shortening per unit of undeformed length)

$$\varepsilon_G := \frac{ds - dS}{dS}$$

$$\begin{aligned} ds^2 - dS^2 &= d\mathbf{x}^T d\mathbf{x} - d\mathbf{X}^T d\mathbf{X} \\ &= (\mathbf{F}d\mathbf{X})^T (\mathbf{F}d\mathbf{X}) - d\mathbf{X}^T d\mathbf{X} \\ &= d\mathbf{X}^T [\mathbf{F}^T \mathbf{F} - \mathbf{I}] d\mathbf{X} \end{aligned}$$

- Deformation gradient (Green)

$$\mathbf{E} := \frac{1}{2} [\mathbf{F}^T \mathbf{F} - \mathbf{I}]$$

$$ds^2 - dS^2 = 2d\mathbf{X}^T \mathbf{E} d\mathbf{X}$$

$$\frac{ds^2}{dS^2} = 1 + \frac{ds^2 - dS^2}{dS^2} = 1 + \frac{2d\mathbf{X}^T \mathbf{E} d\mathbf{X}}{dS dS} = 1 + 2\mathbf{T}^T \mathbf{E} \mathbf{T}$$

$$\frac{ds - dS}{dS} = \frac{ds}{dS} - 1 = \sqrt{1 + 2\mathbf{T}^T \mathbf{E} \mathbf{T}} - 1$$

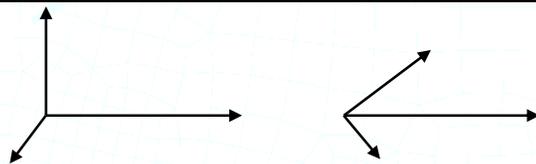
- Stretching:  $\varepsilon_G = \sqrt{1 + 2\mathbf{T}^T \mathbf{E} \mathbf{T}} - 1$

### Diagonal components of E

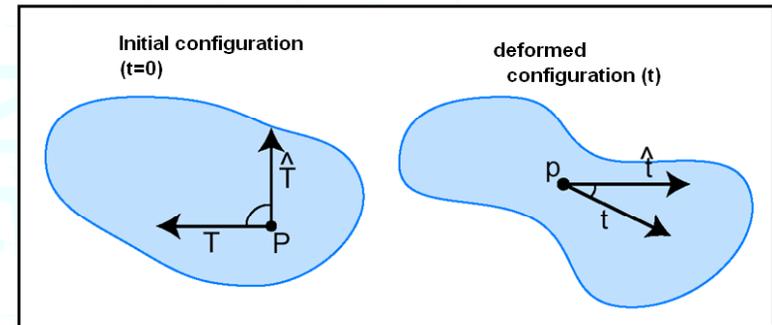
$$\varepsilon_G = \sqrt{1 + 2\mathbf{T}^T \mathbf{E} \mathbf{T}} - 1$$

- The diagonal components of the deformation tensor indicate the stretching along the coordinate axes:

$$\mathbf{T} = \mathbf{e}_i \rightarrow \varepsilon_G = \sqrt{1 + 2E_{ii}} - 1$$



### Shear deformation



$$\cos \theta = \mathbf{t}^T \hat{\mathbf{t}} = \frac{d\mathbf{x}^T \widehat{d\mathbf{x}}}{ds \widehat{ds}} = \frac{d\mathbf{X}^T \mathbf{F}^T \mathbf{F} \widehat{d\mathbf{X}}}{dS \widehat{dS}} = \mathbf{T}^T [2\mathbf{E} + \mathbf{I}] \hat{\mathbf{T}} \frac{dS \widehat{dS}}{dS \widehat{dS}}$$

## Non-diagonal components of $\mathbf{E}$

- If  $\Theta = \frac{\pi}{2}$ ,  $\cos \theta = -\sin(\Delta\theta)$  and

$$\sin(\Delta\theta) = -\frac{\mathbf{T}^T [2\mathbf{E} + \mathbf{I}] \hat{\mathbf{T}}}{\sqrt{1 + 2\mathbf{T}^T \mathbf{E} \mathbf{T}} \sqrt{1 + 2\hat{\mathbf{T}}^T \mathbf{E} \hat{\mathbf{T}}}}$$

- The non-linear componets of the deformation gradient indicate the angular distorsion between the coordinate axes.

$$\mathbf{T} = \mathbf{e}_i, \hat{\mathbf{T}} = \mathbf{e}_j \rightarrow \sin(\Delta\theta) = -\frac{2E_{ij}}{\sqrt{1 + 2E_{ii}} \sqrt{1 + 2E_{jj}}}$$

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If we work with the displacements  $\mathbf{u} = \mathbf{x} - \mathbf{X}$ :

$$\mathbf{F} = \frac{\partial \mathbf{x}}{\partial \mathbf{X}} = \frac{\partial (\mathbf{X} + \mathbf{u})}{\partial \mathbf{X}} = \mathbf{I} + \frac{\partial \mathbf{u}}{\partial \mathbf{X}}$$

- Displacement gradient tensor

$$\mathbf{J} = \frac{\partial \mathbf{u}}{\partial \mathbf{X}}$$

$$\mathbf{F} = \mathbf{I} + \mathbf{J}$$

$$\mathbf{E} = \frac{1}{2} [\mathbf{F}^T \mathbf{F} - \mathbf{I}] \quad \mathbf{E} = \frac{1}{2} (\mathbf{J}^T + \mathbf{J}) + \frac{1}{2} \mathbf{J}^T \mathbf{J}$$

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## Examples

- Translation

$$\mathbf{u}(\mathbf{X}) = (1, 1), \quad \varphi(\mathbf{X}) = (X + 1, Y + 1)$$

- Rotation

$$\varphi(\mathbf{X}) = \mathbf{R}\mathbf{X}, \quad \mathbf{R} = \begin{pmatrix} \cos \alpha & \sin \alpha \\ -\sin \alpha & \cos \alpha \end{pmatrix}$$

- $\mathbf{u}(\mathbf{X}) = (tY, 0), \quad \varphi(\mathbf{X}) = (X + tY, Y)$

- $\varphi(\mathbf{X}) = (X(1 + t/2), Y(1 - t/2))$

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- We can work with the eulerian formulation:

$$d\mathbf{X} = \mathbf{F}^{-1} d\mathbf{x}$$

- Eulerian deformation tensor  
(Euler-Almansi)

$$\mathbf{e} = \frac{1}{2} [\mathbf{I} - \mathbf{F}^{-T} \mathbf{F}^{-1}]$$

$$ds^2 - dS^2 = 2d\mathbf{x}^T \mathbf{e} d\mathbf{x}$$

$$\varepsilon_A = \frac{ds - dS}{ds} = 1 - \sqrt{1 - 2\mathbf{t}^T \mathbf{e} \mathbf{t}}$$

$$\sin(\Delta\theta) = -\frac{\mathbf{t}^T [2\mathbf{e} + \mathbf{I}] \hat{\mathbf{t}}}{\sqrt{1 - 2\mathbf{t}^T \mathbf{e} \mathbf{t}} \sqrt{1 - 2\hat{\mathbf{t}}^T \mathbf{e} \hat{\mathbf{t}}}}$$

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## SMALL DEFORMATION HYPOTHESIS

1. **Small displacements:** we equalise the initial and deformed configuration, and we set the equilibrium equation and the initial configuration (no update along time)

$$\mathbf{x} \simeq \mathbf{X}$$

2. **Small displacement gradient:**

$$\left| \frac{\partial u_i}{\partial X_j} \right| \ll 1$$

The quadratic terms are neglected and only the linear ones are taken into account.

- Under the small deformation hypothesis,

$$E_{ij} = \frac{1}{2} \left[ \frac{\partial u_i}{\partial X_j} + \frac{\partial u_j}{\partial X_i} + \frac{\partial u_k}{\partial X_i} \frac{\partial u_k}{\partial X_j} \right] \simeq \frac{1}{2} \left[ \frac{\partial u_i}{\partial X_j} + \frac{\partial u_j}{\partial X_i} \right]$$

- Small deformation tensor**

$$\boldsymbol{\varepsilon} = \frac{1}{2} [\mathbf{J} + \mathbf{J}^T]$$

$$\boldsymbol{\varepsilon} = \frac{1}{2} \left[ \frac{\partial \mathbf{u}}{\partial \mathbf{X}} + \left( \frac{\partial \mathbf{u}}{\partial \mathbf{X}} \right)^T \right] =: \nabla^s \mathbf{u} \quad (\text{symmetric displacement gradient})$$

## Physical interpretation of the components of $\boldsymbol{\varepsilon}$

- Stretch:

$$\sqrt{1+x} = 1 + \frac{1}{2}x + \mathcal{O}(x^2)$$

$$\boldsymbol{\varepsilon} = \sqrt{1 + 2\mathbf{T}^T \boldsymbol{\varepsilon} \mathbf{T}} - 1 \simeq 1 + \frac{1}{2} 2\mathbf{T}^T \boldsymbol{\varepsilon} \mathbf{T} - 1 = \mathbf{T}^T \boldsymbol{\varepsilon} \mathbf{T}$$

$$\mathbf{T} = \mathbf{e}_i \rightarrow \boldsymbol{\varepsilon} = \varepsilon_{ii}$$

- Shear (angular distortion):

$$\Delta\theta \simeq \sin(\Delta\theta) \simeq \frac{-2\mathbf{T}^T \boldsymbol{\varepsilon} \tilde{\mathbf{T}}}{\sqrt{1 + 2\mathbf{T}^T \boldsymbol{\varepsilon} \mathbf{T}} \sqrt{1 + 2\tilde{\mathbf{T}}^T \boldsymbol{\varepsilon} \tilde{\mathbf{T}}}} \simeq -2\mathbf{T}^T \boldsymbol{\varepsilon} \tilde{\mathbf{T}}$$

$$-\frac{\Delta\theta}{2} = \mathbf{T}^T \boldsymbol{\varepsilon} \tilde{\mathbf{T}}$$

## Small deformation tensor

$$\boldsymbol{\varepsilon} = \begin{pmatrix} \varepsilon_x & \gamma_{xy}/2 & \gamma_{xz}/2 \\ & \varepsilon_y & \gamma_{yz}/2 \\ (sim) & & \varepsilon_z \end{pmatrix}$$

- Stretch:  $\boldsymbol{\varepsilon}$ .
- Shear:  $\boldsymbol{\gamma}$ .

## Examples

1. 
$$\mathbf{J} = \begin{pmatrix} a & 0 \\ 0 & b \end{pmatrix} \quad |a|, |b| \ll 1$$

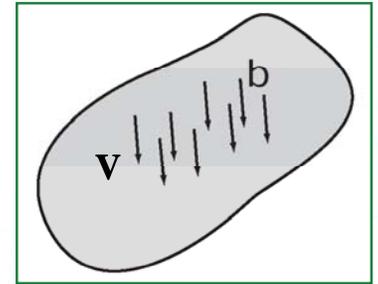
2. 
$$\mathbf{J} = \begin{pmatrix} 0 & a \\ a & 0 \end{pmatrix} \quad |a| \ll 1$$

$$\mathbf{F} = \mathbf{I} + \mathbf{J}$$

$$d\mathbf{x} = \mathbf{F}d\mathbf{X}$$

## STRESS TENSOR Volumetric loads

They are applied at the volume interior. They are associated to the mass of the continua (gravity, electrostatics...)



Total load on the whole volume:

$$\mathbf{f}_V = \int_V \rho \mathbf{b} dV$$

- b** load per unit of mass
- $\rho$  density
- $\rho \mathbf{b}$  load per unit of volume

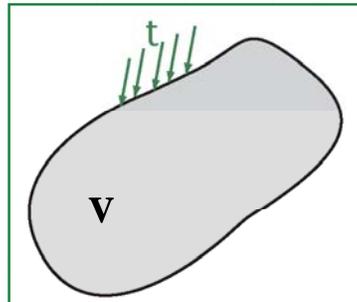
## Boundary loads

They are applied at the boundary (surface) of V,  $S = \partial V$ .

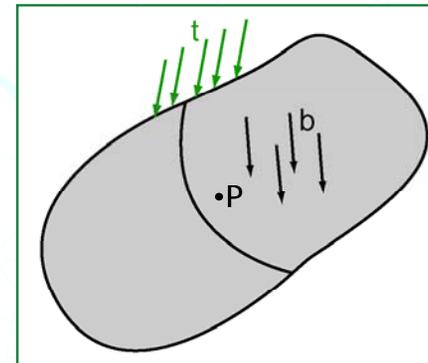
Total load on the surface:

$$\mathbf{t}_S = \int_S \mathbf{t} dS$$

**t** vectorial field  
(force per unit of surface = pressure)

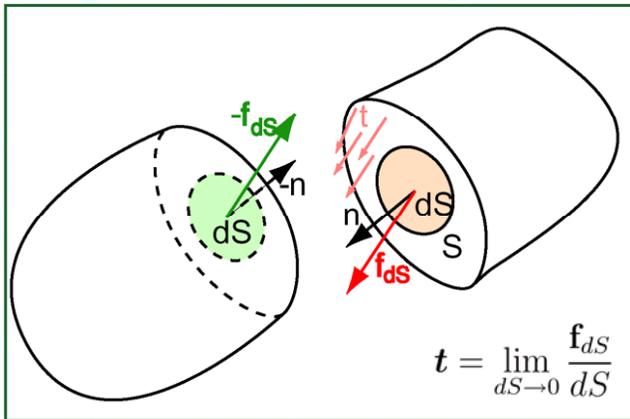


## Stress tensor



- Volumetric loads: **b**
- Surface loads: **t**

- Let's consider a cross-section  $S$  of the volume
- The internal forces may be interpreted as a surface load, which at  $dS$  are denoted by  $\mathbf{f}_{dS}$ .



- Cauchy's postulate:** the limit

$$\mathbf{t} = \lim_{dS \rightarrow 0} \frac{\mathbf{f}_{dS}}{dS}$$

depends only on the point  $\mathbf{x}$  and the normal vector  $\mathbf{n}$  (which is independent of the cross-section  $S$ ).

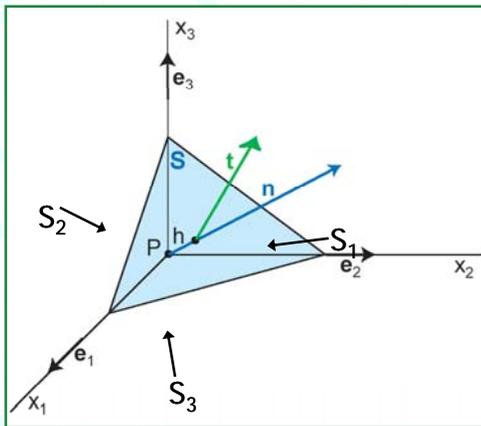
- PROPOSITION:** the relation  $\mathbf{t}=\mathbf{t}(\mathbf{x},\mathbf{n})$  is linearly dependent on  $\mathbf{n}$  :

$$\mathbf{t} = \boldsymbol{\sigma} \mathbf{n}$$

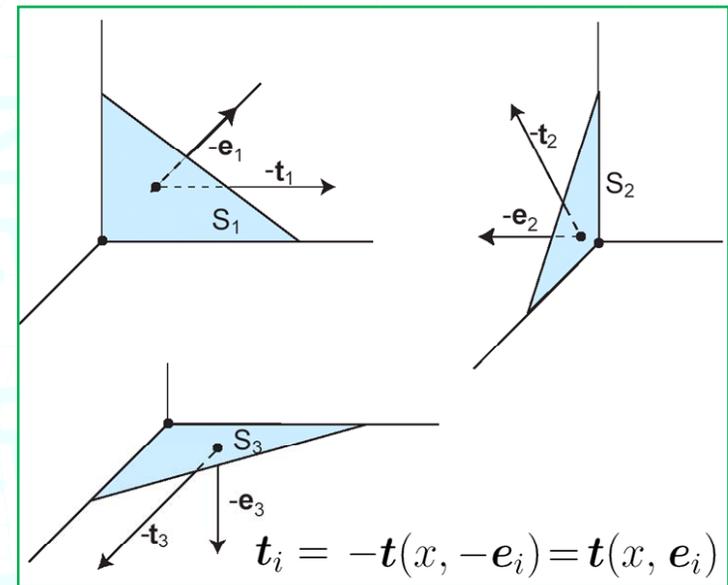
Stress tensor

### Demostration

- Let's consider a vector  $\mathbf{n}$  at the point  $P$  and the tetrahedra formed by the planes  $x, y, z$  and the surface  $S$  perpendicular to  $\mathbf{n}$ .



$h$ : distance from  $P$  to  $S$   
 $\mathbf{t}$ : surface force on  $S$   
 $S_i$ : face perpendicular to  $\mathbf{e}_i$



- We impose the conservation of linear momenta to the tetrahedra

$$\int_V \rho \mathbf{b} dV + \int_{\partial V} \mathbf{t} dS = \int_V \rho \mathbf{a} dV$$



$$\int_S \mathbf{t} dS - \sum_{i=1}^3 \int_{S_i} \mathbf{t}_i dS = \int_V \rho(\mathbf{a} - \mathbf{b}) dV$$

- Using the integral mean value theorem,

$$\mathbf{t}^* S - \sum_{i=1}^3 \mathbf{t}_i^* S_i = \frac{1}{3} S h \rho^* (\mathbf{a}^* - \mathbf{b}^*)$$

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- Taking the limit  $h \rightarrow 0$

$$\mathbf{t}(P, \mathbf{n}) - \sum_{i=1}^3 \mathbf{t}_i \frac{S_i}{S} = 0$$

and using the fact that  $S_i/S = \mathbf{n}_i = \mathbf{e}_i \cdot \mathbf{n}$

$$\mathbf{t}(P, \mathbf{n}) = \sum_{i=1}^3 \mathbf{t}_i (\mathbf{n} \cdot \mathbf{e}_i) = \underbrace{\left[ \sum_{i=1}^3 \mathbf{t}_i \otimes \mathbf{e}_i \right]}_{\boldsymbol{\sigma}} \mathbf{n}$$

$$\mathbf{t} = \boldsymbol{\sigma} \mathbf{n}$$

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- By using the conservation of angular momenta, we can demonstrate that the **stress tensor is symmetric**

$$\boldsymbol{\sigma} = \begin{pmatrix} \sigma_{xx} & \tau_{xy} & \tau_{xz} \\ \tau_{xy} & \sigma_{yy} & \tau_{yz} \\ \tau_{xz} & \tau_{yz} & \sigma_{zz} \end{pmatrix}$$

$\uparrow$   $\uparrow$   $\uparrow$   
 $\mathbf{e}_1$   $\mathbf{e}_2$   $\mathbf{e}_3$

$\sigma_{**}$ : normal stresses (tension/compression)

$\tau_{**}$ : shear stresses (tangential to the surface)

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## CONSERVATION EQUATIONS

### Reynolds transport theorem

- Allows us to express the derivative of an integral over a **material volume  $V_t$**

$$\frac{d}{dt} \int_{V_t} f(\mathbf{x}, t) dV = \underbrace{\int_{V_t} \frac{\partial f(\mathbf{x}, t)}{\partial t} dV}_{\text{Variation of } f \text{ in } V_t} + \underbrace{\int_{S_t} f(\mathbf{x}, t) \mathbf{v} \cdot \mathbf{n} dS}_{\text{Flux across } S_t}$$

where  $S_t$  is the boundary of  $V_t$ ,  $\mathbf{v}$  is the velocity at  $S_t$  and  $\mathbf{n}$  is the unit external normal vector.

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## Mass conservation (continuity)

- The mass must be preserved in a material volume:

$$0 = \frac{dM}{dt} = \frac{d}{dt} \int_{V_t} \rho dV,$$

where  $\rho$  is the density.

- Using Reynolds transport theorem

$$0 = \frac{dM}{dt} = \int_{V_t} \frac{\partial \rho}{\partial t} dV + \int_{S_t} \rho \mathbf{v} \cdot \mathbf{n} dS = \int_{V_t} \left( \frac{\partial \rho}{\partial t} + \nabla \cdot (\rho \mathbf{v}) \right) dV$$

- Since the integral must vanish for all  $V_t$

$$\frac{\partial \rho}{\partial t} + \nabla \cdot (\rho \mathbf{v}) = 0 \quad \text{or} \quad \frac{d\rho}{dt} + \rho \nabla \cdot \mathbf{v} = 0$$

## Balance of linear momentum (Cauchy's equation)

- Cauchy's equation equalises the variation of linear momentum and the sum of loads acting on a material volume  $V_t$ .
- Using Reynolds transport theorem in vectorial form, the variation of linear momentum results in,

$$\begin{aligned} \frac{d}{dt} \int_{V_t} \rho \mathbf{v} dV &= \int_{V_t} \frac{\partial \rho \mathbf{v}}{\partial t} dV + \int_{S_t} (\rho \mathbf{v} \otimes \mathbf{v}) \cdot \mathbf{n} dS \\ &= \int_{V_t} \left( \frac{\partial \rho \mathbf{v}}{\partial t} + \nabla \cdot (\rho \mathbf{v} \otimes \mathbf{v}) \right) dV, \end{aligned}$$

where  $[\mathbf{v} \otimes \mathbf{v}]_{ij} = v_i v_j$  and we have used Gauss divergence theorem to transform the integral over  $S_t$  into an integral over the volume  $V_t$ .

- Using the mass conservation and the relation between the material and spatial derivatives, the variation of linear momentum reads

$$\frac{d}{dt} \int_{V_t} \rho \mathbf{v} dV = \int_{V_t} \rho \frac{d\mathbf{v}}{dt} dV$$

acceleration

- On the material volume  $V_t$  we have the following loads:

volume loads  $\int_{V_t} \rho \mathbf{b} dV$  and

surface loads  $\int_{S_t} \boldsymbol{\sigma} \cdot \mathbf{n} dS = \int_{V_t} \nabla \cdot \boldsymbol{\sigma} dV$

- Equalising the variation of linear momentum and the sum of loads over the material volume  $V_t$ , we obtain

$$\int_{V_t} \rho \frac{d\mathbf{v}}{dt} dV = \int_{V_t} \rho \mathbf{b} dV + \int_{V_t} \nabla \cdot \boldsymbol{\sigma} dV$$

- Since this holds for arbitrary volume  $V_t$ ,

$$\rho \frac{d\mathbf{v}}{dt} = \rho \mathbf{b} + \nabla \cdot \boldsymbol{\sigma}$$

## Constitutive equation

- As yet we have derived (assuming  $\rho$  is known)

$$\rho \frac{d^2 \mathbf{u}}{dt^2} = \rho \mathbf{b} + \nabla \cdot \boldsymbol{\sigma}$$
$$\boldsymbol{\varepsilon} = \nabla^s \mathbf{u}$$

- This must be complemented with the [constitutive equation](#)

$$\boldsymbol{\sigma} = \mathbf{f}(\boldsymbol{\varepsilon}), \quad \text{or} \quad \dot{\boldsymbol{\sigma}} = \mathbf{f}(\dot{\boldsymbol{\varepsilon}}, \dots)$$

which characterises the material behaviour.

# Large-deformation elasticity

# Outline

- How to characterize large deformations (revisited)
- Piola-Kirchhoff stress tensors, governing equation of elastodynamics for large deformations
- Constitutive equation for isotropic elastic solid under large deformation

# Deformation gradient and Lagrangian strain tensor (revisited)

- Deformation mapping

$$\boldsymbol{x} = \varphi(\boldsymbol{X}, t)$$

- Deformation gradient

$$\boldsymbol{F} = \frac{\partial \boldsymbol{x}}{\partial \boldsymbol{X}}$$

$$F_{iJ} = \frac{\partial x_i}{\partial X_J}$$

- Lagrangian strain tensor

$$\boldsymbol{E} = \frac{1}{2} (\boldsymbol{F}^T \boldsymbol{F} - \boldsymbol{I})$$

$$E_{IJ} = \frac{1}{2} (F_{kI} F_{kJ} - \delta_{IJ})$$

- Eulerian strain tensor

$$\boldsymbol{e} = \frac{1}{2} (\boldsymbol{I} - \boldsymbol{F}^{-T} \boldsymbol{F}^{-1})$$

$$e_{ij} = \frac{1}{2} (\delta_{ij} - F_{Ki}^{-1} F_{Kj}^{-1})$$

# Equation of motion for rigid body motions (revisited)

- Translation

$$\mathbf{x} = \mathbf{X} + \mathbf{c}(t)$$

- Rigid body rotation

$$\mathbf{x} - \mathbf{b} = \mathbf{R}(t)(\mathbf{X} - \mathbf{b})$$

R: a proper orthogonal tensor (rotation tensor)

$$\mathbf{R}^{-1} = \mathbf{R}^T \quad \det \mathbf{R} = 1$$

- General rigid body motion

$$\mathbf{x} = \mathbf{R}(t)(\mathbf{X} - \mathbf{b}) + \mathbf{c}(t)$$

# Polar decomposition theorem

- Any real tensor  $\mathbf{F}$  with a nonzero determinant can be decomposed into the product of a proper orthogonal tensor and a symmetric tensor:

$$\mathbf{F} = \mathbf{R}\mathbf{U} = \mathbf{V}\mathbf{R},$$

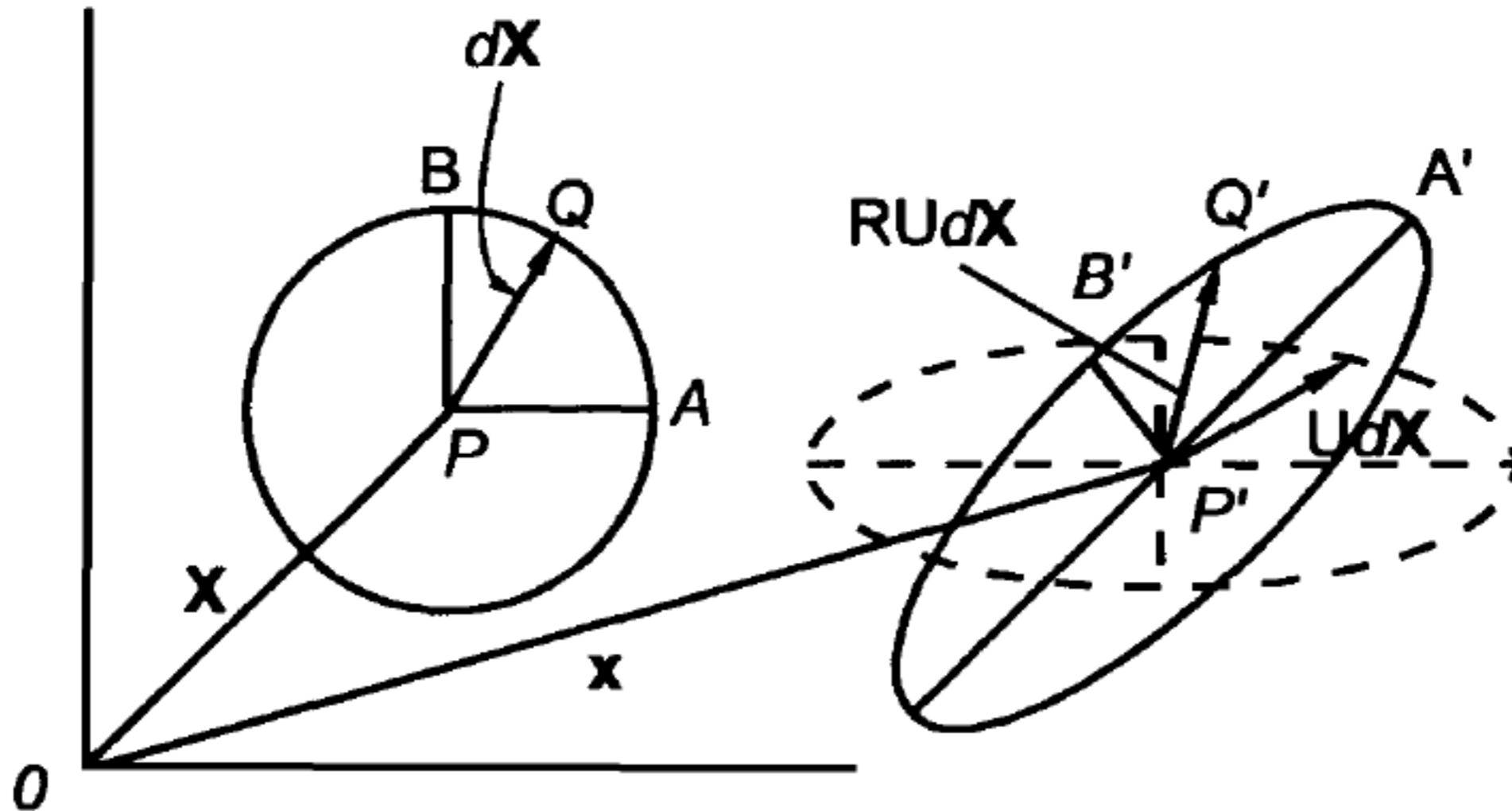
where  $\mathbf{U}$  and  $\mathbf{V}$  are positive definite symmetric tensors and  $\mathbf{R}$  is a proper orthogonal tensor. The decomposition is unique.

- If  $\mathbf{F}$  is the deformation gradient at a certain point, then  $\mathbf{R}$ ,  $\mathbf{U}$ , and  $\mathbf{V}$  are called the rotation tensor, the right stretch tensor, and the left stretch tensor, respectively.

# Physical interpretation

$$d\mathbf{x} = \mathbf{F}d\mathbf{X} = \mathbf{R}\mathbf{U}d\mathbf{X}$$

- The material element  $d\mathbf{X}$  first undergoes a pure stretching  $\mathbf{U}$ , and then rotation  $\mathbf{R}$



# Physical interpretation (continued)

- Alternatively, we can write

$$d\mathbf{x} = \mathbf{F}d\mathbf{X} = \mathbf{V}\mathbf{R}d\mathbf{X}$$

- First rotate, and then stretch

# Calculation of $\mathbf{U}$ , $\mathbf{R}$ , and $\mathbf{V}$ from $\mathbf{F}$

- Right Cauchy-Green deformation tensor

$$\mathbf{C} = \mathbf{F}^T \mathbf{F} = (\mathbf{R}\mathbf{U})^T (\mathbf{R}\mathbf{U}) = \mathbf{U}^T \mathbf{R}^T \mathbf{R} \mathbf{U} = \mathbf{U}^T \mathbf{U}$$

- It can be shown that  $\mathbf{C}$  and  $\mathbf{U}$  have the same eigenvectors and that the eigenvalues of  $\mathbf{C}$  are the squares of those of  $\mathbf{U}$ , or  $\mathbf{U} = \mathbf{C}^{\frac{1}{2}}$
- That is, if  $(\lambda_i^2, \mathbf{n}_i)$ ,  $i = 1, 2, 3$  are the eigenpairs of  $\mathbf{C}$ , where  $\mathbf{n}_i$  are unit vectors, then

$$\mathbf{C} = \sum_{i=1}^3 \lambda_i^2 \mathbf{n}_i \otimes \mathbf{n}_i \qquad \mathbf{U} = \sum_{i=1}^3 \lambda_i \mathbf{n}_i \otimes \mathbf{n}_i$$

# Calculation of $\mathbf{U}$ , $\mathbf{R}$ , and $\mathbf{V}$ from $\mathbf{F}$ (continued)

- Once  $\mathbf{U}$  is obtained,  $\mathbf{R}$  and  $\mathbf{V}$  can be obtained through

$$\mathbf{R} = \mathbf{F}\mathbf{U}^{-1}$$

$$\mathbf{V} = \mathbf{F}\mathbf{R}^T = \mathbf{R}\mathbf{U}\mathbf{R}^T$$

- Left Cauchy-Green deformation tensor

$$\mathbf{B} = \mathbf{F}\mathbf{F}^T = (\mathbf{V}\mathbf{R})(\mathbf{V}\mathbf{R})^T = \mathbf{V}\mathbf{R}\mathbf{R}^T\mathbf{V}^T = \mathbf{V}\mathbf{V}^T$$

- Example: Given

$$x_1 = X_1, \quad x_2 = -3X_3, \quad x_3 = 2X_2$$

Find  $\mathbf{F}$ ,  $\mathbf{U}$ ,  $\mathbf{R}$ ,  $\mathbf{V}$ ,  $\mathbf{B}$

# Change of area due to deformation

- Undeformed differential area element:

$$dA_0 \mathbf{n}_0 = d\mathbf{X}_1 \times d\mathbf{X}_2$$

as a result,

$$\mathbf{n}_0 = \frac{d\mathbf{X}_1 \times d\mathbf{X}_2}{|d\mathbf{X}_1 \times d\mathbf{X}_2|}$$

- The deformed area element can be simplified to

$$dA \mathbf{n} = dA_0 (\det \mathbf{F}) \mathbf{F}^{-T} \mathbf{n}_0$$

and its magnitude is given by

$$dA = dA_0 (\det \mathbf{F}) |\mathbf{F}^{-T} \mathbf{n}_0|$$

# Change of volume due to deformation

- Differential volume of the deformed configuration

$$dV = (\det \mathbf{F}) dV_0$$

where

$$dV_0 = d\mathbf{X}_1 \cdot d\mathbf{X}_2 \times d\mathbf{X}_3$$

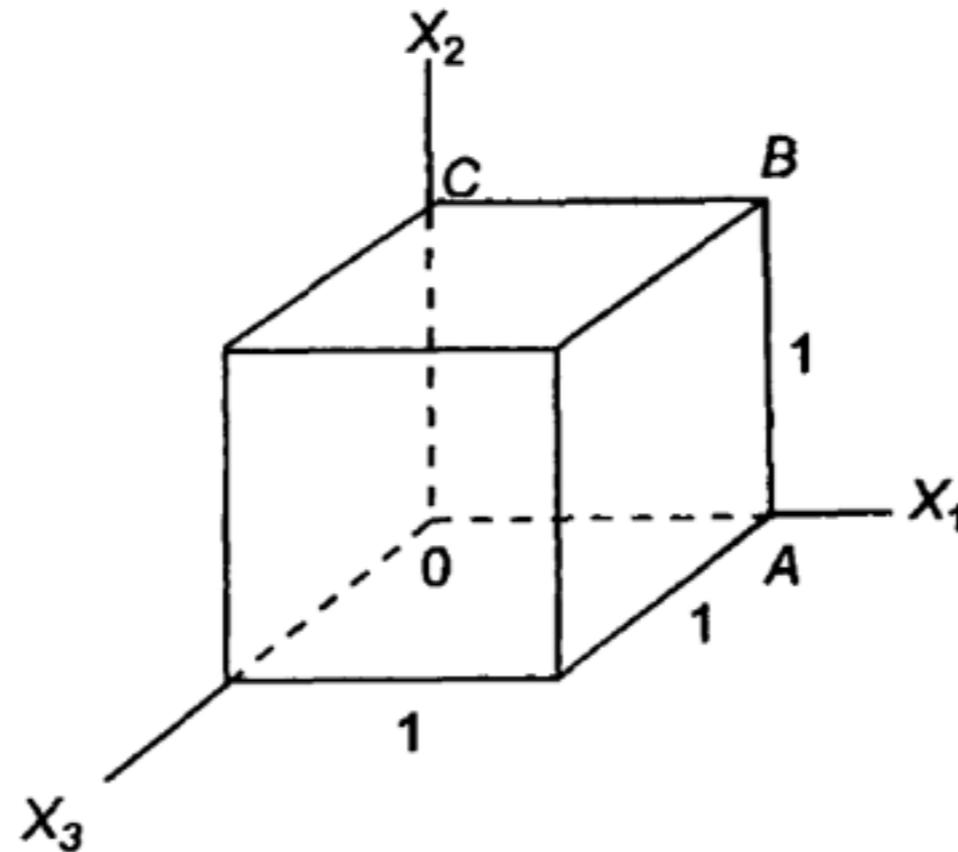
- Hence, incompressibility means

$$\det \mathbf{F} = \det \mathbf{C} = 1$$

- Consider

$$x_1 = \lambda_1 X_1, \quad x_2 = -\lambda_3 X_3, \quad x_3 = \lambda_2 X_2$$

1. Find the deformed volume of the unit cube
2. Find the deformed area of OABC



# Piola-Kirchhoff stress tensors

# Revisiting the Cauchy stress tensor

- We have seen the Cauchy stress tensor  $\boldsymbol{\sigma}$ , which is a linear transformation such that

$$\mathbf{t} = \boldsymbol{\sigma} \mathbf{n}$$

- We note that both the direction of the traction  $\mathbf{t}$  and the surface normal  $\mathbf{n}$  are defined in the deformed configuration.

# First Piola Kirchhoff stress tensor

- The first Piola Kirchhoff stress  $\mathbf{P}$  relates the undeformed normal to the force in the deformed configuration per undeformed unit area:

$$\mathbf{t}_0 = \mathbf{P}\mathbf{n}_0$$

$$d\mathbf{f} = \mathbf{t}dA = \mathbf{t}_0dA_0$$

- $\mathbf{P}$  is related to  $\boldsymbol{\sigma}$  as (show as an exercise):

$$\mathbf{P} = (\det \mathbf{F})\boldsymbol{\sigma}\mathbf{F}^{-\text{T}} \quad P_{iJ} = (\det \mathbf{F})\sigma_{ik}F_{Jk}^{-1}$$

- $\mathbf{P}$  is generally not symmetric

# Second Piola-Kirchhoff stress tensor

- The second Piola-Kirchhoff stress tensor  $\mathbf{S}$  is defined as

$$\mathbf{S} = \mathbf{F}^{-1} \mathbf{P} \qquad S_{IJ} = F_{Ik}^{-1} P_{kJ}$$

- Motivation:  $\mathbf{P}\mathbf{n}_0$  gives a vector in the deformed configuration, and hence premultiplying  $\mathbf{F}^{-1}$  gives a vector in the reference configuration
- $\mathbf{S}$  is related to  $\boldsymbol{\sigma}$  as

$$\mathbf{S} = (\det \mathbf{F}) \mathbf{F}^{-1} \boldsymbol{\sigma} \mathbf{F}^{-T}$$

- $\mathbf{S}$  is symmetric

# Comparison of the stress tensors

- Which ones are symmetric?
- Which ones are physical (i.e., not dependent on the choice of reference configuration)?

# Equilibrium equation with respect to the reference configuration

- Cauchy's equation of motion

$$\operatorname{div} \boldsymbol{\sigma} + \rho \mathbf{b} = \rho \mathbf{a}$$

- From the relation between  $\boldsymbol{\sigma}$  and  $\mathbf{P}$  we have

$$\operatorname{Div} \mathbf{P} + \rho_0 \mathbf{b} = \rho_0 \mathbf{a}$$

where  $\rho_0$  is the density at the reference configuration, and  $\operatorname{Div}$  is the divergence with respect to the material coordinates  $\mathbf{X}$

- The second equation is more convenient to handle in the reference configuration, since the corresponding weak form involves integrals in the reference configuration

# Constitutive equation for isotropic elastic solid under large deformation

# Principle of material-frame indifference

- *“An important assumption in continuum mechanics is that two observers in relative motion make equivalent (mathematical and physical) deductions about the macroscopic properties of a material under test. In other words, material properties are unaffected by a superposed rigid motion, and the relation between the stress and the motion has the same form for all observers.”* Ogden 1984

# Change of frame

- An observer is often referred to as a **frame**
- The most general change of frame is given by

$$\mathbf{x}^* = \mathbf{c}(t) + \mathbf{Q}(t)(\mathbf{x} - \mathbf{x}_0)$$

$$t^* = t - a$$

where  $(\mathbf{x}, t)$  and  $(\mathbf{x}^*, t^*)$  are observations from the two frames,  $\mathbf{c}(t)$  represents the relative displacement of the base point  $\mathbf{x}_0$ ,  $\mathbf{Q}(t)$  is a time-dependent orthogonal tensor, and  $a$  is a constant.

# Frame indifferent (objective)

- Do these depend on the observer?
  - Position vector of a material point Yes
  - Velocity vector of a material point Yes
  - Speed of a material point Yes
  - The distance between two material points No
  - Vector connecting two material points No

# Relation of the same frame-indifferent vector in two frames

- Let the position vectors of two material points be  $\mathbf{x}_1$ ,  $\mathbf{x}_2$  in the unstarred frame and  $\mathbf{x}_1^*$ ,  $\mathbf{x}_2^*$  in the starred frame. Then

$$\mathbf{x}_1^* = \mathbf{c}(t) + \mathbf{Q}(t)(\mathbf{x}_1 - \mathbf{x}_0)$$

$$\mathbf{x}_2^* = \mathbf{c}(t) + \mathbf{Q}(t)(\mathbf{x}_2 - \mathbf{x}_0)$$

thus

$$\mathbf{x}_1^* - \mathbf{x}_2^* = \mathbf{Q}(t)(\mathbf{x}_1 - \mathbf{x}_2)$$

or

$$\mathbf{b}^* = \mathbf{Q}(t)\mathbf{b}$$

# Relation of the same frame-indifferent 2nd-order tensor in two frames

- Let  $\mathbf{T}$  be the 2nd-order tensor that transforms  $\mathbf{b}$  to  $\mathbf{c}$ , where  $\mathbf{b}$  and  $\mathbf{c}$  are frame-indifferent vectors:

$$\mathbf{c} = \mathbf{T}\mathbf{b}$$

- In a different frame,

$$\mathbf{c}^* = \mathbf{T}^*\mathbf{b}^*$$

- But since  $\mathbf{c}^* = \mathbf{Q}\mathbf{c}$ ,  $\mathbf{b}^* = \mathbf{Q}\mathbf{b}$ ,

$$\mathbf{T}^*\mathbf{b}^* = \mathbf{c}^* = \mathbf{Q}\mathbf{c} = \mathbf{Q}\mathbf{T}\mathbf{b} = \mathbf{Q}\mathbf{T}\mathbf{Q}^T\mathbf{b}^*$$

or

$$\mathbf{T}^* = \mathbf{Q}\mathbf{T}\mathbf{Q}^T$$

# Summary of how to change frame

- Scalar

$$\alpha^* = \alpha$$

- Vector

$$\mathbf{b}^* = \mathbf{Q}(t)\mathbf{b}$$

- 2nd-order Tensor

$$\mathbf{T}^* = \mathbf{Q}\mathbf{T}\mathbf{Q}^T$$

# Transforming $F$ in two frames

- We know

$$d\mathbf{x} = \mathbf{F}d\mathbf{X} \quad d\mathbf{x}^* = \mathbf{F}^*d\mathbf{X}^*$$

- And

$$d\mathbf{x}^* = \mathbf{Q}(t)d\mathbf{x}$$

- Hence

$$\mathbf{Q}(t)\mathbf{F}d\mathbf{X} = \mathbf{F}^*d\mathbf{X}^*$$

- At  $t = 0$ ,

$$\mathbf{Q}(t_0)d\mathbf{X} = d\mathbf{X}^*$$

- Setting  $\mathbf{Q}(t_0) = \mathbf{I}$ , then

$$\mathbf{F}^* = \mathbf{Q}(t)\mathbf{F}$$

# Other transformation laws

- Right Cauchy-Green deformation tensor

$$C^* = C$$

- Left Cauchy-Green deformation tensor (objective)

$$B^* = Q(t)BQ(t)^T$$

- Cauchy stress (objective)

$$\sigma^* = Q\sigma Q^T$$

- Second Piola-Kirchhoff stress

$$S^* = S$$

# Constitutive equation for an elastic medium under large deformation

- Acceptable constitutive laws:

$$\mathbf{S} = f(\mathbf{C}) \quad (\text{most general anisotropic material})$$

$$\boldsymbol{\sigma} = f(\mathbf{B}) \quad (\text{isotropic only})$$

- The following form of constitutive law is unacceptable, why?

$$\boldsymbol{\sigma} = f(\mathbf{C})$$

# Constitutive equation for an isotropic elastic medium

- Most general form of constitutive law for isotropy:

$$\boldsymbol{\sigma} = a_0 \mathbf{I} + a_1 \mathbf{B} + a_2 \mathbf{B}^2$$

alternatively,

$$\boldsymbol{\sigma} = \varphi_0 + \varphi_1 \mathbf{B} + \varphi_2 \mathbf{B}^{-1}$$

where  $a_0, a_1, a_2, \varphi_0, \varphi_1, \varphi_2$  are scalar functions of the scalar invariants of  $\mathbf{B}$

# Hyperelastic material

- The constitutive relations of such materials are derived from a strain energy density function  $W$ , e.g., for a compressible hyperelastic material,

$$\mathbf{P} = \frac{\partial W}{\partial \mathbf{F}} \quad \mathbf{S} = \frac{\partial W}{\partial \mathbf{E}} = 2 \frac{\partial W}{\partial \mathbf{C}}$$

$$P_{iJ} = \frac{\partial W}{\partial F_{iJ}} \quad S_{IJ} = \frac{\partial W}{\partial E_{IJ}} = 2 \frac{\partial W}{\partial C_{IJ}}$$

# Example: Compressible Neo-Hookean material

$$W(\mathbf{F}) = \frac{\mu}{2} (\bar{I}_1 - 3) + \frac{\kappa}{2} (J - 1)^2$$

where

$$J = \det \mathbf{F}$$

$$\bar{I}_1 = J^{-2/3} I_1 = J^{-2/3} \operatorname{tr} \mathbf{B}$$



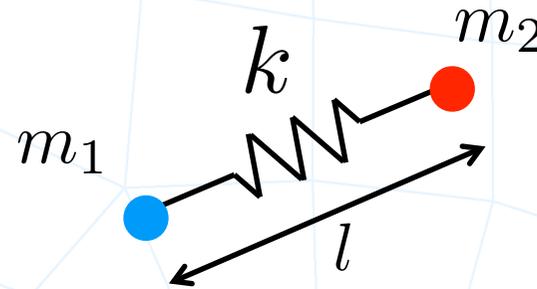
# PARTICLE SYSTEMS and non-linear dynamics

Laboratori de Càlcul Numèric (LaCàN)  
Universitat Politècnica de Catalunya  
[www.lacan.upc.es](http://www.lacan.upc.es)



## 2-particle system

- System of particles:



- Notation:  $\mathbf{q} = (\mathbf{x}_1, \mathbf{x}_2)$   
 $\dot{\mathbf{q}} = (\mathbf{v}_1, \mathbf{v}_2)$

- Elastic energy:  $U(\mathbf{q}) = \frac{1}{2}k(l - l_0)^2$

- Kinetic energy:  $T(\dot{\mathbf{q}}) = \frac{1}{2}m_1\|\mathbf{v}_1\|^2 + \frac{1}{2}m_2\|\mathbf{v}_2\|^2$

- Euler-Lagrange equations (from Hamilton's principle...):

$$\frac{d}{dt} \frac{dL}{d\dot{\mathbf{q}}} - \frac{dL}{d\mathbf{q}} = \mathbf{0} \implies \mathbf{M}\ddot{\mathbf{q}} + \nabla_{\mathbf{q}}U(\mathbf{q}) = \mathbf{0}$$

## 2-particle system

- System is Hamiltonian:

- Define: 
$$\mathbf{p} = \frac{\partial L}{\partial \dot{\mathbf{q}}}$$

$$H(\mathbf{p}, \mathbf{q}) = \mathbf{p}^T \dot{\mathbf{q}} - L(\mathbf{q}, \dot{\mathbf{q}})$$

- Then (Hamilton's equations):

$$\begin{cases} \dot{\mathbf{p}} = -\frac{\partial H}{\partial \mathbf{q}} \\ \dot{\mathbf{q}} = \frac{\partial H}{\partial \mathbf{p}} \end{cases}$$

- Properties:

- Conservation of total energy:  $H(\mathbf{p}, \mathbf{q}) = T(\mathbf{p}) + U(\mathbf{q})$
- Conservation of symmetries: linear/angular momentum, simplicity (conserves area in  $(\mathbf{p}, \mathbf{q})$  space)

- **Aim:** analyse time-integration schemes that numerically preserve as many as possible of these constants of motion

## Time Integration Schemes (1/2)

- Symplectic Euler (explicit, 1<sup>st</sup> order)

$$\mathbf{M} \frac{\Delta \mathbf{v}}{\Delta t} + \nabla U(\mathbf{q}_n) = \mathbf{0}, \quad \mathbf{v}_{n+1} = \frac{\Delta \mathbf{q}}{\Delta t}$$

- Trapezoidal Rule (implicit, 2<sup>nd</sup> order):

$$\mathbf{M} \frac{\Delta \mathbf{v}}{\Delta t} + \frac{1}{2} (\nabla U(\mathbf{q}_{n+1}) + \nabla U(\mathbf{q}_n)) = \mathbf{0}, \quad \mathbf{v}_{n+\frac{1}{2}} = \frac{\Delta \mathbf{q}}{\Delta t}$$

- Implicit Mid-point rule (implicit, 2<sup>nd</sup> order, symplectic):

$$\mathbf{M} \frac{\Delta \mathbf{v}}{\Delta t} + \nabla U(\mathbf{q}_{n+\frac{1}{2}}) = \mathbf{0} \quad \mathbf{v}_{n+\frac{1}{2}} = \frac{\Delta \mathbf{q}}{\Delta t}$$

- Störmer-Verlet (explicit 2<sup>nd</sup> order, symplectic):

$$\mathbf{v}_{n+\frac{1}{2}} = \mathbf{v}_n - \mathbf{M}^{-1} \frac{\Delta t}{2} \nabla U(\mathbf{q}_n), \quad \frac{\Delta \mathbf{q}}{\Delta t} = \mathbf{v}_{n+\frac{1}{2}}$$

$$\mathbf{M} \frac{\Delta \mathbf{v}}{\Delta t} + \frac{1}{2} (\nabla U(\mathbf{q}_{n+1}) + \nabla U(\mathbf{q}_n)) = \mathbf{0}$$

## Time Integration Schemes (2/2)

- Energy-Conserving:

$$\mathbf{R} \equiv \mathbf{M} \frac{\Delta \mathbf{v}}{\Delta t} + \bar{\nabla} U(\mathbf{q}_n, \mathbf{q}_{n+1}) = \mathbf{0} \quad \mathbf{v}_{n+\frac{1}{2}} = \frac{\Delta \mathbf{q}}{\Delta t}$$

- Discrete derivative:

$$\bar{\nabla} U(\mathbf{q}_{n+1}, \mathbf{q}_n) = \frac{\Delta U}{\Delta \ell} \frac{\Delta \mathbf{q}_{n+\frac{1}{2}}}{\ell_{n+\frac{1}{2}}}$$

such that

$$\bar{\nabla} U(\mathbf{q}_n, \mathbf{q}_{n+1}) \cdot \Delta \mathbf{q} = \Delta U$$

- Then,

$$\mathbf{v}_{n+\frac{1}{2}}^T \mathbf{R} = \frac{\Delta E}{\Delta t}$$

## Example:

- Quadratic potential:

$$U(\mathbf{q}) = \frac{k}{2}(\ell - \ell_0)^2$$

- Elastic forces (non-linear in 2D or 3D):

$$\nabla U = k \frac{(\ell - \ell_0)}{\ell} \begin{Bmatrix} \mathbf{q}_1 - \mathbf{q}_2 \\ \mathbf{q}_2 - \mathbf{q}_1 \end{Bmatrix}$$

- Solution of implicit schemes with Newton-Raphson require computation of Jacobian matrix:

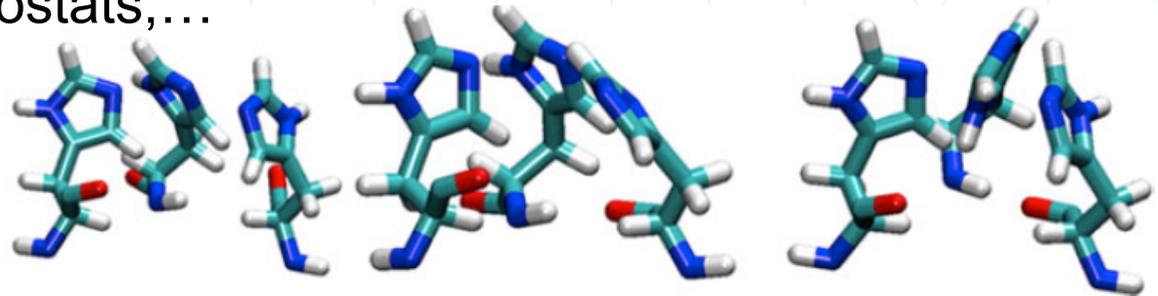
$$\mathbf{J} = \nabla^2 U(\mathbf{q}) = \dots$$

- Gravity may be added by adding potential:

$$U_g(\mathbf{q}) = -\mathbf{g}^T \mathbf{q}$$

## Remarks:

- Applications:
  - Granular materials: contact detection, large scale computations (explicit)...
  - Astrophysics, celestial mechanics: long time simulations, accuracy (Conserving schemes,...)
  - Molecular Dynamics: specific potentials (Lennard-Jones, rotations, ...), thermostats,...
  
- Deformable bodies:
  - Use elastic potential (large-deformations, non-linear , ...)
  - Similar structure: Hamiltonian,
  - Conserving schemes provide stability in non-linear dynamics.
  - Also conserving schemes available (not everything can be conserved, but ...)



## Adding constraints: Contact

- Unilateral contact: constraint with inequality

- Statics:

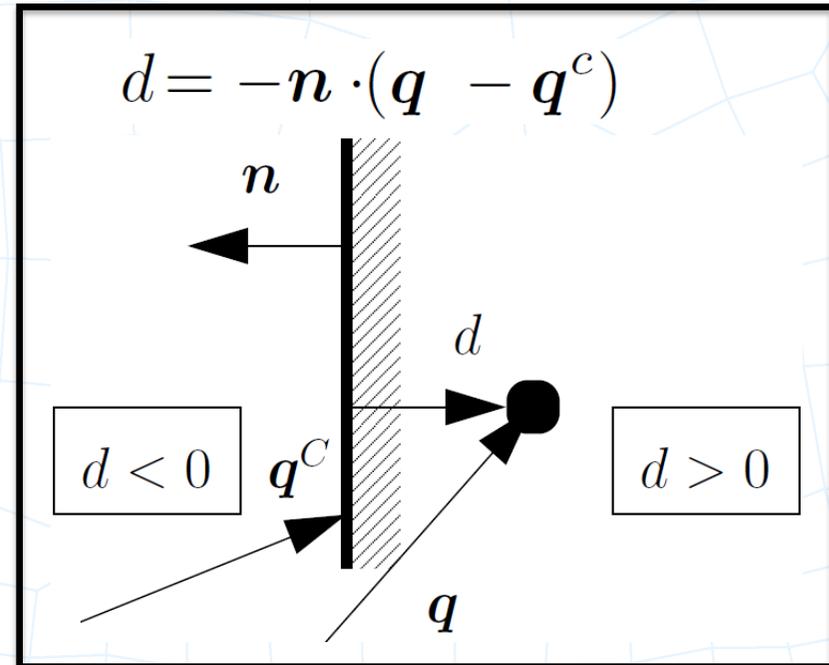
$$\min_{\mathbf{q}} U(\mathbf{q})$$

$$s.t. \quad d(\mathbf{q}) < 0$$

- Dynamics:

$$\mathbf{M}\ddot{\mathbf{q}} + \nabla_{\mathbf{q}}U(\mathbf{q}) = \mathbf{0}$$

$$d(\mathbf{q}) < 0$$



- General approach:

$$\mathbf{M}\ddot{\mathbf{q}} + \nabla_{\mathbf{q}}U(\mathbf{q}) + \mathbf{g}^c = \mathbf{0}$$

## Contact

- General idea: add pot. energy:  $U^c = \frac{1}{2}p\langle d \rangle^2$   
 $\Rightarrow$  new residual component:

$$g^c = \nabla_q U^c = -p\langle d_i \rangle \mathbf{n}$$

- Dissipative penalty:

$$g^c = -H(d_{n+1})p\langle \dot{d}_{n+\frac{1}{2}} \rangle \mathbf{n}$$

with  $\dot{d}_{n+\frac{1}{2}} = -\mathbf{v}_{n+\frac{1}{2}}^T \mathbf{n}$

- Conserving penalty (Armero'98):

$$\bar{\nabla} U_p^c = -\frac{\Delta U_p^c}{\Delta d} \mathbf{n}$$

# Contact

- Lagrange multipliers:

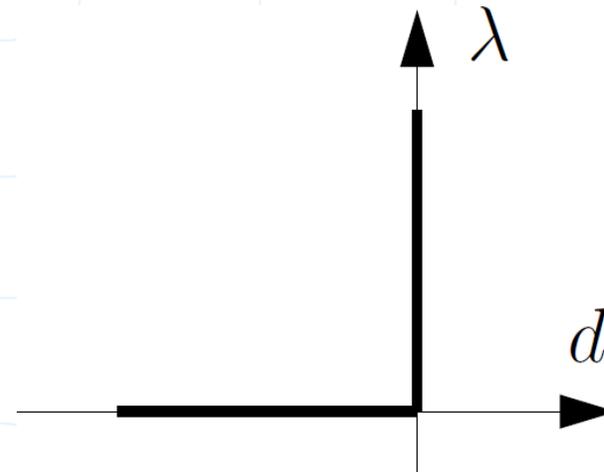
$$\max_{\lambda} \min_{\mathbf{q}} U(\mathbf{q}) + \lambda d$$

KKT condit:  $\lambda \geq 0$

$$d \leq 0$$

Complem. c.  $\lambda d = 0$

Persistence c.  $\lambda \dot{d} \leq 0$



- Implementation:  $U^c = \langle \lambda_i \rangle d_i$

$$g^c = -\langle \lambda_i \rangle \mathbf{n}$$

- Solution: -Uzawa algorithm  
 -  $\lambda$  prediction (reactions)



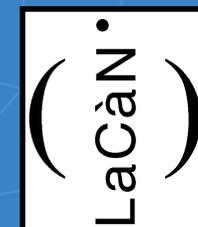
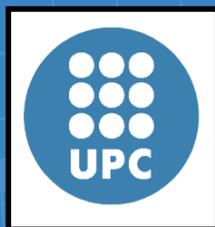
# COMPUTATIONAL PLASTICITY

Laboratori de Càlcul Numèric (LaCàN)

Dep. de Matemàtica Aplicada III

Universitat Politècnica de Catalunya

[www-lacan.upc.es](http://www-lacan.upc.es)



# Contents

- Motivation
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- Hardening plasticity
- Multi-dimensional plasticity

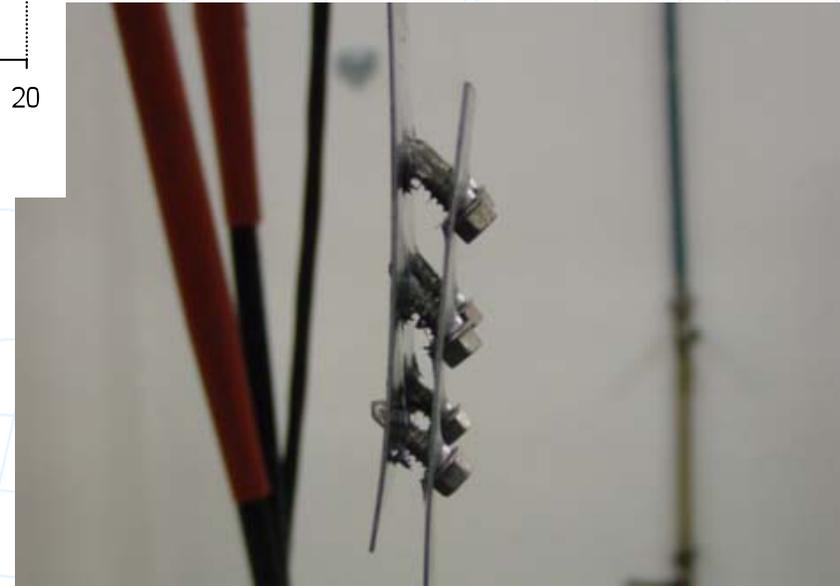
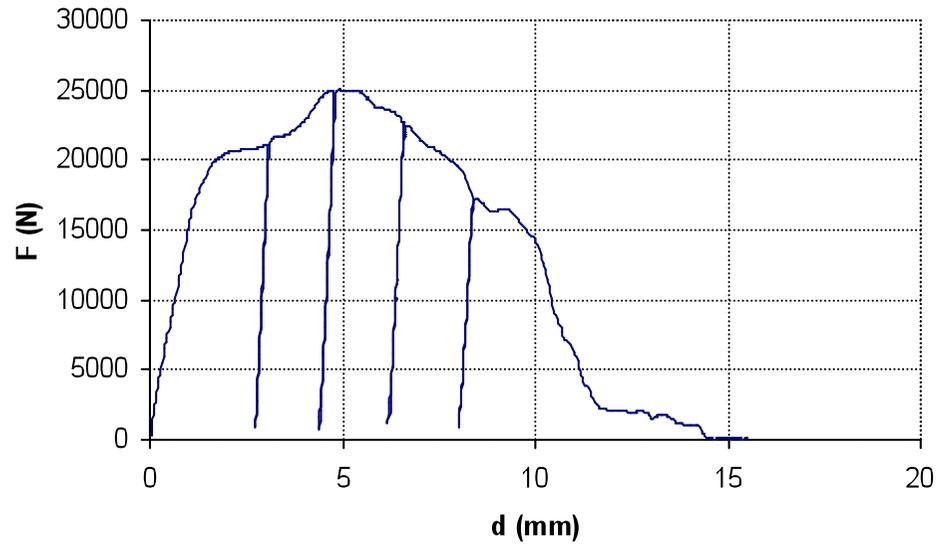
# Motivation



Crash-tests

# Motivation

F - d Curve



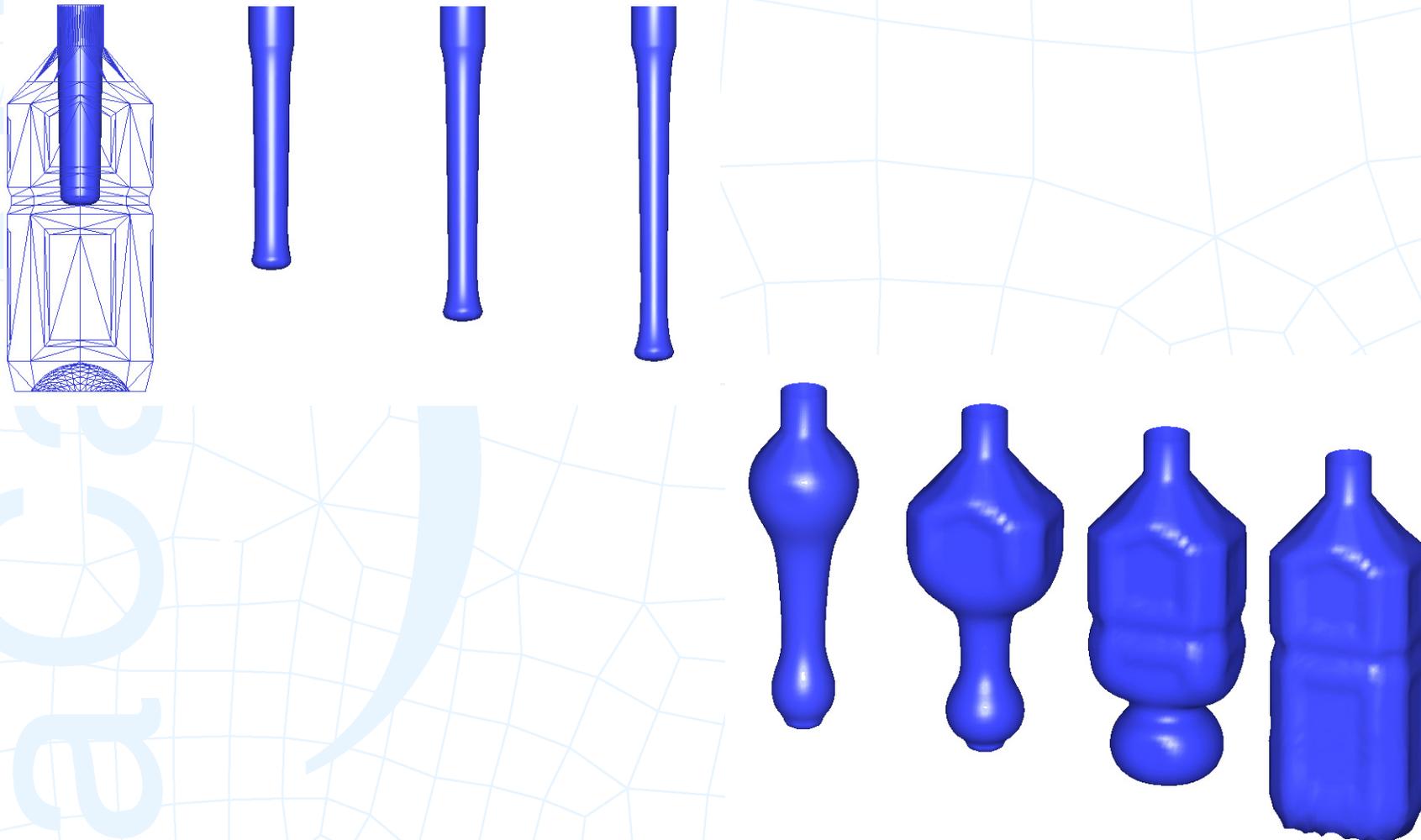
Tensile tests in lightweight steel joints

# Motivation



Accidental collapse of a crane

# Motivation

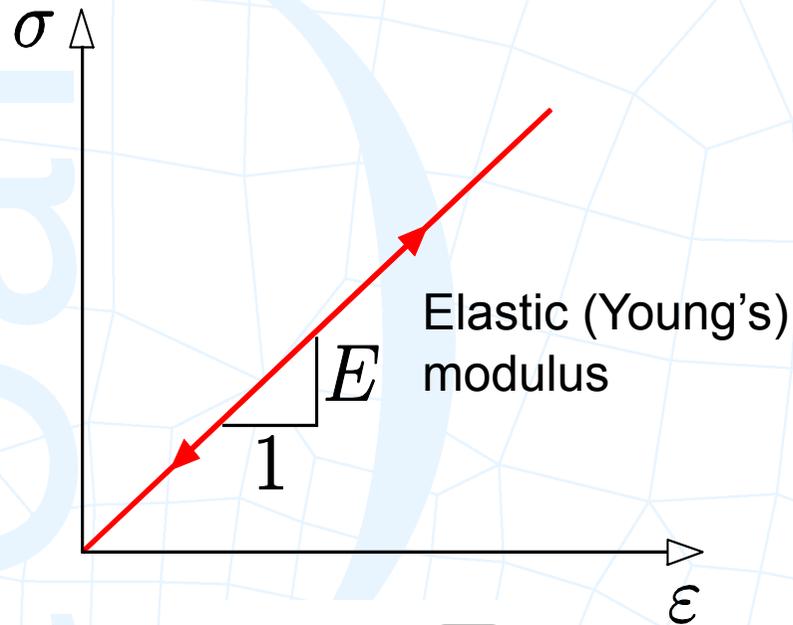


Blow moulding of a plastic bottle

( [www.riken.go.jp/lab-www/mat-fab/blow/PETBlow.html](http://www.riken.go.jp/lab-www/mat-fab/blow/PETBlow.html) )

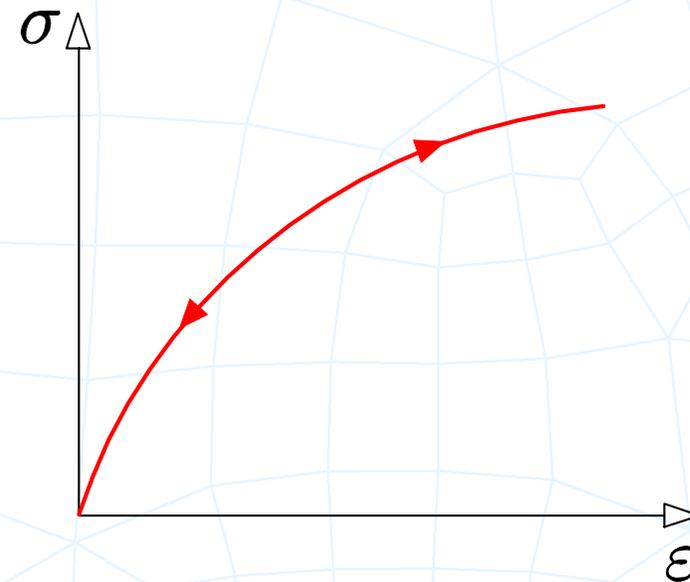
# Elasticity vs. plasticity

## Elasticity



$$\sigma = E\epsilon$$

Linear elasticity

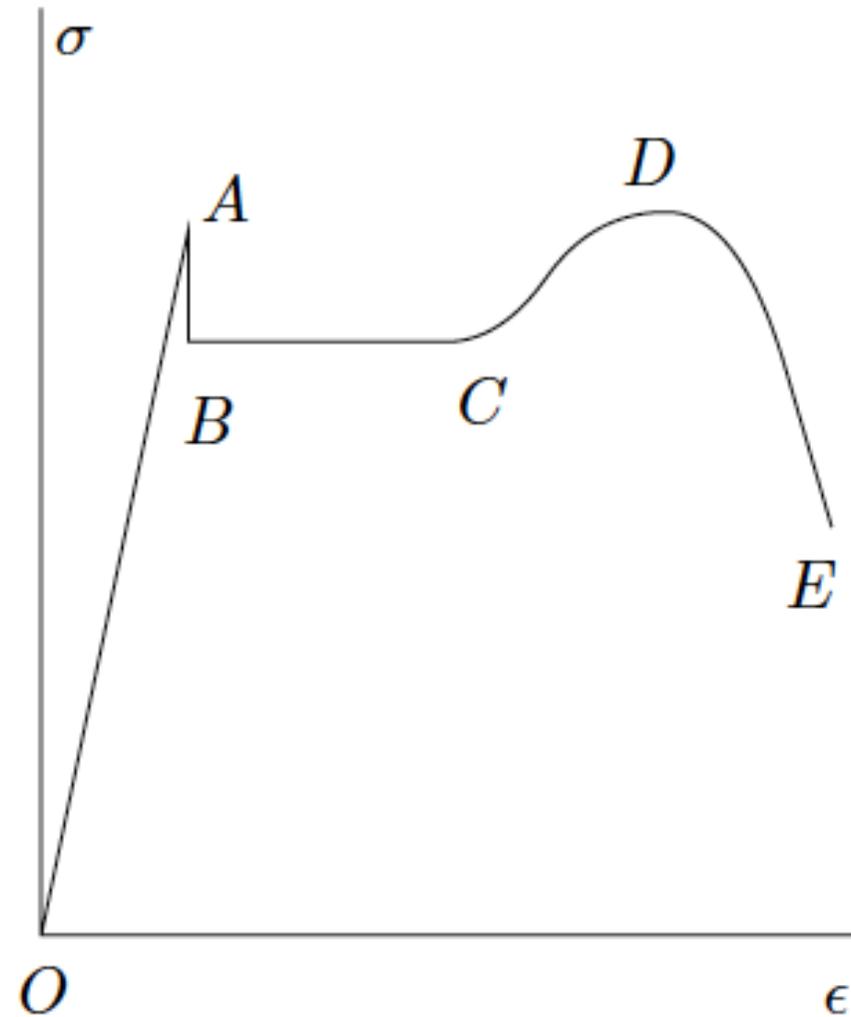


$$\sigma = F(\epsilon)$$

Nonlinear elasticity

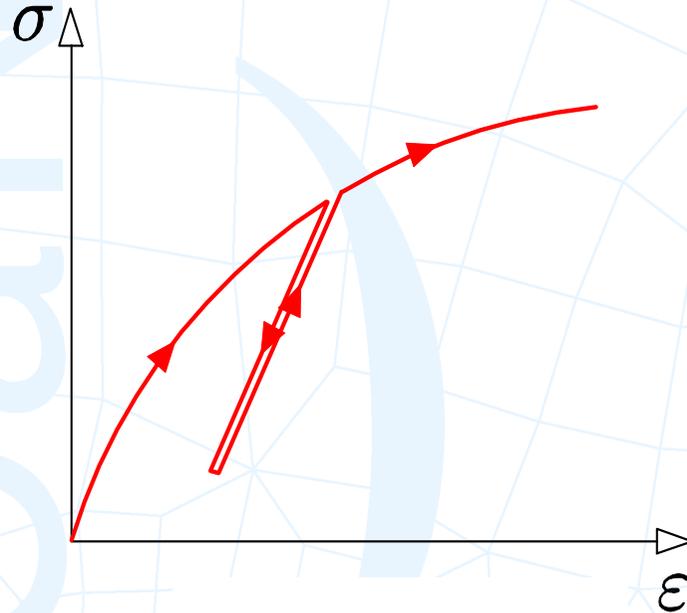
# Elasticity vs. plasticity

- Stress-strain curve of a mild steel



# Elasticity vs. plasticity

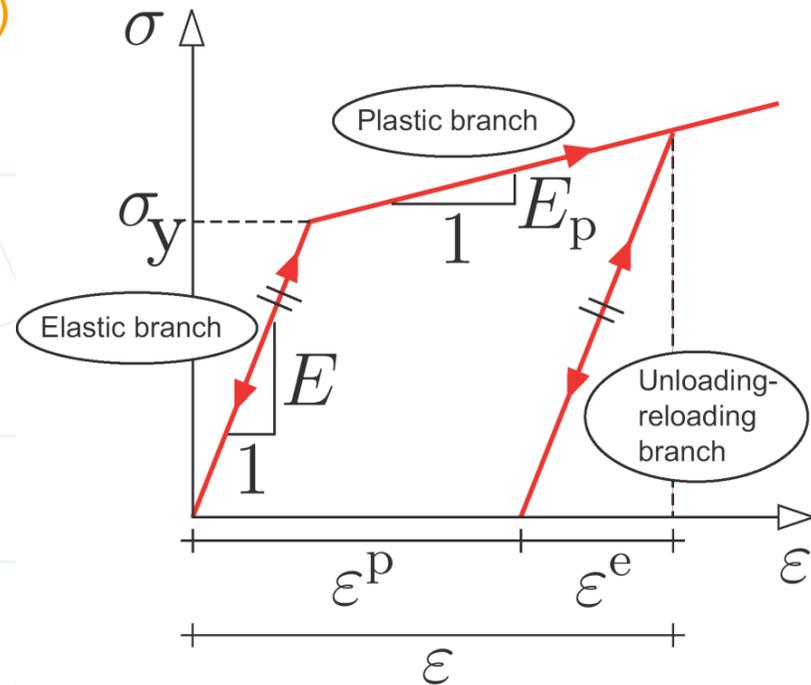
## Plasticity (or elastoplasticity)



$$\dot{\sigma} = F(\dot{\epsilon})$$

No one-to-one relation between strain  $\epsilon$  and stress  $\sigma$

Plasticity is characterized by the ability of deforming permanently



$\epsilon^e$  : elastic (reversible) strain

$\epsilon^p$  : plastic (irreversible) strain

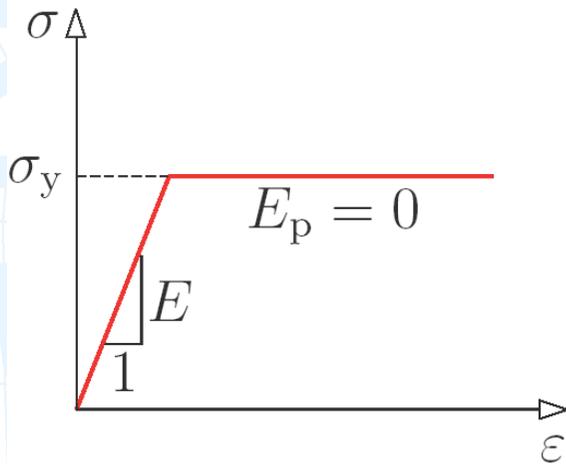
$E$  : elastic modulus

$E_p$  : elastoplastic modulus

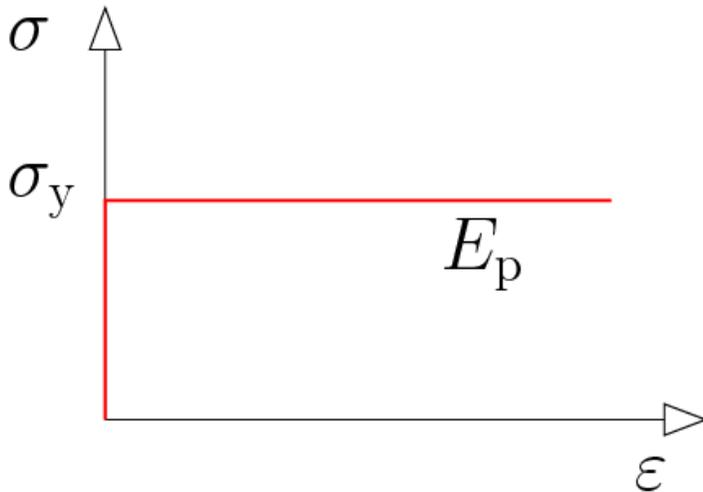
$\sigma_y$  : yield stress

# Elasticity vs. plasticity

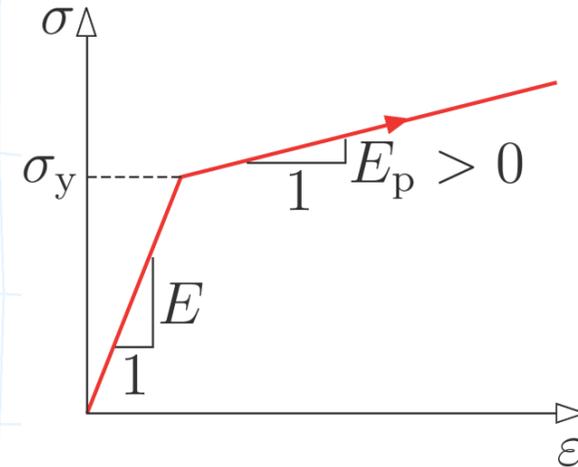
## Types of plasticity



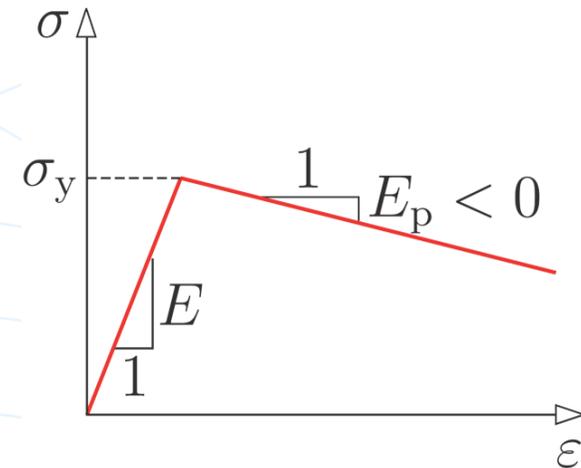
Perfect plasticity



Rigid-Plastic (limit analysis)



Hardening plasticity

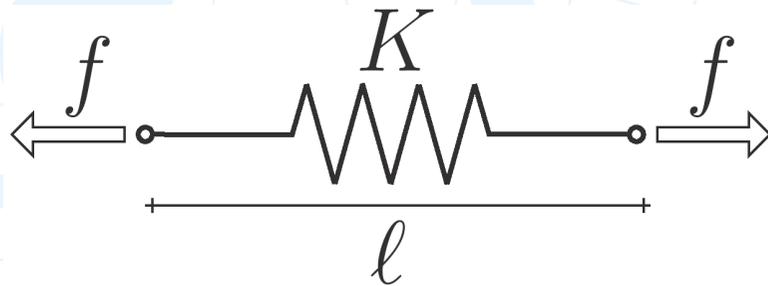


Softening plasticity

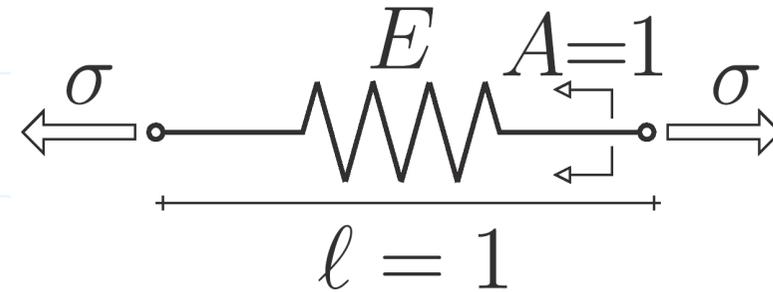
# Virtual model of 1D perfect plasticity

Ref: Simo JC & Hughes TJR, *Computational Inelasticity*, Springer, 1998

## Linear elasticity



$$f = K \Delta l \quad (\text{Hooke's law})$$

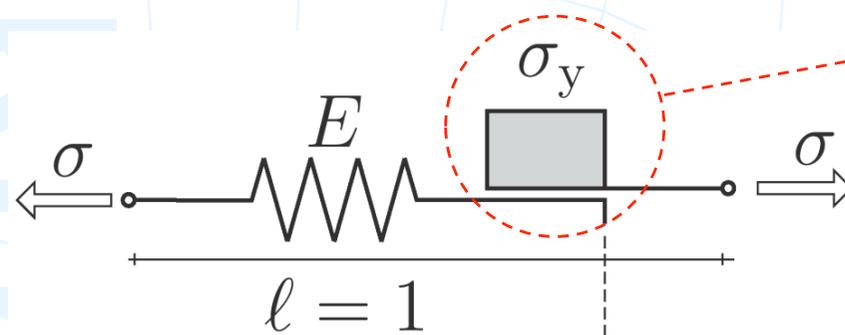


$$\left. \begin{aligned} A = 1 &\implies \sigma = \frac{f}{A} = f \\ l = 1 &\implies \varepsilon = \frac{\Delta l}{l} = \Delta l \end{aligned} \right\} \Rightarrow$$

$$K = \frac{f}{\Delta l} = \frac{\sigma}{\varepsilon} = E$$

# Virtual model of 1D perfect plasticity

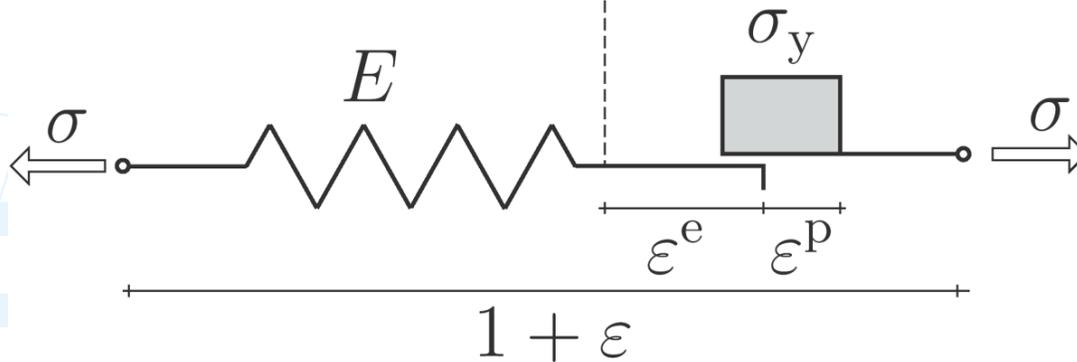
## Perfect plasticity



## Frictional device

$|\sigma| \geq \sigma_y$  : free sliding

$|\sigma| < \sigma_y$  : friction prevents sliding

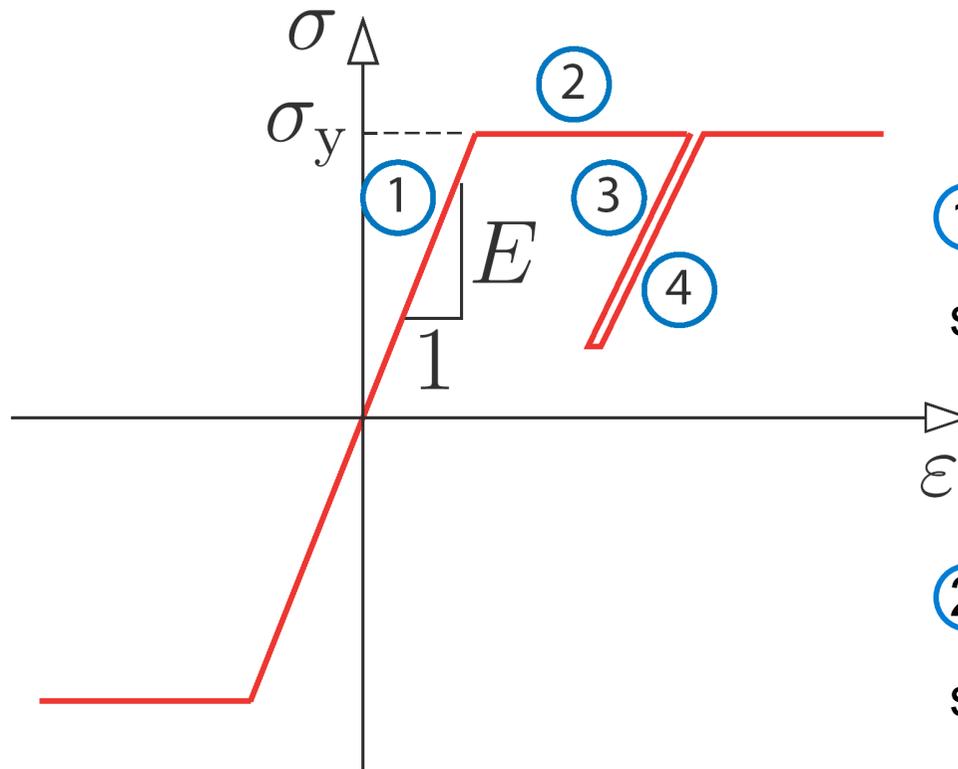


$\epsilon = \epsilon^e + \epsilon^p$  : Straining caused by (i) spring elongation ( $\epsilon^e$ ) and (ii) sliding ( $\epsilon^p$ )

$\sigma = E\epsilon^e$   
 $= E(\epsilon - \epsilon^p)$  : Same stress in (sequentially connected) spring and frictional device

# Virtual model of 1D perfect plasticity

## Response of virtual device



① Elastic response for  $|\sigma| < \sigma_y$   
spring elongation, no sliding

② Plastic response for  $|\sigma| = \sigma_y$   
sliding at constant stress

③-④ Unloading-reloading  
spring contraction-elongation, no sliding

# Virtual model of 1D perfect plasticity

## Irreversible frictional response

Assumptions:

1. Stress in frictional device cannot exceed  $\sigma_y$  :  $\sigma \in [-\sigma_y, \sigma_y]$

$$E_\sigma = \{ \sigma \in \mathbb{R} \mid f(\sigma) := |\sigma| - \sigma_y \leq 0 \}$$

Set of admissible stresses (closed set)

$$f(\sigma): \text{yield function} \quad \begin{cases} f(\sigma) \leq 0 : \text{yield condition} \\ f(\sigma) > 0 : \text{stress is not admissible} \end{cases}$$

2. Stress below yield stress  $\implies$  no change in plastic strain

$$\dot{\varepsilon}^P = 0 \quad \text{if} \quad f(\sigma) < 0 \quad ; \quad f(\sigma) < 0 \implies \dot{\sigma} = E\dot{\varepsilon}$$

(elastic instantaneous response)

$$\text{int}(E_\sigma) = \{\sigma \in \mathbb{R} \mid f(\sigma) := |\sigma| - \sigma_y < 0\}$$

Elastic range (open set)

# Virtual model of 1D perfect plasticity

3. Change in plastic strain only possible if  $f(\sigma) = 0$

$$\partial E_\sigma = \{\sigma \in \mathbb{R} \mid f(\sigma) := |\sigma| - \sigma_y = 0\}$$

Yield surface  
(two points in 1D)

$\gamma \geq 0$  : absolute value of the slip rate

$$\left. \begin{array}{l} \dot{\varepsilon}^P = \gamma \geq 0 \quad \text{if } \sigma = \sigma_y > 0 \\ \dot{\varepsilon}^P = -\gamma \leq 0 \quad \text{if } \sigma = -\sigma_y < 0 \end{array} \right\} \dot{\varepsilon}^P = \gamma \operatorname{sgn}(\sigma)$$

# Virtual model of 1D perfect plasticity

## Determination of the slip rate

(i)  $\gamma \geq 0$  (absolute value) and  $f(\sigma) \leq 0$  (yield condition)

(ii)  $\gamma f(\sigma) = 0$  Kuhn-Tucker conditions

$\gamma > 0$  and  $f(\sigma) < 0$  not possible:

$\gamma > 0 \implies f(\sigma) = 0$  by assumption 3

$f(\sigma) < 0 \implies \gamma = 0$  by assumption 2

$\gamma$  is the (plastic) multiplier associated to constraint  $f(\sigma) \leq 0$

(iii)  $\gamma \dot{f}(\sigma) = 0$  (if  $f(\sigma) = 0$ ) Plastic persistency

$\gamma > 0 \implies \dot{f} = 0$  plastic slip only on yield surface

$\dot{f} < 0 \implies \gamma = 0$  no plastic slip if yield function decreases

Note:  $f(\sigma(t))$

# Virtual model of 1D perfect plasticity

## Determination of the slip rate (continued)

Two possible cases:

(a)  $\gamma > 0$  ,  $\dot{f} = 0$  purely plastic strain variation

$$0 = \dot{f} = \frac{\partial f}{\partial \sigma} \dot{\sigma} = \text{sgn}(\sigma) E (\dot{\varepsilon} - \dot{\varepsilon}^p) = \text{sgn}(\sigma) E \dot{\varepsilon} - \underbrace{[\text{sgn}(\sigma)]^2}_{=1} E \gamma$$

$$\Rightarrow \begin{cases} \gamma = \text{sgn}(\sigma) \dot{\varepsilon} \\ \dot{\varepsilon}^p = \dot{\varepsilon} \\ \dot{\varepsilon}^e = 0 \end{cases}$$

(b)  $\gamma = 0$  ,  $\dot{f} \leq 0$  purely elastic strain variation

$$\Rightarrow \begin{cases} \gamma = 0 \\ \dot{\varepsilon}^p = 0 \\ \dot{\varepsilon}^e = \dot{\varepsilon} \end{cases}$$

# Numerical integration of constitutive equations

## Constitutive equations (1D perfect plasticity)

$$\dot{\sigma} = E(\dot{\varepsilon} - \dot{\varepsilon}^P)$$

Generalized Hooke's law

$$\dot{\varepsilon}^P = \gamma \operatorname{sgn}(\sigma)$$

Flow rule

$$f(\sigma) := |\sigma| - \sigma_y \leq 0$$

Yield condition

$$\gamma \geq 0; f \leq 0; \gamma f = 0$$

Kuhn-Tucker conditions

$$(\text{if } f = 0) \gamma \dot{f} = 0$$

Plastic persistency

System of differential-algebraic equations (DAE)

# Numerical integration of constitutive equations

## Efficient form of Kuhn-Tucker conditions

Given admissible  $\sigma$  and prescribed  $\dot{\varepsilon}$ :

1. Compute  $\dot{\sigma}_{\text{trial}} = E\dot{\varepsilon}$  Elastic prediction

2. If  $f(\sigma) < 0$  or ( $f(\sigma) = 0$  and  $\text{sgn}(\sigma)\dot{\sigma}_{\text{trial}} \leq 0$ )  
then

$$\dot{\sigma} = \dot{\sigma}_{\text{trial}} \quad \text{(Elastic process)}$$

3. If  $f(\sigma) = 0$  and  $\text{sgn}(\sigma)\dot{\sigma}_{\text{trial}} > 0$  Plastic correction

then  $\dot{\sigma} = \dot{\sigma}_{\text{trial}} - \gamma E \text{sgn}(\sigma)$

with  $\gamma = \frac{\text{sgn}(\sigma)\dot{\sigma}_{\text{trial}}}{E}$  (Plastic process)

**Remark:** plastic correction results in  $\dot{\sigma} = 0$   
(plastic flow at constant stress in perfect plasticity)

# Numerical integration of constitutive equations

## Numerical time-integration of initial-value problems

Initial value problem

$$\dot{x}(t) = F(x(t)) \quad \text{in } (0, T)$$

$$x(0) = x_0 \quad \text{initial condition}$$


---

Generalized mid-point rule

$${}^{n+1}x = {}^n x + \Delta t F({}^{n+\theta}x)$$

$${}^{n+\theta}x = \theta {}^{n+1}x + (1 - \theta) {}^n x \quad \text{with } \theta \in [0, 1]$$


---

Forward Euler method

$${}^{n+1}x = {}^n x + \Delta t F({}^n x)$$

Explicit  $\mathcal{O}(\Delta t)$

$(\theta = 0)$

---

Backward Euler method

$${}^{n+1}x = {}^n x + \Delta t F({}^{n+1}x)$$

Implicit  $\mathcal{O}(\Delta t)$

$(\theta = 1)$

---

Mid-point rule

$${}^{n+1}x = {}^n x + \Delta t F({}^{n+1/2}x)$$

Implicit  $\mathcal{O}(\Delta t^2)$

$(\theta = 1/2)$

$${}^{n+1/2}x = \frac{1}{2} ({}^n x + {}^{n+1}x)$$

# Numerical integration of constitutive equations

## Backward Euler time-stepping

BE is common in plasticity:

- Good stability properties
- Order 1 is OK (small  $\Delta t$  for convergence in global problem)

### Generic time-step

- Inputs: state at beginning of time-step  ${}^n\sigma$  ,  ${}^n\varepsilon$  ,  ${}^n\varepsilon^p$   
 increment of total strain  $\Delta\varepsilon$   
 time-step  $\Delta t$
- Output: state at end of time-step  ${}^{n+1}\sigma$  ,  ${}^{n+1}\varepsilon$  ,  ${}^{n+1}\varepsilon^p$

# Numerical integration of constitutive equations

Backward Euler time stepping leads to:

$${}^{n+1}\varepsilon = {}^n\varepsilon + \Delta\varepsilon$$

(trivial update of total strain)

$${}^{n+1}\sigma = E ({}^{n+1}\varepsilon - {}^{n+1}\varepsilon^p)$$

$${}^{n+1}\varepsilon^p = {}^n\varepsilon^p + \underbrace{\Delta t}^{\Delta\gamma} {}^{n+1}\gamma \operatorname{sgn}({}^{n+1}\sigma)$$

Restrictions (Kuhn-Tucker):

$${}^{n+1}f := |{}^{n+1}\sigma| - \sigma_y \leq 0 \quad ; \quad \Delta\gamma \geq 0 \quad ; \quad \Delta\gamma {}^{n+1}f = 0$$

The problem has a unique solution

# Numerical integration of constitutive equations

## Predictor-corrector strategy

### 1. Elastic prediction (Hypothesis: $\Delta\gamma = 0$ )

$${}^{n+1}\varepsilon_{\text{trial}}^{\text{p}} = {}^n\varepsilon^{\text{p}} \quad (\text{no change in plastic strain})$$

$${}^{n+1}\sigma_{\text{trial}} = E \left( {}^{n+1}\varepsilon - {}^{n+1}\varepsilon_{\text{trial}}^{\text{p}} \right) = {}^n\sigma + E\Delta\varepsilon$$

$${}^{n+1}f_{\text{trial}} = |{}^{n+1}\sigma_{\text{trial}}| - \sigma_y$$

### 2. Check the elastic prediction

a)  ${}^{n+1}f_{\text{trial}} \leq 0$  : elastic trial is admissible

$\Delta\gamma = 0$  and trial values solve the problem  
step is **incrementally elastic**

b)  ${}^{n+1}f_{\text{trial}} > 0$  : elastic trial is **not** admissible

compute  $\Delta\gamma > 0$  such that  ${}^{n+1}f = 0$   
(return to yield surface)

step is **incrementally plastic**

# Numerical integration of constitutive equations

## Predictor-corrector strategy (continued)

### 3. Plastic correction (case b): $\Delta\gamma > 0$ unknown

$${}^{n+1}\varepsilon^p = {}^n\varepsilon^p + \Delta\gamma \operatorname{sgn}({}^{n+1}\sigma)$$

$${}^{n+1}\sigma = E({}^{n+1}\varepsilon - {}^{n+1}\varepsilon^p) = {}^{n+1}\sigma_{\text{trial}} - \Delta\gamma E \operatorname{sgn}({}^{n+1}\sigma)$$

Rearranging and using that  $a = |a| \operatorname{sgn}(a)$ :

$$\underbrace{[|{}^{n+1}\sigma| + E\Delta\gamma]}_{>0} \operatorname{sgn}({}^{n+1}\sigma) = \underbrace{|{}^{n+1}\sigma_{\text{trial}}|}_{>0} \operatorname{sgn}({}^{n+1}\sigma_{\text{trial}})$$

$$\Rightarrow \begin{cases} \operatorname{sgn}({}^{n+1}\sigma) = \operatorname{sgn}({}^{n+1}\sigma_{\text{trial}}) & \text{(sign of prediction OK)} \\ |{}^{n+1}\sigma| + E\Delta\gamma = |{}^{n+1}\sigma_{\text{trial}}| \end{cases}$$

Correction is completed by prescribing  ${}^{n+1}f = 0$ :

$$0 = {}^{n+1}f = |{}^{n+1}\sigma| - \sigma_y = |{}^{n+1}\sigma_{\text{trial}}| - \sigma_y - E\Delta\gamma \implies \Delta\gamma = \frac{{}^{n+1}f_{\text{trial}}}{E}$$

# Numerical integration of constitutive equations

- Finishing the plastic step

$${}^{n+1}\sigma = {}^{n+1}\sigma_{\text{trial}} - \Delta\gamma E \operatorname{sgn} {}^{n+1}\sigma_{\text{trial}}$$

$${}^{n+1}\varepsilon^p = {}^n\varepsilon^p + \Delta\gamma \operatorname{sgn} {}^{n+1}\sigma_{\text{trial}}$$

# Hardening plasticity

## Constitutive equations (1D hardening plasticity)

$$\dot{\sigma} = E(\dot{\varepsilon} - \dot{\varepsilon}^P)$$

Generalized Hooke's law

$$\dot{\varepsilon}^P = \gamma \operatorname{sgn}(\sigma)$$

Flow rule

$$\dot{\alpha} = \gamma$$

Hardening law

$$f(\sigma, \alpha) := |\sigma| - (\sigma_y + K\alpha) \leq 0$$

Yield condition

$$\gamma \geq 0; f \leq 0; \gamma f = 0$$

Kuhn-Tucker conditions

$$(\text{if } f = 0) \gamma \dot{f} = 0$$

Plastic persistency

$\alpha$  : internal hardening variable

$K$  : plastic modulus

Numerical time-integration: similar to perfect plasticity

# Numerical integration of constitutive equations

## Predictor-corrector strategy

### 1. Elastic prediction (Hypothesis: $\Delta\gamma = 0$ )

$${}^{n+1}\varepsilon_{\text{trial}}^{\text{p}} = {}^n\varepsilon^{\text{p}} \quad (\text{no change in plastic strain})$$

$${}^{n+1}\sigma_{\text{trial}} = E \left( {}^{n+1}\varepsilon - {}^{n+1}\varepsilon_{\text{trial}} \right)$$

$${}^{n+1}\alpha_{\text{trial}} = {}^n\alpha$$

$${}^{n+1}f_{\text{trial}} = \left| {}^{n+1}\sigma_{\text{trial}} \right| - \left( \sigma_y + K {}^{n+1}\alpha_{\text{trial}} \right)$$

### 2. Check the elastic prediction

a)  ${}^{n+1}f_{\text{trial}} \leq 0$  : elastic trial is admissible

$\Delta\gamma = 0$  and trial values solve the problem

step is **incrementally elastic**

b)  ${}^{n+1}f_{\text{trial}} > 0$  : elastic trial is **not** admissible

compute  $\Delta\gamma > 0$  such that  ${}^{n+1}f = 0$

(return to yield surface)

step is **incrementally plastic**

# Numerical integration of constitutive equations

## Predictor-corrector strategy (continued)

### 3. Plastic correction (case b): $\Delta\gamma > 0$ unknown

The same argument as in the case of perfect plasticity yields

$$\begin{cases} \text{sgn}({}^{n+1}\sigma) = \text{sgn}({}^{n+1}\sigma_{\text{trial}}) \\ |{}^{n+1}\sigma| + E\Delta\gamma = |{}^{n+1}\sigma_{\text{trial}}| \end{cases}$$

Correction is completed by prescribing  ${}^{n+1}f = 0$  :

$$\begin{aligned} 0 = {}^{n+1}f &= |{}^{n+1}\sigma| - (\sigma_y + K {}^{n+1}\alpha) \\ &= |{}^{n+1}\sigma_{\text{trial}}| - E\Delta\gamma - (\sigma_y + K {}^{n+1}\alpha) \\ &= \underbrace{|{}^{n+1}\sigma_{\text{trial}}| - (\sigma_y + K {}^n\alpha)}_{{}^{n+1}f_{\text{trial}}} - E\Delta\gamma + K \underbrace{({}^{n+1}\alpha - {}^n\alpha)}_{\Delta\alpha} \end{aligned}$$



$$\Delta\gamma = \frac{{}^{n+1}f_{\text{trial}}}{E + K}$$

# Numerical integration of constitutive equations

- Finishing the plastic step

$${}^{n+1}\sigma = {}^{n+1}\sigma_{\text{trial}} - \Delta\gamma E \operatorname{sgn} {}^{n+1}\sigma_{\text{trial}}$$

$${}^{n+1}\varepsilon^p = {}^n\varepsilon^p + \Delta\gamma \operatorname{sgn} {}^{n+1}\sigma_{\text{trial}}$$

$${}^{n+1}\alpha = {}^n\alpha + \Delta\gamma$$

- Remark: we can rewrite the expression for  ${}^{n+1}\sigma$  as

$${}^{n+1}\sigma = \left[ 1 - \frac{\Delta\gamma E}{|{}^{n+1}\sigma_{\text{trial}}|} \right] {}^{n+1}\sigma_{\text{trial}}$$

*“[T]he final stress state is the projection of the trial stress onto the yield surface.”* (Simo & Hughes)

Hence this algorithm is called a return-mapping algorithm.



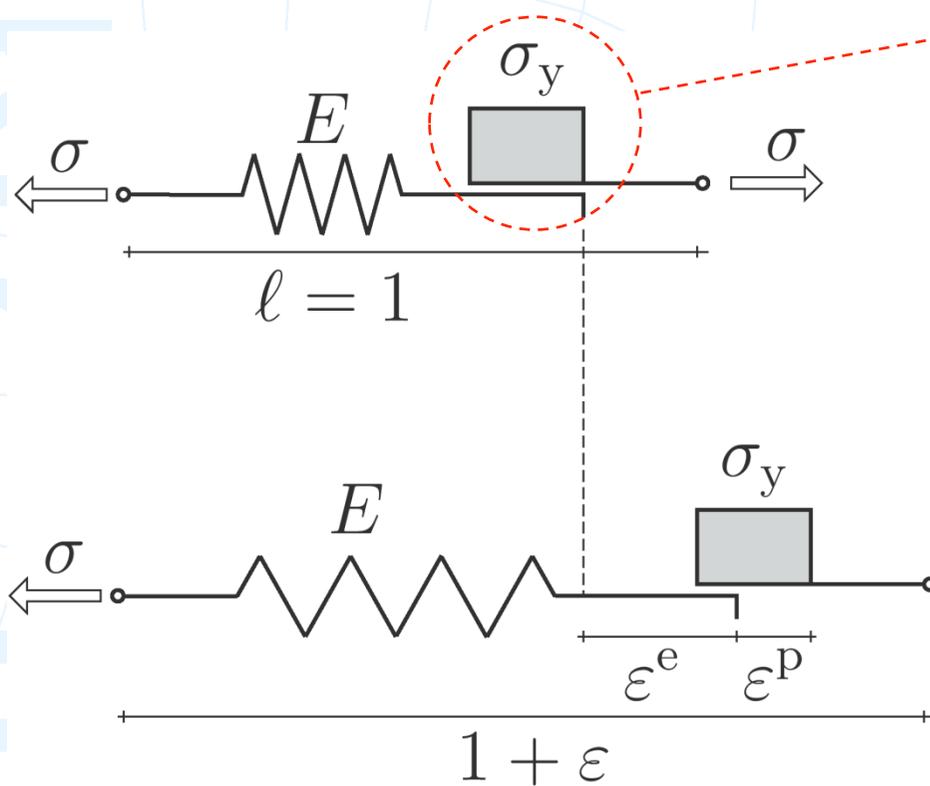
# COMPUTATIONAL PLASTICITY

Laboratori de Càlcul Numèric (LaCàN)  
Dep. de Matemàtica Aplicada III  
Universitat Politècnica de Catalunya  
[www-lacan.upc.es](http://www-lacan.upc.es)



# Recall: Virtual model of 1D perfect plasticity

## Perfect plasticity



Frictional device

$|\sigma| \geq \sigma_y$  : free sliding

$|\sigma| < \sigma_y$  : friction prevents sliding

$\epsilon = \epsilon^e + \epsilon^p$  : Straining caused by (i) spring elongation ( $\epsilon^e$ ) and (ii) sliding ( $\epsilon^p$ )

$\sigma = E\epsilon^e$   
 $= E(\epsilon - \epsilon^p)$  : Same stress in (sequentially connected) spring and frictional device

# Recall: Constitutive equations

## Constitutive equations (1D perfect plasticity)

$$\dot{\sigma} = E(\dot{\varepsilon} - \dot{\varepsilon}^P)$$

Generalized Hooke's law

$$\dot{\varepsilon}^P = \gamma \operatorname{sgn}(\sigma)$$

Flow rule

$$f(\sigma) := |\sigma| - \sigma_y \leq 0$$

Yield condition

$$\gamma \geq 0; f \leq 0; \gamma f = 0$$

Kuhn-Tucker conditions

$$(\text{if } f = 0) \gamma \dot{f} = 0$$

Plastic persistency

System of differential-algebraic equations (DAE)

# Isotropic hardening plasticity

## Constitutive equations (1D isotropic hardening plasticity)

$$\dot{\sigma} = E(\dot{\varepsilon} - \dot{\varepsilon}^P)$$

Generalized Hooke's law

$$\dot{\varepsilon}^P = \gamma \operatorname{sgn}(\sigma)$$

Flow rule

$$\dot{\alpha} = \gamma$$

Hardening law

$$f(\sigma, \alpha) := |\sigma| - (\sigma_y + K\alpha) \leq 0$$

Yield condition

$$\gamma \geq 0; f \leq 0; \gamma f = 0$$

Kuhn-Tucker conditions

$$(\text{if } f = 0) \gamma \dot{f} = 0$$

Plastic persistency

$\alpha$  : internal hardening variable

$K$  : plastic modulus

Numerical time-integration: similar to perfect plasticity

# Numerical integration of constitutive equations

## Predictor-corrector strategy

### 1. Elastic prediction (Hypothesis: $\Delta\gamma = 0$ )

$${}^{n+1}\varepsilon_{\text{trial}}^{\text{p}} = {}^n\varepsilon^{\text{p}} \quad (\text{no change in plastic strain})$$

$${}^{n+1}\sigma_{\text{trial}} = E \left( {}^{n+1}\varepsilon - {}^{n+1}\varepsilon_{\text{trial}} \right)$$

$${}^{n+1}\alpha_{\text{trial}} = {}^n\alpha$$

$${}^{n+1}f_{\text{trial}} = \left| {}^{n+1}\sigma_{\text{trial}} \right| - \left( \sigma_y + K {}^{n+1}\alpha_{\text{trial}} \right)$$

### 2. Check the elastic prediction

a)  ${}^{n+1}f_{\text{trial}} \leq 0$  : elastic trial is admissible

$\Delta\gamma = 0$  and trial values solve the problem

step is **incrementally elastic**

b)  ${}^{n+1}f_{\text{trial}} > 0$  : elastic trial is **not** admissible

compute  $\Delta\gamma > 0$  such that  ${}^{n+1}f = 0$   
 (return to yield surface)

step is **incrementally plastic**

# Numerical integration of constitutive equations

## Predictor-corrector strategy (continued)

### 3. Plastic correction (case b): $\Delta\gamma > 0$ unknown

The same argument as in the case of perfect plasticity yields

$$\begin{cases} \text{sgn}({}^{n+1}\sigma) = \text{sgn}({}^{n+1}\sigma_{\text{trial}}) \\ |{}^{n+1}\sigma| + E\Delta\gamma = |{}^{n+1}\sigma_{\text{trial}}| \end{cases}$$

Correction is completed by prescribing  ${}^{n+1}f = 0$  :

$$\begin{aligned} 0 = {}^{n+1}f &= |{}^{n+1}\sigma| - (\sigma_y + K {}^{n+1}\alpha) \\ &= |{}^{n+1}\sigma_{\text{trial}}| - E\Delta\gamma - (\sigma_y + K {}^{n+1}\alpha) \\ &= \underbrace{|{}^{n+1}\sigma_{\text{trial}}| - (\sigma_y + K {}^n\alpha)}_{{}^{n+1}f_{\text{trial}}} - E\Delta\gamma - \underbrace{K({}^{n+1}\alpha - {}^n\alpha)}_{\Delta\gamma} \end{aligned}$$

$$\Rightarrow \Delta\gamma = \frac{{}^{n+1}f_{\text{trial}}}{E + K}$$

# Numerical integration of constitutive equations

- Finishing the plastic step

$${}^{n+1}\sigma = {}^{n+1}\sigma_{\text{trial}} - \Delta\gamma E \operatorname{sgn} {}^{n+1}\sigma_{\text{trial}}$$

$${}^{n+1}\varepsilon^p = {}^n\varepsilon^p + \Delta\gamma \operatorname{sgn} {}^{n+1}\sigma_{\text{trial}}$$

$${}^{n+1}\alpha = {}^n\alpha + \Delta\gamma$$

- Remark: we can rewrite the expression for  ${}^{n+1}\sigma$  as

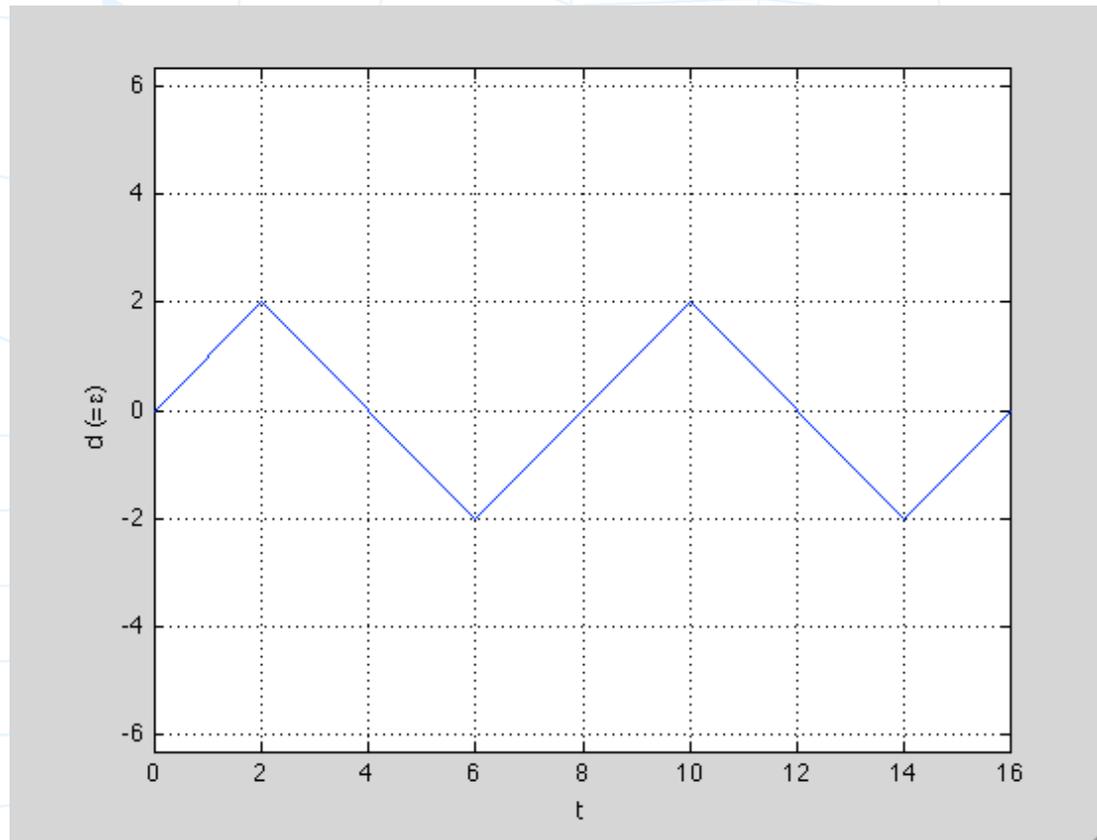
$${}^{n+1}\sigma = \left[ 1 - \frac{\Delta\gamma E}{|{}^{n+1}\sigma_{\text{trial}}|} \right] {}^{n+1}\sigma_{\text{trial}}$$

*“[T]he final stress state is the projection of the trial stress onto the yield surface.”* (Simo & Hughes)

Hence this algorithm is called a return-mapping algorithm.

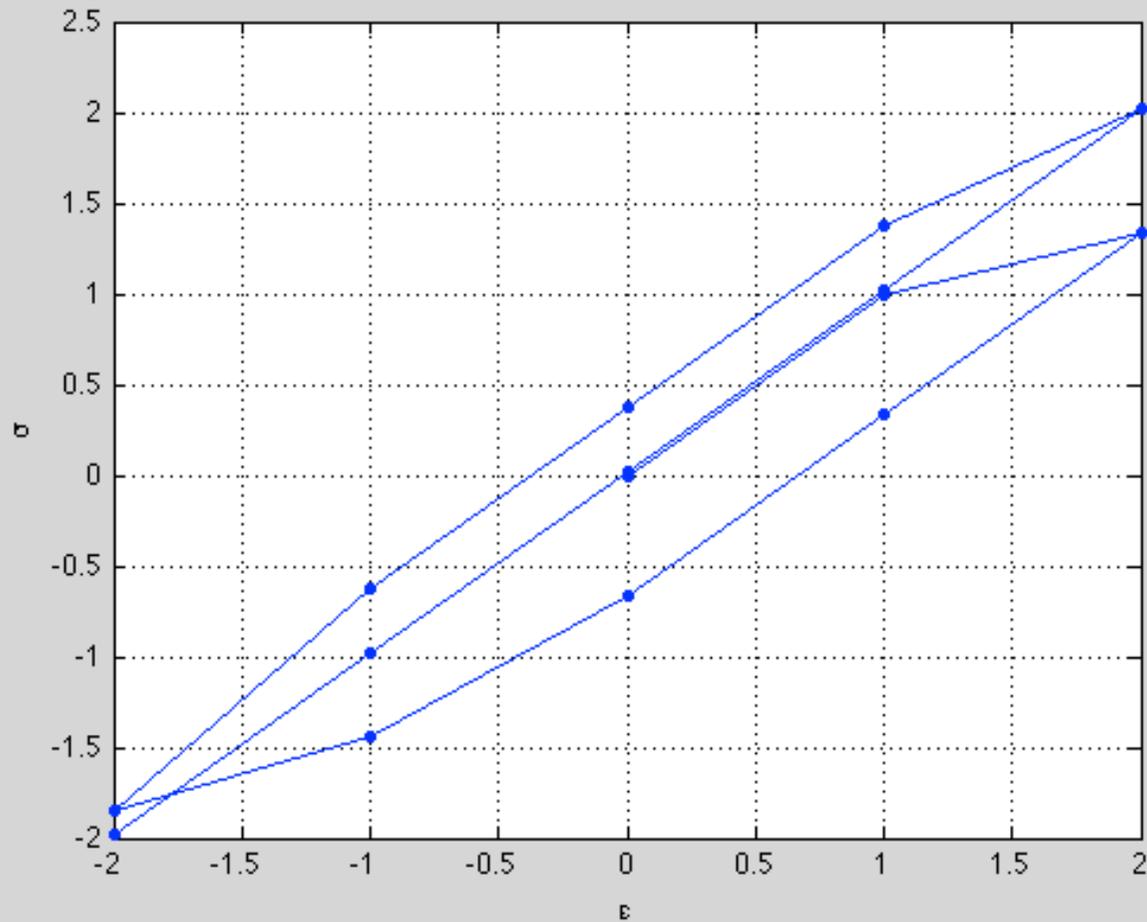
# Example for illustrating the algorithm for isotropic hardening plasticity

- Repeat the same exercise with  $K = 0.5$ ,  ${}^0\alpha=0$ . Take  $\Delta t = 1$ .



\*The evolution of  $\alpha$  also has to be kept track of.

- With the result, we can plot  $\sigma$  vs  $\varepsilon$



## Kinematic hardening

- In many metals subjected to cyclic loading, it is experimentally observed that the center of the yield surface experiences a motion in the direction of the plastic flow.
- The yield condition is modified as

$$f(\sigma, q, \alpha) := |\sigma - q| - (\sigma_y + K\alpha)$$

where the evolution of the *back stress*  $q$  is defined by Ziegler's rule as

$$\dot{q} = H\dot{\epsilon}^P = \gamma H \operatorname{sgn}(\sigma - q)$$

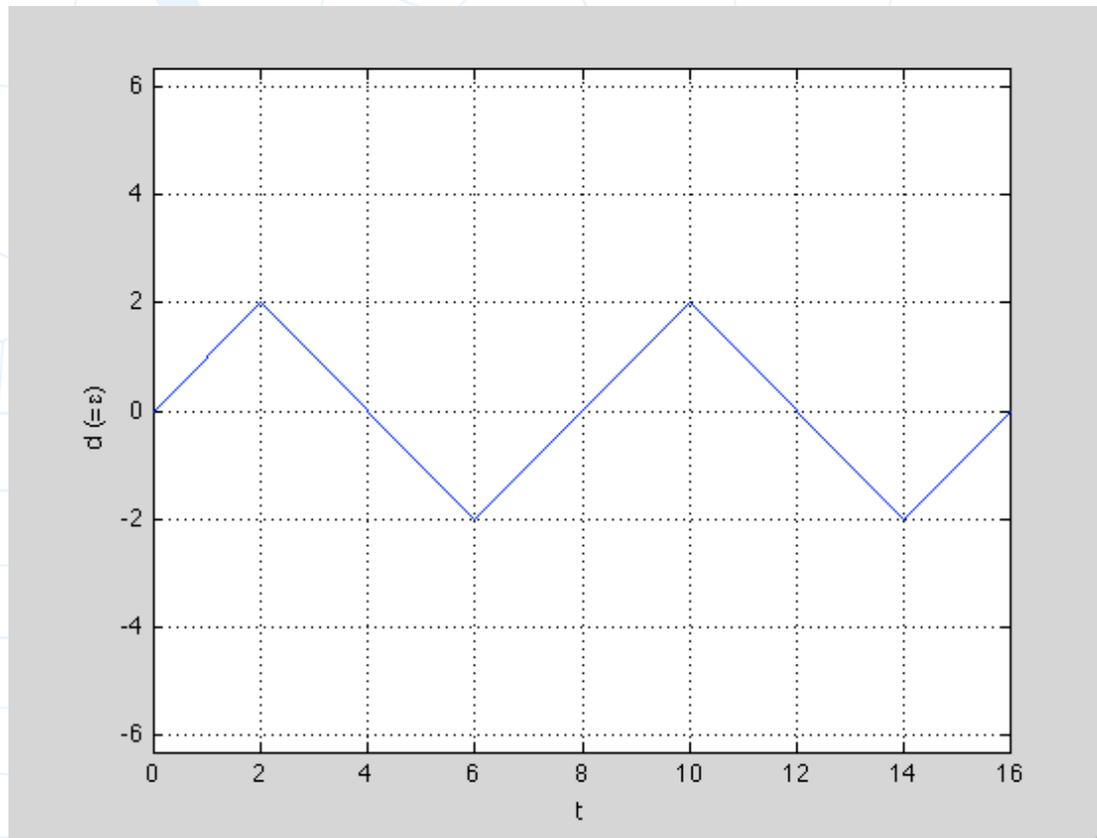
$H$ : kinematic hardening modulus

- The other conditions (Hooke's law, hardening law for  $\alpha$ , Kuhn-Tucker, plastic consistency) remain unchanged
- Backwarded Euler algorithm step:

$$\Delta\gamma = \frac{{}^{n+1}f^{\text{trial}}}{E + H + K}$$

# Example for illustrating the algorithm for isotropic and kinematic hardening plasticity

- Derive the backward Euler algorithm (elastic prediction and plastic correction)
- Repeat the same exercise with  $K = H = 0.5$ ,  ${}^0\alpha=0$ ,  ${}^0q=0$ . Take  $\Delta t = 1$ .



\*The evolution of  $\alpha$  and  $q$  also has to be kept track of.

# 1D INITIAL BOUNDARY VALUE PROBLEM WITH PLASTICITY

## Strong form

- Having discussed the virtual model of the frictional device, let's see what it takes to model plasticity in a 1D continuum, where *each* point has to obey the yield condition, Kuhn-Tucker condition, etc.
- In a 1D problem, the stress and the strain tensors both have only one component, but may vary with  $x$ .
- We consider the interval  $B=(0, L)$ .

- Pointwise relations

- Momentum equation:

$$\frac{\partial \sigma}{\partial x} + \rho b = \rho \frac{\partial v}{\partial t}$$

- Kinematic relation; velocity field

$$\varepsilon = \frac{\partial u}{\partial x} \qquad v = \frac{\partial u}{\partial t}$$

- Initial conditions

$$u(x,0) = u_0(x) \quad v(x,0) = v_0(x)$$

- Boundary conditions. There are many possibilities, e.g.,

$$u(0,t) = \bar{u}(t) \quad \sigma(L,t) = \bar{\sigma}(t)$$

Dirichlet

Neumann

- Questions:

- Can the initial and boundary conditions be arbitrarily prescribed?
- What is missing to completely define the evolution of the system?

# Weak form

- Weak form to get a matrix equation:

$$\text{trial space } S_t = \left\{ u(\cdot, t) \in H^1(B) \mid u(0, t) = \bar{u}(t) \right\}$$

$$\text{test space } V = \left\{ \eta \in H^1(B) \mid \eta(0) = 0 \right\}$$

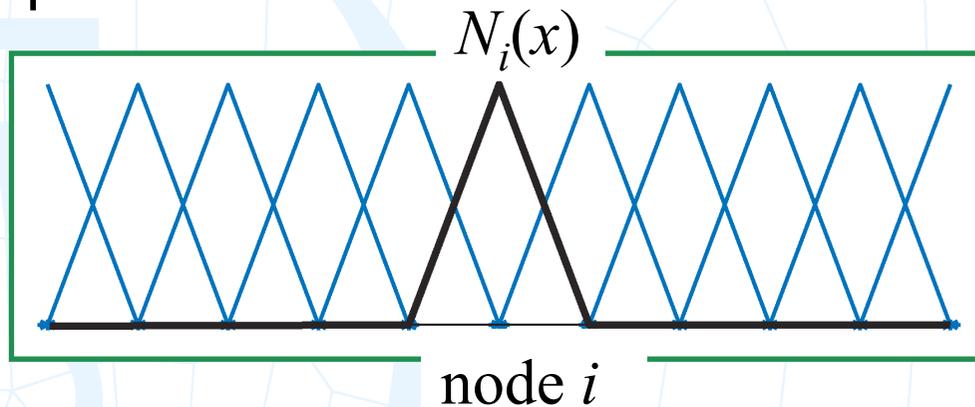
Find  $u \in S_t$  such that for all  $\eta \in V$  and all  $t \in [0, T]$ ,

$$\int_B \rho \frac{\partial v}{\partial t} \eta dx + \int_B \sigma \frac{d\eta}{dx} dx - \int_B \rho b \eta dx - \bar{\sigma} \eta(L) = 0$$

Note: This is exactly the same equation for elastodynamics, except that we don't have a simple constitutive relation between  $\sigma$  and  $\varepsilon$ .

# Matrix equation

With the finite element basis functions, we can rewrite the weak form as the following (semi-discrete) matrix equation:



$$u^h(x, t) = \bar{u}(t)N_0(x) + \sum_i N_i(x)u_i(t)$$

$$\eta^h(x) = \sum_i N_i(x)\eta_i$$

$$\mathbf{M}\ddot{\mathbf{u}} + \mathbf{F}^{\text{int}}(\boldsymbol{\sigma}^h) - \mathbf{F}^{\text{ext}}(t) = \mathbf{0}$$

Quasi-static problem:

$$\mathbf{F}^{\text{int}}(\boldsymbol{\sigma}^h) - \mathbf{F}^{\text{ext}}(t) = \mathbf{0}$$

$$M_{ij} = \int_B \rho N_i N_j dx$$

$$F_i^{\text{int}}(\sigma^h) = \int_B \sigma^h \frac{dN_i}{dx} dx$$

internal work of  
virtual displacement

$$F_i^{\text{ext}}(t) = \int_B \rho N_i (b - \ddot{u} N_0) dx + \bar{\sigma} N_i(L)$$

external work of  
virtual displacement

# Solution strategy for quasi-static analysis

- We discretize in time and use the backward Euler method. The solution procedure is stated as:

Given the state at time step  $n$ , find the displacement at the next time step  ${}^{n+1}u$  and the variables  $\{\varepsilon^p, \alpha, q, \sigma\}$  at time step  $n+1$  such that both the constitutive relation and the equilibrium equation are satisfied at time  $n+1$ .

- For practical reasons, the constitutive relation is enforced only at the Gauss quadrature points, while equilibrium is enforced in the sense of

$$\mathbf{F}^{\text{int}}(\sigma^h) - \mathbf{F}^{\text{ext}}(t) = 0$$

or its dynamic version with backward Euler approximation

*Below we drop the superscript  $h$  which denotes the finite element mesh.*

Due to the nonlinearity, an iterative solution procedure is needed:

- Make a guess of  ${}^{n+1}u$ , compute the corresponding  ${}^{n+1}\varepsilon$
- At the Gauss quadrature points, find values of  ${}^{n+1}\{\varepsilon^p, \alpha, q, \sigma\}$  according to the plasticity constitutive relation (elastic prediction-plastic correction)
- Check whether equilibrium is satisfied (within tolerance). If yes, start the next time step; otherwise, make another guess and start over.

# MULTI-DIMENSIONAL PLASTICITY

# Principal values and principal directions of real symmetric tensors

- Important properties of a real symmetric matrix (tensor)
  - The eigenvalues of any real symmetric matrix are all real. (simple proof on the board)
  - A real symmetric matrix is always diagonalizable. For a proof, see <http://www.mathresource.iitb.ac.in/linear%20algebra/proof10.3.4.html>
- Thus, there exist three mutually orthogonal eigenvectors for any given real symmetric tensor  $\mathbf{T}$  (such as the stress and strain tensors). We call them the principal directions of  $\mathbf{T}$ , and the corresponding eigenvalues the principal values.
- For repeating eigenvalues, the choice of the corresponding principal directions is not unique

# Principal scalar invariants of a tensor

- The characteristic equation of a tensor  $\mathbf{T}$  is

$$\det(\mathbf{T} - \lambda \mathbf{I}) = 0$$

- It can be written as

$$\lambda^3 - I_1 \lambda^2 + I_2 \lambda - I_3 = 0$$

where

$$I_1 = \text{tr } \mathbf{T} = \lambda_1 + \lambda_2 + \lambda_3$$

$$I_2 = \frac{1}{2} \left[ (\text{tr } \mathbf{T})^2 - \text{tr } \mathbf{T}^2 \right] = \lambda_1 \lambda_2 + \lambda_1 \lambda_3 + \lambda_2 \lambda_3$$

$$I_3 = \det \mathbf{T} = \lambda_1 \lambda_2 \lambda_3$$

**Remark:**

other definitions of  $I_1$ ,  $I_2$  and  $I_3$  can be found in the literature

# Principal stresses and principal strains

- The principal directions of the stress tensor and those of the strain tensor coincide for an isotropic linear elastic material. To see this, consider the strain tensor at some point. With its principal directions as coordinate axes, we can write

$$\boldsymbol{\varepsilon} = \begin{bmatrix} \varepsilon_{xx} & 0 & 0 \\ 0 & \varepsilon_{yy} & 0 \\ 0 & 0 & \varepsilon_{zz} \end{bmatrix}$$

In the same coordinate system, the stress is then given by

$$\boldsymbol{\sigma} = \begin{bmatrix} \lambda(\varepsilon_{xx} + \varepsilon_{yy} + \varepsilon_{zz}) + 2\mu\varepsilon_{xx} & 0 & 0 \\ 0 & \lambda(\varepsilon_{xx} + \varepsilon_{yy} + \varepsilon_{zz}) + 2\mu\varepsilon_{yy} & 0 \\ 0 & 0 & \lambda(\varepsilon_{xx} + \varepsilon_{yy} + \varepsilon_{zz}) + 2\mu\varepsilon_{zz} \end{bmatrix}$$

which is also diagonal.

# Multi-dimensional plasticity

## Stress invariants

$$\sigma_m = \frac{1}{3} I_1$$

mean stress

$$\boldsymbol{\sigma}' \equiv \boldsymbol{\sigma}^{\text{dev}} := \boldsymbol{\sigma} - \sigma_m \mathbf{I}$$

deviatoric stress tensor

$J$  are used to denote the invariants of the deviatoric tensor:

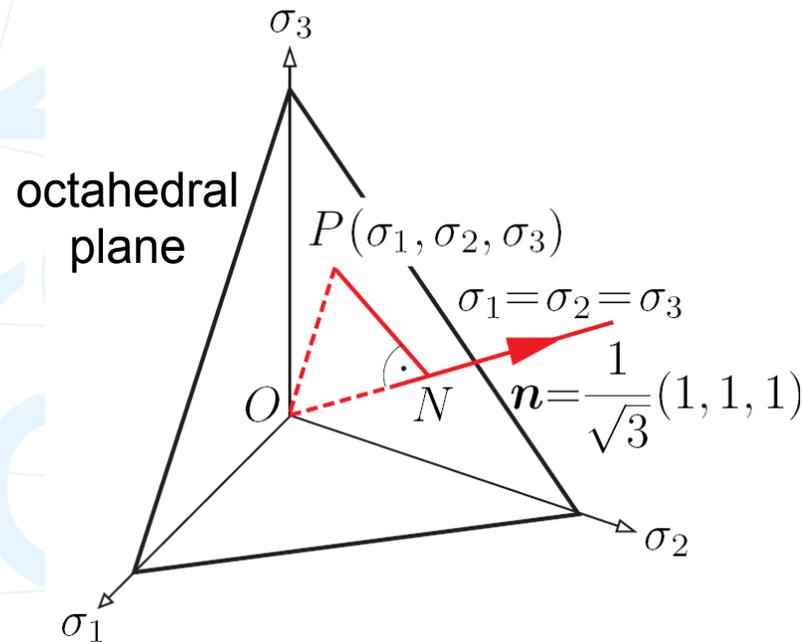
$$J_1 := \text{tr}(\boldsymbol{\sigma}') = \text{tr}(\boldsymbol{\sigma}) - 3\sigma_m = 0$$

$$\begin{aligned}
 J_2 &:= \frac{1}{2} \boldsymbol{\sigma}' : \boldsymbol{\sigma}' = \frac{1}{2} \boldsymbol{\sigma} : \boldsymbol{\sigma} - \frac{3}{2} \sigma_m^2 = \frac{1}{3} I_1^2 - I_2 \\
 &= \frac{1}{6} [(\sigma_1 - \sigma_2)^2 + (\sigma_1 - \sigma_3)^2 + (\sigma_2 - \sigma_3)^2]
 \end{aligned}$$

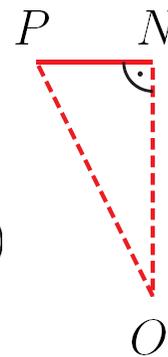
**Remark:** The definition of  $J_2$  as a function of invariants may vary depending on how the invariants are defined.

# Multi-dimensional plasticity

## Space of principal stresses



Stress states with the same  $I_1$  are on the same octahedral plane



$$|\overline{OP}| = \sqrt{\sigma_1^2 + \sigma_2^2 + \sigma_3^2}$$

$$|\overline{ON}| = \overline{OP} \cdot \mathbf{n} = \frac{I_1}{\sqrt{3}}$$

$$|\overline{PN}|^2 = |\overline{OP}|^2 - |\overline{ON}|^2 = 2J_2$$

- The first invariant of the stress tensor,  $I_1$ , indicates the distance from the octahedral plane to the origin
- The second invariant of the deviatoric tensor,  $J_2$ , indicates the distance to the spherical (hydrostatic) stress state ( $\sigma_1 = \sigma_2 = \sigma_3$ )

# Multi-dimensional plasticity

## Von Mises plasticity ( $J_2$ plasticity)

- Typically used for metals

- Yield function defined as  $f(\boldsymbol{\sigma}) := \sqrt{\frac{3}{2}} \|\boldsymbol{\sigma}'\| - \sigma_y = \sqrt{3J_2} - \sigma_y$

Equivalent (or von Mises) stress:  $\sigma_{\text{eq}} = \sqrt{3J_2}$

- Question: what is the shape of the yield surface  $f(\boldsymbol{\sigma}) = 0$ ?

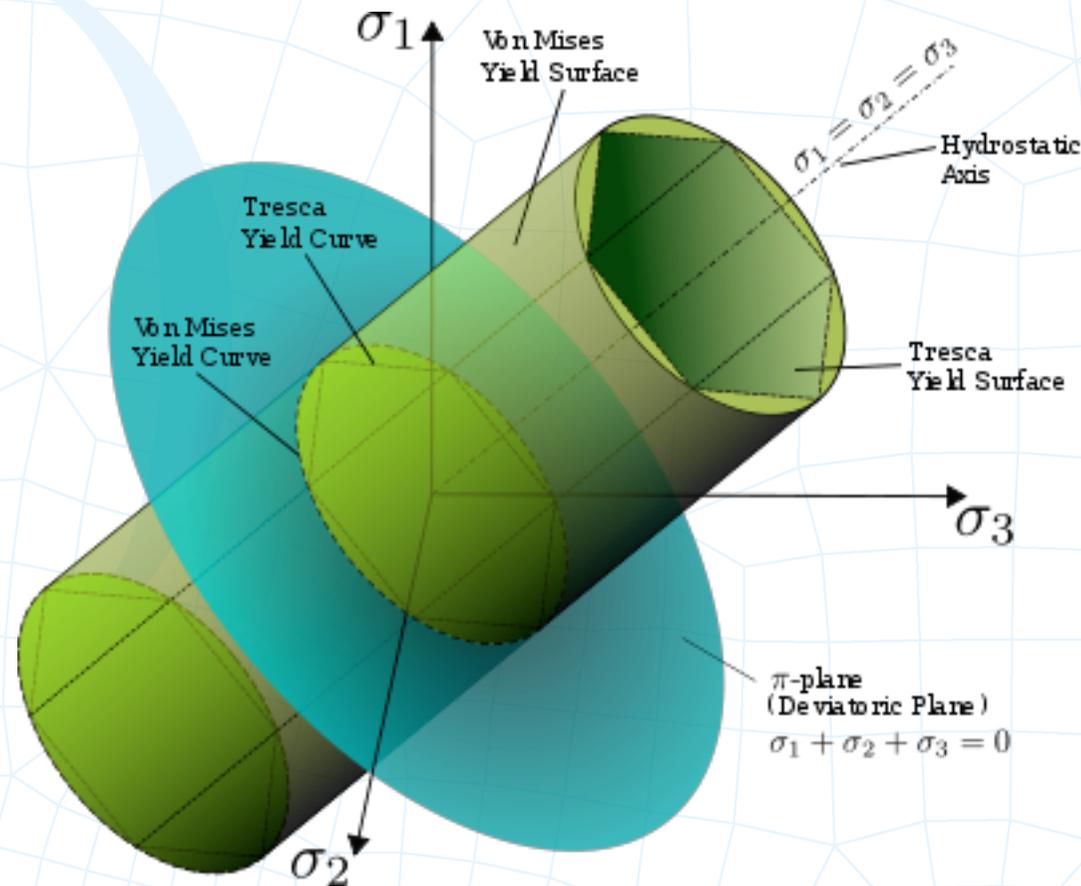
## Tresca plasticity

$$f(\boldsymbol{\sigma}) = \max\{|\sigma_1 - \sigma_2|, |\sigma_1 - \sigma_3|, |\sigma_2 - \sigma_3|\} - \sigma_y$$

Other yield criteria: Mohr-Coulomb, Drucker-Prager

# Shape of the yield surface

- A cylindrical surface whose axis is given by the line pointing the direction  $(1,1,1)$ .



The numerical procedure is similar as for 1D problems



# ELASTICITY

Laboratori de Càlcul Numèric (LaCàN)  
Dep. de Matemàtica Aplicada III  
Universitat Politècnica de Catalunya  
[www-lacan.upc.es](http://www-lacan.upc.es)



# Isotropic Linear Elasticity

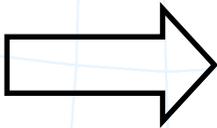
## Linear elasticity

1. Elasticity: one-to-one relation between stress and deformation
2. Linear: small deformations and natural configuration  $\varepsilon=0$ ,  $\sigma=0$

$$\sigma = C : \varepsilon$$

$$\sigma_{ij} = C_{ijkl} \varepsilon_{kl}$$

$C$  is a 4th order tensor (81 components) with symmetries

(symmetry of $\varepsilon$ )	$C_{ijkl} = C_{ijlk}$		21 material parameters!
(symmetry of $\sigma$ )	$C_{ijkl} = C_{jikl}$		
(major symmetry)	$C_{ijkl} = C_{klij}$		

## Isotropy

The behaviour is independent of the orientation. The expression of  $\mathbf{C}$  does not depend on the orthogonal coordinate system  $\Rightarrow \mathbf{C}$  does not depend on the basis considered:

$$C_{ijkl} = \lambda \delta_{ij} \delta_{kl} + \mu (\delta_{ik} \delta_{jl} + \delta_{il} \delta_{jk}) + \omega (\delta_{ik} \delta_{jl} - \delta_{il} \delta_{jk})$$

$\Downarrow$  Major symmetry

$$C_{ijkl} = \lambda \delta_{ij} \delta_{kl} + \mu (\delta_{ik} \delta_{jl} + \delta_{il} \delta_{jk})$$

$$\lambda, \mu$$

Lamé constants (material parameters)

- **Lamé equations** (linear isotropic elasticity)

$$\boldsymbol{\sigma} = \lambda \operatorname{tr}(\boldsymbol{\varepsilon}) \mathbf{I} + 2\mu \boldsymbol{\varepsilon}$$

- Inverse Lamé equations:

$$\boldsymbol{\varepsilon} = \frac{-\lambda \operatorname{tr}(\boldsymbol{\sigma})}{2\mu(3\lambda + 2\mu)} \mathbf{I} + \frac{1}{2\mu} \boldsymbol{\sigma}$$

- Usually, the following parameters are used:

$$G = \mu$$

Shear modulus

$$E = \frac{\mu(3\lambda + 2\mu)}{\lambda + \mu}$$

Young's modulus

$$\nu = \frac{\lambda}{2(\lambda + \mu)}$$

Poisson's ratio

Relations:

$$G = \frac{E}{2(1 + \nu)}$$

Limiting values:

$$E > 0, \quad G > 0, \quad -1 < \nu < 0.5$$

- Inverse relations:

$$\lambda = \frac{E\nu}{(1 + \nu)(1 - 2\nu)}$$

$$\mu = \frac{E}{2(1 + \nu)}$$

Note:  $\nu \rightarrow 0.5 \Leftrightarrow \lambda \rightarrow \infty$

Full incompressibility is imposed with an additional constraint

$$tr(\boldsymbol{\varepsilon}) = 0$$

- Constitutive equation:

$$\boldsymbol{\varepsilon} = -\frac{\nu}{E} \text{tr}(\boldsymbol{\sigma}) \mathbf{I} + \frac{1 + \nu}{E} \boldsymbol{\sigma}$$

- For each component:

$$\begin{aligned} \varepsilon_{xx} &= \frac{1}{E} [\sigma_{xx} - \nu(\sigma_{yy} + \sigma_{zz})] & \varepsilon_{xy} &= \frac{1}{2G} \sigma_{xy} \\ \varepsilon_{yy} &= \frac{1}{E} [\sigma_{yy} - \nu(\sigma_{xx} + \sigma_{zz})] & \varepsilon_{xz} &= \frac{1}{2G} \sigma_{xz} \\ \varepsilon_{zz} &= \frac{1}{E} [\sigma_{zz} - \nu(\sigma_{xx} + \sigma_{yy})] & \varepsilon_{yz} &= \frac{1}{2G} \sigma_{yz} \end{aligned}$$

# Physical interpretations of E, G and $\nu$

- Shear modulus:

$$\epsilon_{xy} = \frac{1}{2G} \sigma_{xy}$$

G: stiffness of shear deformation

- Young or elastic modulus:

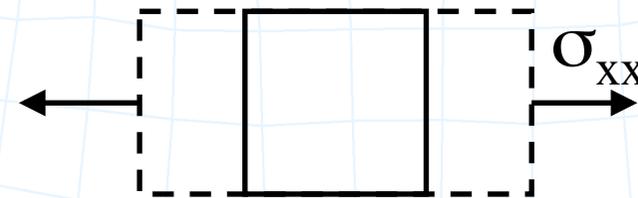
$$\epsilon = -\frac{\nu}{E} \text{tr}(\sigma) \mathbf{I} + \frac{1 + \nu}{E} \sigma$$

E: stiffness

- Poisson coefficient:

- $\nu=0$ :

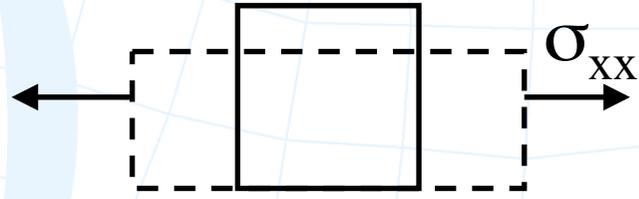
$$\begin{aligned} \epsilon_{xx} &= \frac{1}{E} \sigma_{xx} \\ \epsilon_{yy} &= \frac{1}{E} \sigma_{yy} \end{aligned}$$



$$\sigma_{yy} = 0 \Rightarrow \epsilon_{yy} = 0$$

- $\nu \neq 0$ : **Poisson effect**

$$\sigma_{yy} = \sigma_{zz} = 0, \sigma_{xx} \neq 0 \Rightarrow \varepsilon_{yy} = -\frac{\nu}{E}\sigma_{xx}$$



- Incompressibility when:  $\nu \rightarrow 0.5$

$$\text{tr}(\varepsilon) = 0$$

$$\nabla \cdot u = 0$$

- Usually, the symmetric tensors  $\sigma$  and  $\varepsilon$  are written as 6-dimensional vectors (3-dimensional in 2D):

$$\varepsilon = \begin{pmatrix} \varepsilon_x & \gamma_{xy}/2 & \gamma_{xz}/2 \\ \text{(sym)} & \varepsilon_y & \gamma_{yz}/2 \\ & & \varepsilon_z \end{pmatrix} \rightarrow \varepsilon = \begin{pmatrix} \varepsilon_x \\ \varepsilon_y \\ \varepsilon_z \\ \gamma_{xy} \\ \gamma_{xz} \\ \gamma_{yz} \end{pmatrix}$$
  

$$\sigma = \begin{pmatrix} \sigma_x & \tau_{xy} & \tau_{xz} \\ \text{(sym)} & \sigma_y & \tau_{yz} \\ & & \sigma_z \end{pmatrix} \rightarrow \sigma = \begin{pmatrix} \sigma_x \\ \sigma_y \\ \sigma_z \\ \tau_{xy} \\ \tau_{xz} \\ \tau_{yz} \end{pmatrix}$$

- Constitutive equations:

$$\epsilon = \begin{pmatrix} 1/E & -\nu/E & -\nu/E & & & \\ -\nu/E & 1/E & -\nu/E & & & \\ -\nu/E & -\nu/E & 1/E & & & \\ & & & 1/G & & \\ & & & & 1/G & \\ & & & & & 1/G \end{pmatrix} \sigma$$

- Constitutive equations:

$$\sigma = \lambda \text{tr}(\epsilon) \mathbf{I} + 2\mu \epsilon = \mathbf{C} \epsilon$$

$$\mathbf{C} = \frac{E}{(1 + \nu)(1 - 2\nu)} \begin{bmatrix} 1 - \nu & \nu & \nu & 0 & 0 & 0 \\ \nu & 1 - \nu & \nu & 0 & 0 & 0 \\ \nu & \nu & 1 - \nu & 0 & 0 & 0 \\ 0 & 0 & 0 & \frac{1 - 2\nu}{2} & 0 & 0 \\ 0 & 0 & 0 & 0 & \frac{1 - 2\nu}{2} & 0 \\ 0 & 0 & 0 & 0 & 0 & \frac{1 - 2\nu}{2} \end{bmatrix}$$

# LINEAR ISOTROPIC ELASTICITY EQUATIONS

- Unknowns: displacements
- Equations:
  - (1) Equilibrium equations
  - (2) Kinematic relations
  - (3) Constitutive equations

$$\mathbf{u} = \mathbf{u}(\mathbf{x}, t)$$

$$\rho \mathbf{b} + \nabla \cdot \boldsymbol{\sigma} = \rho \frac{d^2 \mathbf{u}}{dt^2}$$

$$\boldsymbol{\varepsilon} = \nabla^s \mathbf{u}$$

$$\boldsymbol{\sigma} = \lambda \operatorname{tr}(\boldsymbol{\varepsilon}) \mathbf{I} + 2\mu \boldsymbol{\varepsilon}$$

- By substituting (2) in (3), and (3) in (1) we obtain the Navier equations

$$(\lambda + \mu) \nabla (\nabla \cdot \mathbf{u}) + \mu \Delta \mathbf{u} + \rho \mathbf{b} = \rho \frac{d^2 \mathbf{u}}{dt^2}$$

- **Initial conditions**  $\mathbf{u}(\mathbf{x}, 0) = \mathbf{u}_0(\mathbf{x})$  and initial velocity  
Example: undeformed and unstressed configuration:

$$\mathbf{u}(\mathbf{x}, 0) = \mathbf{0}, \quad \boldsymbol{\varepsilon}(\mathbf{x}, 0) = \mathbf{0}, \quad \boldsymbol{\sigma}(\mathbf{x}, 0) = \mathbf{0}$$

- **Boundary conditions:**

1. Prescribed conditions:

$$\mathbf{u}(\mathbf{x}, t) = \mathbf{u}^D(\mathbf{x}, t), \quad \mathbf{x} \in \Gamma^D$$

2. Boundary loads:

$$\mathbf{t}(\mathbf{x}, t) := \boldsymbol{\sigma}(\mathbf{x}, t) \cdot \mathbf{n} = \mathbf{t}^N(\mathbf{x}, t), \quad \mathbf{x} \in \Gamma^N$$

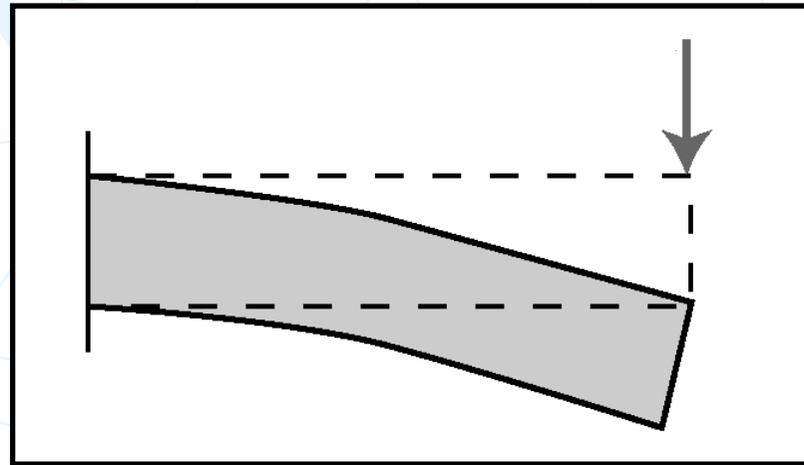
3. Mixed conditions:

$$u_i(\mathbf{x}, t) = u_i^D(\mathbf{x}, t), \quad \mathbf{x} \in \Gamma^M$$

$$t_j(\mathbf{x}, t) = t_j^N(\mathbf{x}, t), \quad \mathbf{x} \in \Gamma^M$$

# PROBLEM STATEMENT IN ELASTOSTATICS

1. We are only interested in the stationary (final configuration is in equilibrium)



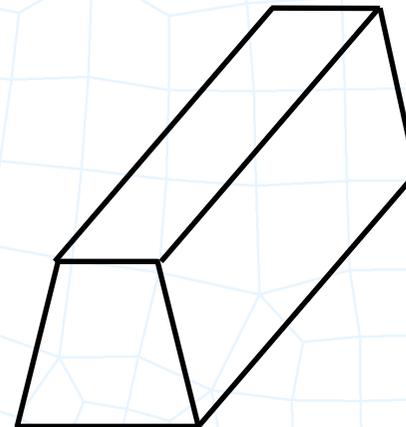
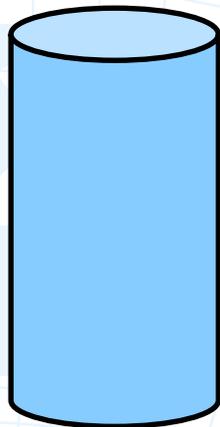
2. Negligible inertial forces:

$$\rho \mathbf{b} + \nabla \cdot \boldsymbol{\sigma} = \mathbf{0}$$

# PLANE ELASTICITY

- Plane strain

“If the deformation of a cylindrical body is such that there is no axial components of the displacement and that the other components do not depend on the axial coordinate, then the body is said to be in a state of plane strain. Such a state of strain exists for example in a cylindrical body whose end faces are prevented from moving axially and whose lateral surface are acted on by loads that are independent of the axial position and without axial components.”



# PLANE ELASTICITY

## Plane strain hypothesis

- Hypothesis:

$$\mathbf{u} = \mathbf{u}(x, y) \quad (\text{independent of } z)$$

$$u_z = 0$$

- Then,

$$\varepsilon_z = \gamma_{xz} = \gamma_{yz} = 0$$

$$\boldsymbol{\varepsilon} = \begin{pmatrix} \varepsilon_x \\ \varepsilon_y \\ \gamma_{xy} \end{pmatrix}$$

$$\sigma_x = (\lambda + 2\mu)\varepsilon_x + \lambda\varepsilon_y$$

$$\sigma_y = \lambda\varepsilon_x + (\lambda + 2\mu)\varepsilon_y$$

$$\tau_{xy} = \mu\gamma_{xy}$$

$$\sigma_z = \lambda(\varepsilon_x + \varepsilon_y) = \nu(\sigma_x + \sigma_y)$$

$$\tau_{xz} = \tau_{yz} = 0$$

$$\boldsymbol{\sigma} = \mathbf{C}^{PE} \boldsymbol{\varepsilon}$$

$$\boldsymbol{\varepsilon} = \begin{pmatrix} \varepsilon_x \\ \varepsilon_y \\ \gamma_{xy} \end{pmatrix} \quad \boldsymbol{\sigma} = \begin{pmatrix} \sigma_x \\ \sigma_y \\ \tau_{xy} \end{pmatrix}, \quad \sigma_z = \nu(\sigma_x + \sigma_y)$$

$$\mathbf{C}^{PE} = \frac{E}{(1 + \nu)(1 - 2\nu)} \begin{pmatrix} 1 - \nu & \nu & & \\ \nu & 1 - \nu & & \\ & & & (1 - 2\nu)/2 \end{pmatrix}$$

# PLANE ELASTICITY

## Plane stress hypothesis

- Hypothesis:

- $\mathbf{u} = \mathbf{u}(x, y)$  (independent of  $z$ )
- Loads applied only on the  $xy$ -plane, plane stresses only
- Appropriate for thin structures

- Then,

$$\sigma_z = \tau_{xz} = \tau_{yz} = 0$$

$$\boldsymbol{\sigma} = \begin{pmatrix} \sigma_x \\ \sigma_y \\ \tau_{xy} \end{pmatrix}$$

$$\begin{aligned} \varepsilon_x &= (\sigma_x - \nu\sigma_y)/E \\ \varepsilon_y &= (\sigma_y - \nu\sigma_x)/E \\ \gamma_{xy} &= \tau_{xy}/\mu \end{aligned}$$

$$\begin{aligned} \varepsilon_z &= -\frac{\nu}{E} (\sigma_x + \sigma_y) = -\frac{\nu}{1-\nu} (\varepsilon_x + \varepsilon_y) \\ \gamma_{xz} &= \gamma_{yz} = 0 \end{aligned}$$

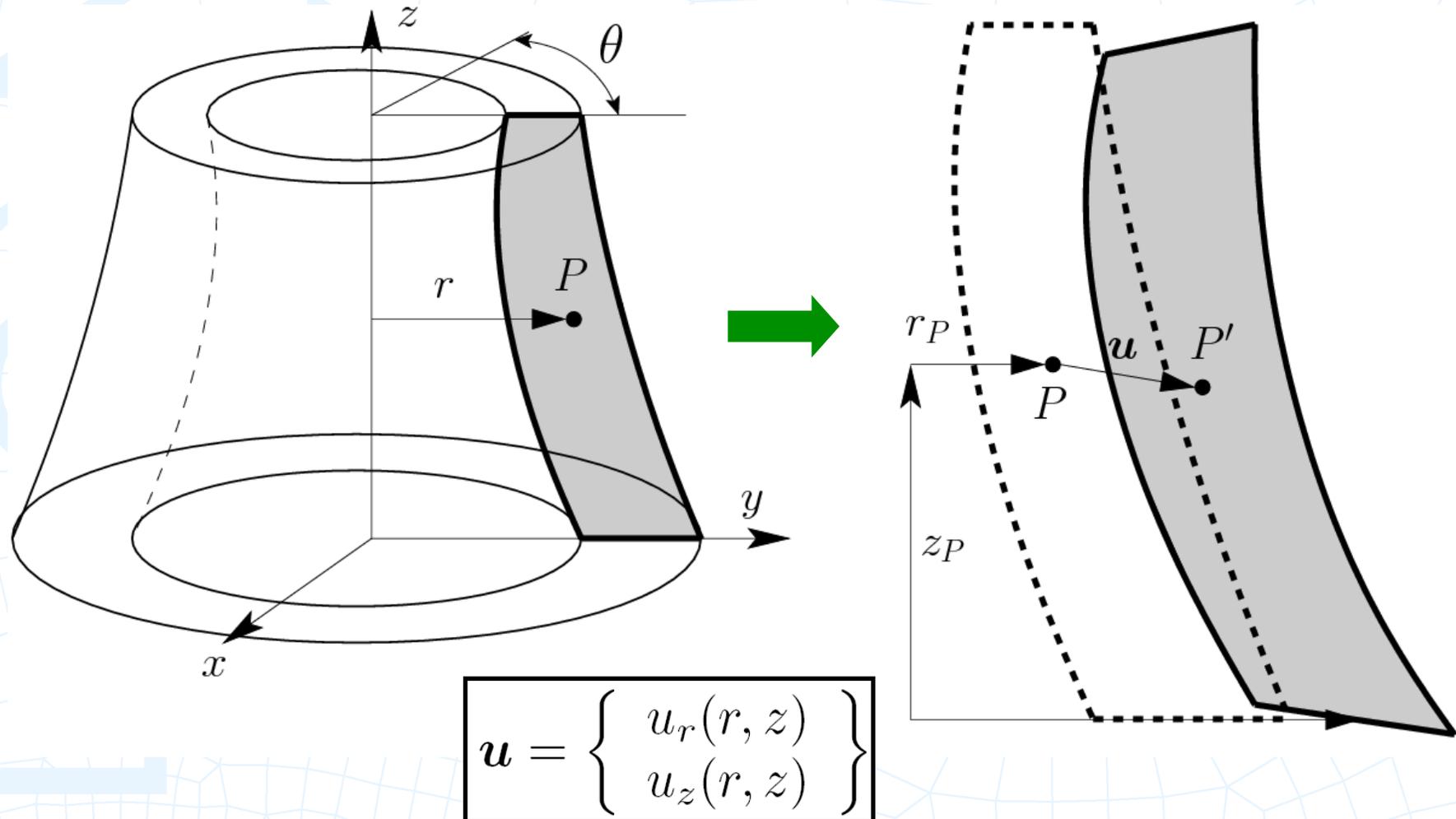
$$\sigma = C^{P\sigma} \varepsilon$$

$$\varepsilon = \begin{pmatrix} \varepsilon_x \\ \varepsilon_y \\ \gamma_{xy} \end{pmatrix} \quad \sigma = \begin{pmatrix} \sigma_x \\ \sigma_y \\ \tau_{xy} \end{pmatrix} \quad \varepsilon_z = -\frac{\nu}{1-\nu} (\varepsilon_x + \varepsilon_y)$$

$$C^{P\sigma} = \frac{E}{1-\nu^2} \begin{pmatrix} 1 & \nu & \\ \nu & 1 & \\ & & (1-\nu)/2 \end{pmatrix}$$

# AXISYMMETRIC ELASTICITY

**Axial symmetry** : The geometry, material and boundary conditions are independent of  $\theta$  :



# AXISYMMETRIC ELASTICITY

## Strains:

- Radial:

$$\varepsilon_r = \frac{\partial u_r}{\partial r}$$

- Axial:

$$\varepsilon_z = \frac{\partial u_z}{\partial z}$$

- Shear:

$$\gamma_{rz} = \frac{\partial u_r}{\partial z} + \frac{\partial u_z}{\partial r}$$

- Circumferential:

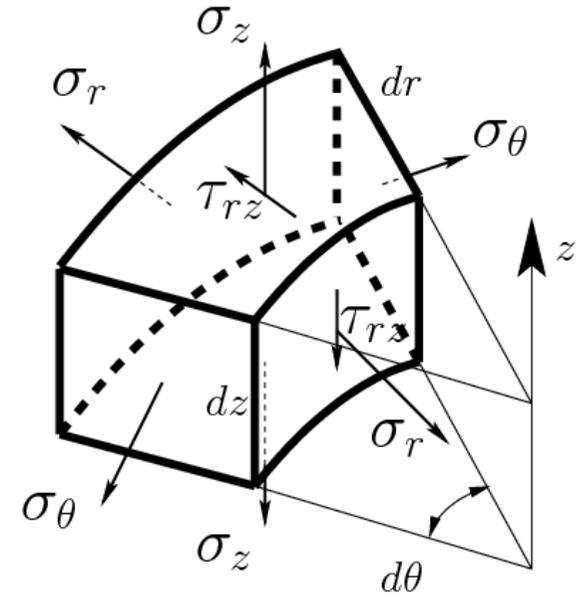
$$\varepsilon_\theta = \frac{2\pi(r + u_r) - 2\pi r}{2\pi r} = \frac{u_r}{r}$$

$$\varepsilon^t = \{ \varepsilon_r \ \varepsilon_z \ \varepsilon_\theta \ \gamma_{rz} \}$$

# AXISYMMETRIC ELASTICITY

## Stresses:

- In  $dV = r d\theta dr dz$



$$\sigma^t = \{ \sigma_r \ \sigma_z \ \sigma_\theta \ \tau_{rz} \}$$

$$\sigma = C^{AX} \epsilon$$

$$C^{AX} = \frac{E}{(1 + \nu)(1 - 2\nu)} \begin{bmatrix} 1 - \nu & \nu & \nu & 0 \\ \nu & 1 - \nu & \nu & 0 \\ \nu & \nu & 1 - \nu & 0 \\ 0 & 0 & 0 & \frac{1 - 2\nu}{2} \end{bmatrix}$$

# WEAK FORM

# WEAK FORM

## Equilibrium + boundary c.:

$$\nabla \cdot \sigma + \rho b = \rho \ddot{u}$$

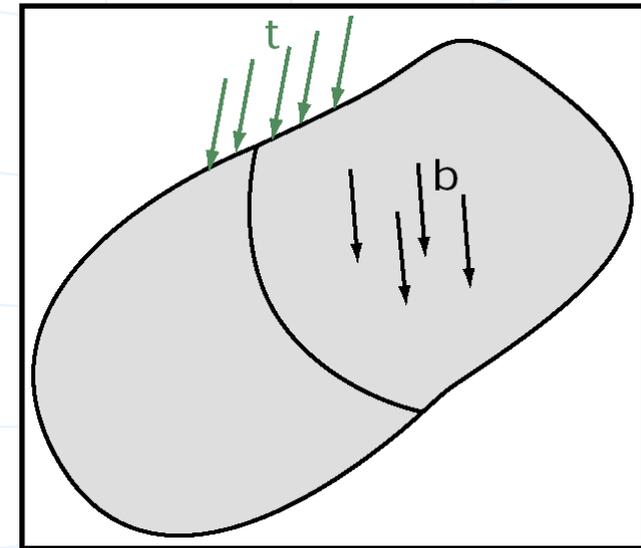
in  $V$

$$u = u_D$$

on  $\Gamma^d$

$$\sigma n = t$$

on  $\Gamma^n$



## Method of weighted residuals

1. Multiply by a test function,  $v$  with  $v = 0$  on  $\Gamma^d$ :

$$\int_V v \cdot (\nabla \cdot \sigma) + \int_V \rho v \cdot b = \int_V \rho v \cdot \ddot{u}, \forall v \in [\mathcal{H}^1(V)]^d$$

$d$ : number of spatial dimensions (2 or 3)

# WEAK FORM

2. Taking into account that  $\nabla \cdot (v \cdot \sigma) = \nabla v : \sigma + v \cdot (\nabla \cdot \sigma)$

$$\int_V \nabla \cdot (v \cdot \sigma) - \int_V \nabla v : \sigma + \int_V \rho v \cdot b = \int_V \rho v \cdot \ddot{u}$$

3. Using the divergence theorem in the first integral, the boundary conditions, and the fact that  $v = 0$  on  $\Gamma^d$ :

$$\int_V \rho v \cdot \ddot{u} + \int_V \nabla v : \sigma = \int_V \rho v \cdot b + \int_{\Gamma^n} t \cdot v, \forall v \in [\mathcal{H}^1(V)]^d$$

# WEAK FORM

Can also be deduced from the principle of virtual work (PVW):

- Virtual displacements  $\boldsymbol{v}$ .
- $\boldsymbol{\sigma}$  is symmetric  $\Rightarrow \nabla \boldsymbol{v} : \boldsymbol{\sigma} = \nabla^s \boldsymbol{v} : \boldsymbol{\sigma} = \boldsymbol{\varepsilon}(\boldsymbol{v}) : \boldsymbol{\sigma}$ , therefore:

$$\int_V \rho \boldsymbol{v} \cdot \ddot{\boldsymbol{u}} + \int_V \boldsymbol{\varepsilon}(\boldsymbol{v}) : \boldsymbol{\sigma} = \int_V \rho \boldsymbol{v} \cdot \boldsymbol{b} + \int_{\Gamma^n} \boldsymbol{t} \cdot \boldsymbol{v}$$

VW of inertial forces

VW of internal (elastic) forces

VW of volumetric loads

VW of boundary loads

VW of external loads

# DISCRETIZATION

## Idea of discretisation

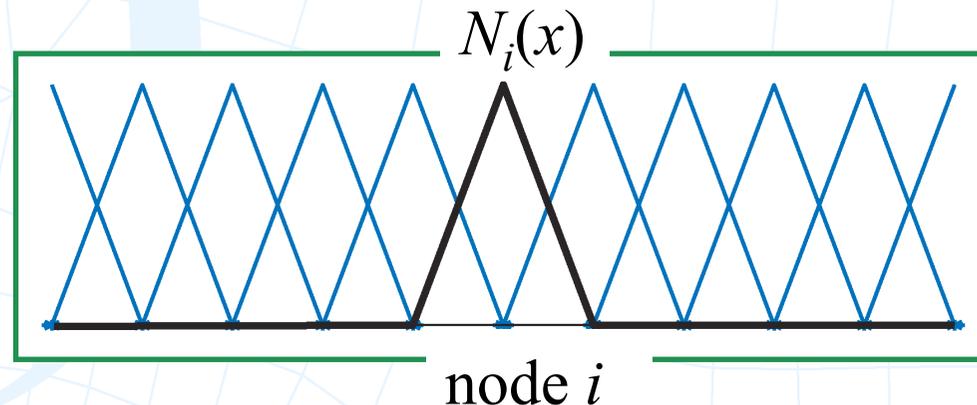
- The unknown displacement field  $\mathbf{u}(t)$  (for a fixed  $t$ ) and the virtual displacement field  $\mathbf{v}$  can vary in an infinite number of ways
- To obtain a manageable system, we ask  $\mathbf{u}(t)$  and  $\mathbf{v}$  to take specific forms, i.e., as linear combinations of a chosen set of basis functions
- This is called the *semi-discretized* weak form, because time is still continuous. The semi-discretized weak form can be rewritten as a coupled system of ordinary differential equations
- The discretization in time will be discussed in the next class

# DISCRETIZATION

## Typical finite element interpolation in 1D

- Each unknown field is interpolated with hat functions (linear  $C^0$ , quadratic  $C^0$ , cubic  $C^0$  or  $C^1, \dots$ ):

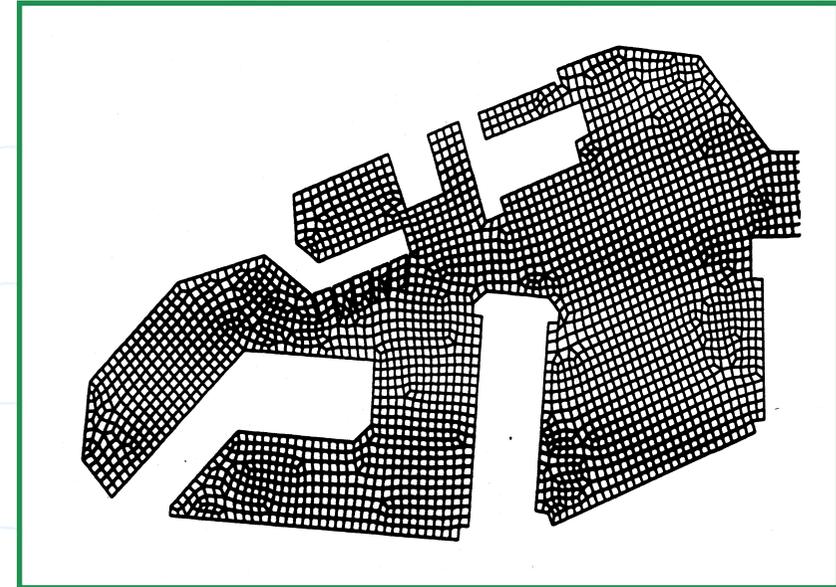
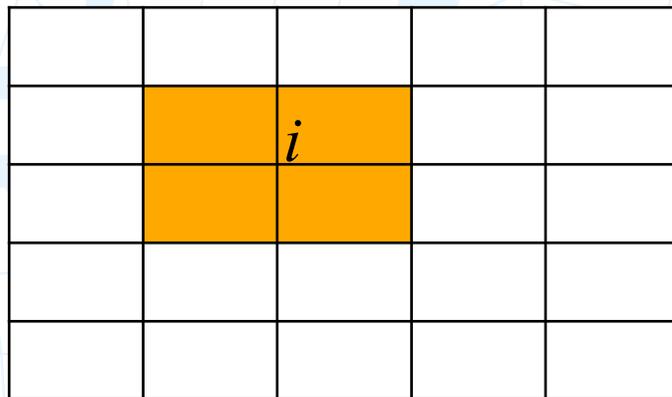
$$u(x) \simeq u^h(x) = \sum_i u_i N_i(x)$$



- Advantages:
  - Compact support (local bases)  $\Rightarrow$  sparse matrices
  - Easy to integrate
  - Physical meaning of the coefficients  $u_i$ , since  $N_i(x_j) = \delta_{ij}$   
 $u^h(x_i) = u_i$

# DISCRETIZATION

- Each node  $i$  is associated with a basis function (“shape function”)  $N_i$  such that
  - $N_i(\mathbf{x}_j) = \delta_{ij}$ , where  $\mathbf{x}_j$  is the coordinate of node  $j$
  - $N_i = 0$  in the elements that do not have node  $i$  as their node

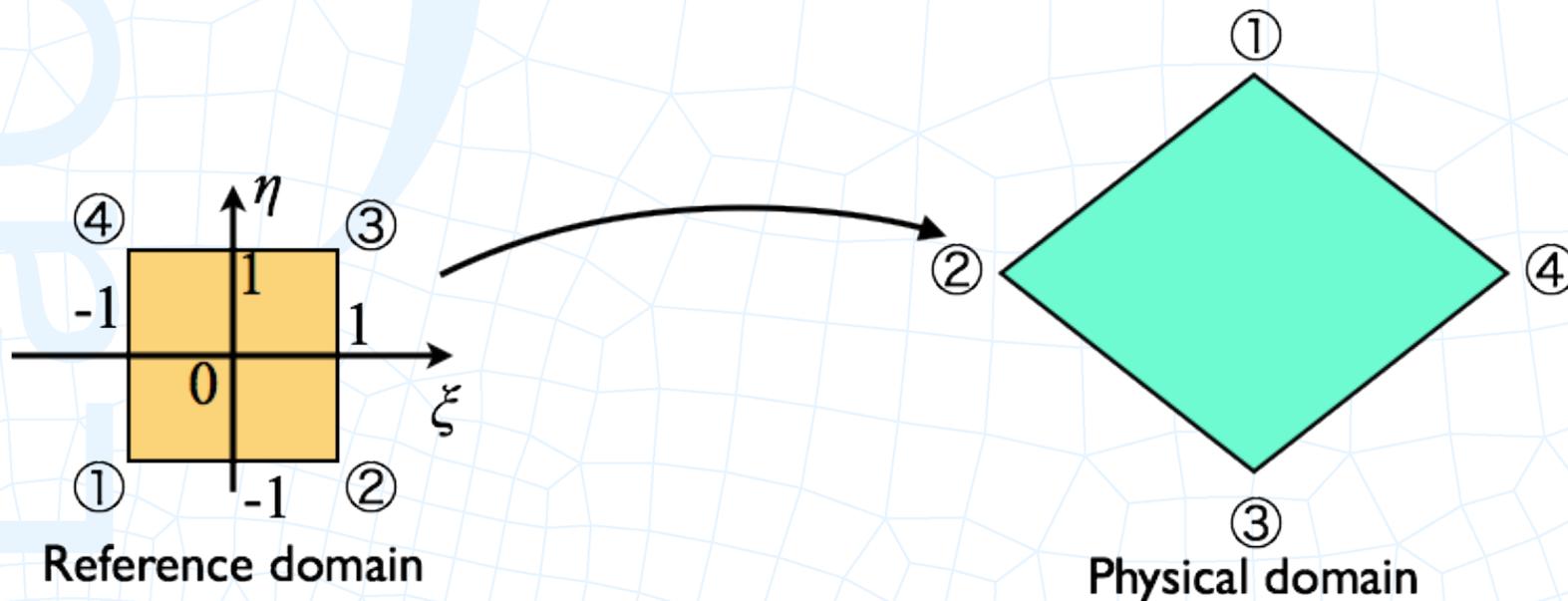


A 2D mesh with quadrilaterals

The support of  $N_i$  (region on which  $N_i \neq 0$ )

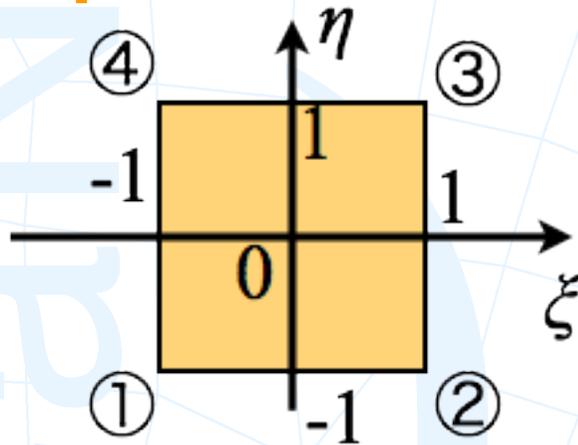
# DISCRETIZATION

- Especially in multidimensions, the shape functions are normally not written in the physical coordinates  $x, y$  ( $, z$ ).
- Instead, we define a reference domain that can be mapped to all elements, each with a different mapping.
- If we want to evaluate the shape function of a given point  $(x, y)$ , we need to first find the corresponding  $(\xi, \eta)$



# DISCRETIZATION

## Interpolation in 2D:



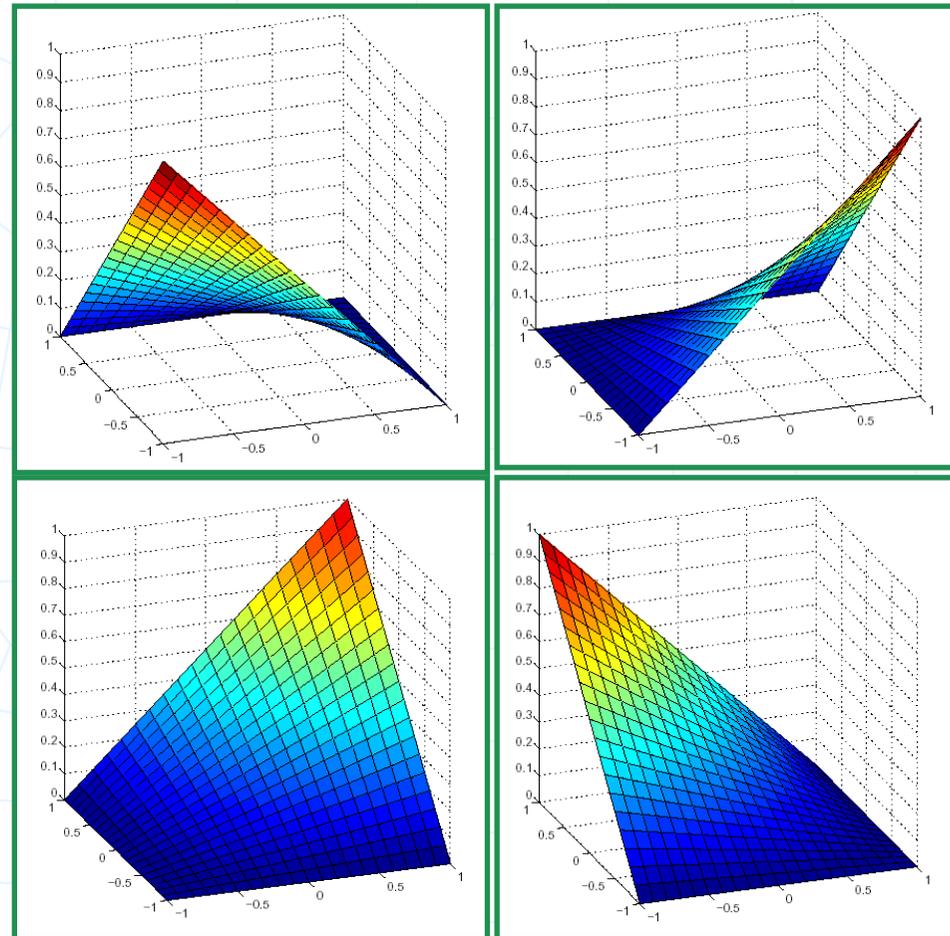
$$N_1 = \frac{1}{4}(1 - \xi)(1 - \eta)$$

$$N_2 = \frac{1}{4}(1 + \xi)(1 - \eta)$$

$$N_3 = \frac{1}{4}(1 + \xi)(1 + \eta)$$

$$N_4 = \frac{1}{4}(1 - \xi)(1 + \eta)$$

Remark:  $\sum_{i=1}^4 N_i(\xi, \eta) = 1$



# DISCRETIZATION

## Dirichlet boundary conditions:

- The prescribed values  $u_D$  are used in the interpolation:

$$u^h(x) = \sum_{i \notin \Gamma^d} u_i N_i(x) + \underbrace{\sum_{j \in \Gamma^d} u_D(x_j) N_j(x)}_{\phi(x)}$$

- $u^h(x)$  satisfies (up to interpolation error) the Dirichlet (or essential) boundary condition  $u = u_D$  on  $\Gamma^d$ .
- Test functions:  $v^h(x) = \sum_{i \notin \Gamma^d} v_i N_i(x)$ ; hence  $v^h(x_i) = 0$  for  $x_i \in \Gamma^d$ .
- There are other techniques to impose Dirichlet boundary conditions: Lagrange multipliers, penalty methods, Nitsche's method...

# DISCRETIZATION

$d$ -dimensional vector

## Small deformation hypothesis:

- Constitutive law:

$$\boldsymbol{\sigma}^h = \mathcal{C} : \boldsymbol{\varepsilon}(\mathbf{u}^h) = \mathcal{C} \sum_j \mathbf{B}_j(\mathbf{x}) \mathbf{u}_j$$

with:

$$\mathbf{B}_j(\mathbf{x}) = \begin{bmatrix} \frac{\partial N_j(\mathbf{x})}{\partial x} & 0 \\ 0 & \frac{\partial N_j(\mathbf{x})}{\partial y} \\ \frac{\partial N_j(\mathbf{x})}{\partial y} & \frac{\partial N_j(\mathbf{x})}{\partial x} \end{bmatrix} \quad \text{for 2D}$$

- Semi-discretized* weak form (spatial discretization, no temporal discretization):

$$\int_V \rho \mathbf{v}^h \cdot \ddot{\mathbf{u}}^h + \int_V \boldsymbol{\varepsilon}(\mathbf{v}^h) : \boldsymbol{\sigma}^h = \int_V \rho \mathbf{v}^h \cdot \mathbf{b} + \int_{\Gamma^n} \mathbf{t} \cdot \mathbf{v}^h, \quad \forall \mathbf{v}^h$$

## Exercise

- Write down the  $\mathbf{B}_j$  matrix for 3D and axisymmetric settings

## SEMI-DISCRETIZED WEAK-FORM SOLUTION

- Using  $u^h(x)$  and  $v^h(x)$  in the weak form we obtain:

$$\mathbf{v}_i \cdot \left( \sum_j \mathbf{m}_{ij} \ddot{\mathbf{u}}_j + \sum_j \mathbf{k}_{ij} \mathbf{u}_j \right) = \mathbf{v}_i \cdot \mathbf{f}_i, \quad \forall \mathbf{v}_i$$

where,

$d \times d$

$$\mathbf{m}_{ij} = \int_V \rho N_i(\mathbf{x}) N_j(\mathbf{x}) \mathbf{I} dV$$

$d \times d$

$$\mathbf{k}_{ij} = \int_V \mathbf{B}_i(\mathbf{x})^T \mathbf{C} \mathbf{B}_j(\mathbf{x}) dV$$

$d \times 1$

$$\mathbf{f}_i = \int_V \rho N_i(\mathbf{x}) \mathbf{b} dV + \int_{\Gamma^n} N_i(\mathbf{x}) \mathbf{t} d\Gamma$$

$$- \int_V \mathbf{B}_i(\mathbf{x})^T \mathbf{C} \boldsymbol{\varepsilon}(\phi) dV - \int_V \rho N_i(\mathbf{x}) \ddot{\phi}(\mathbf{x}) dV$$

## SEMI-DISCRETIZED WEAK-FORM SOLUTION

- Since this must be satisfied for all  $v_i$ , we end up with the following system of equations:

$$\mathbf{M}\ddot{\mathbf{u}} + \mathbf{K}\mathbf{u} = \mathbf{f}$$

where,

$$\mathbf{u} = \begin{pmatrix} u_1 \\ \vdots \\ u_{nnodes} \end{pmatrix}, \quad \mathbf{f} = \begin{pmatrix} f_1 \\ \vdots \\ f_{nnodes} \end{pmatrix}$$

$$\mathbf{M} = \underset{i,j=1}{\overset{nnodes}{\mathbf{A}}} m_{ij}, \quad \mathbf{K} = \underset{i,j=1}{\overset{nnodes}{\mathbf{A}}} k_{ij}$$

**A** means “assemble,” superposing elemental contributions to the global matrices **M** and **K**

# NUMERICAL INTEGRATION

- Numerical integration with weights  $W_g$  and  $nint$  points  $\mathbf{x}_g$ :

$$\mathbf{m}_{ij} = \sum_{g=1}^{nint} W_g \rho_g N_i(\mathbf{x}_g) N_j(\mathbf{x}_g) \mathbf{I}$$

$$\mathbf{k}_{ij} = \sum_{g=1}^{nint} W_g \mathbf{B}_i(\mathbf{x}_g)^T \mathbf{C} \mathbf{B}_j(\mathbf{x}_g)$$

$$\mathbf{f}_i = \sum_{g=1}^{nint} W_g \rho_g N_i(\mathbf{x}_g) \mathbf{b}_g + \sum_{g=1}^{nint} W_g N_i(\mathbf{x}_g) \mathbf{t}_g - \dots$$

- The stresses are also evaluated at  $\mathbf{x}_g$ :

$$\boldsymbol{\sigma}(\mathbf{x}_g) = \mathbf{C} \sum_j \mathbf{B}_j(\mathbf{x}_g) \mathbf{u}_j$$

# Solving the system of equations

- Static problems (no need to compute matrix  $M$ )
  - Solve the matrix equation (direct solver vs iterative solver)

$$\mathbf{K}\mathbf{u} = \mathbf{f}$$

- Dynamic problems: the discretised equations are a coupled set of ordinary differential equations

$$\mathbf{M}\ddot{\mathbf{u}} + \mathbf{K}\mathbf{u} = \mathbf{f}$$

- Select a time step  $\Delta t$
- Adopt an algorithm to advance in discrete time steps  $\Delta t, 2\Delta t, 3\Delta t, \dots$
- Stability and accuracy need to be considered (next class)

# Linear vs Non-linear elasticity

- Linear elasticity

$$\mathbf{x} \approx \mathbf{X}, |\partial_i u_j| \ll 1$$

$$w^{el} = \frac{1}{2} \boldsymbol{\varepsilon} : \mathbf{C} : \boldsymbol{\varepsilon}$$

$$\boldsymbol{\sigma} = \frac{\partial w^{el}}{\partial \boldsymbol{\varepsilon}} = \mathbf{C} : \boldsymbol{\varepsilon}$$

$$\delta W^{el} = \int_V \delta \boldsymbol{\varepsilon} : \boldsymbol{\sigma} dV$$

- Non-linear elasticity

$$\mathbf{x} = \mathbf{X} + \mathbf{u}$$

$$w^{el}(\mathbf{C}, \mathbf{E}, J, ..)$$

$$\mathbf{S} = \frac{\partial w^{el}}{\partial \mathbf{E}} = 2 \frac{\partial w^{el}}{\partial \mathbf{C}}$$

$$\boldsymbol{\sigma} = J^{-1} \mathbf{F} \mathbf{S} \mathbf{F}^T$$

$$\delta W^{el} = \int_V \frac{\partial w^{el}}{\partial \mathbf{C}} : \delta \mathbf{C} dV$$

$$= \int_V \frac{1}{2} \mathbf{S} : \delta \mathbf{C} dV = \int_v \boldsymbol{\sigma} : \nabla_x \delta \mathbf{v} dv$$

# Linear vs Non-linear elasticity

$$g_i := \frac{\partial(\delta W^{el} - \delta W^{ext} + \delta W^{dyn})}{\partial \delta v_i} = \mathbf{0}, \quad \mathbf{K}_{ij} = \frac{\partial \delta W^{el}}{\partial \delta v_i \partial x_j}$$

- Linear elasticity

$$g_i^e = \int_{V^e} \boldsymbol{\sigma} \nabla N_i dV$$

$$g_i^e = \mathbf{K}_{ij}^e u_j$$

$$\mathbf{K}_{ij}^e = \int_{V^e} \mathbf{B}_i^T \mathbf{C} \mathbf{B}_j dV$$

- Non-linear elasticity

$$\begin{aligned} \delta \mathbf{F} &= \delta \mathbf{x}_i \otimes \nabla_X N_i = \delta \mathbf{x}_i \otimes \mathbf{F}^T \nabla_x N_i \\ &= \delta \mathbf{x}_i \otimes \nabla_x N_i \mathbf{F} \end{aligned}$$

$$g_i^e = \int_{V_0^e} \mathbf{F} \mathbf{S} \nabla_X N_i dV_0 = \int_{V^e} \boldsymbol{\sigma} \nabla_x N_i dV$$

Non-linear

$$\mathbf{K}_{ij}^e = \frac{\partial g_i^e}{\partial x_j}$$

Non-constant

Meaning of **SN**, **PN**, and  **$\boldsymbol{\sigma} \mathbf{n}$**  ?

# Modeling and simulation of convection-diffusion-reaction of hydrocarbons

Laboratori de Càlcul Numèric (LaCaN)  
 Dep. de Matemàtica Aplicada III  
 Universitat Politècnica de Catalunya  
 www-lacan.upc.es



## Team

- Antonio Huerta
- Vanessa Baena
- Pedro Díez
- Jean Donea
- Sonia Fernández-Méndez
- Agustí Pérez-Foguet
- Xevi Roca
- Antonio Rodríguez-Ferran
- Josep Sarrate

## Sponsors



## The problem: impact of automobile in environment

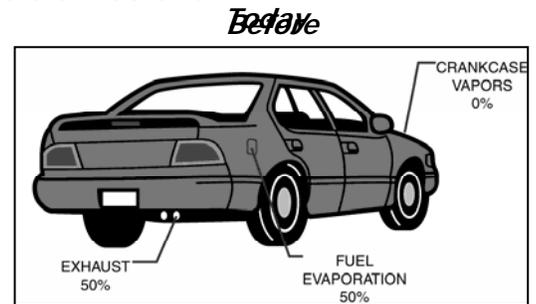
- Over 1 billion cars.
- Fuel consumption of all cars and trucks is 1.5 billion barrels of oil per day.
- Industry is the largest source of air pollution in the United States.
- Environmental impact of cars is significant.

Chevy Malibu	HC * emissions (gram/mile)	CO ** emissions (gram/mile)	NOx *** emissions (gram/mile)
1965	8.8	87.0	3.6
1975	0.9	9.0	2.0
2003	.062	1.4	0.1

\*HC - Hydrocarbons \*\*CO - Carbon Monoxide \*\*\*NOx - Oxides of Nitrogen

Cal/EPA: 1 car built in 1965 polluted as much as 40 cars built in 1998; 1 LEV I car pollutes as 5 LEV II cars

## Vehicle emissions

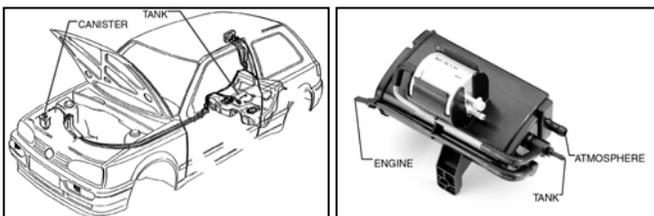


Fuel evaporation accounts for 50% of vehicle emissions

Today an average car pollutes as much parked on the street (fuel evaporation) as running (exhaust) LEV II imposes almost zero evaporative emissions

## Emission control: active carbon filters (1)

- Active carbon filters mitigate evaporative emissions
- Canister of injected plastic with three openings: tank, atmosphere, engine
- Different materials: active carbon, plastic, air chambers, foams, fleece.

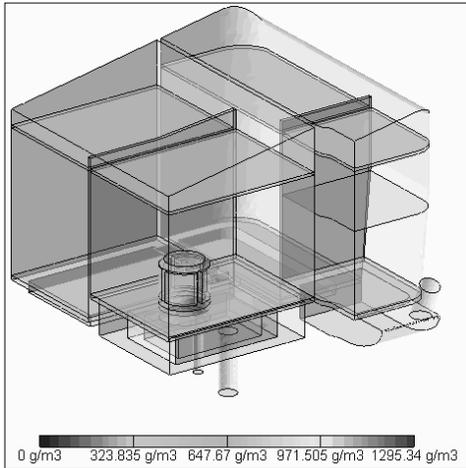


## Difficulties in canister design

- Complex 3D geometries
  - Volkswagen Polo
  - Audi A8
- Different materials
- Strict design requirements:
  - Pressure drop
  - Breakthrough and working capacity
  - Bleed emissions (three-diurnal test)



## Porche Boxster:



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## SUMMARY: definition of the problem

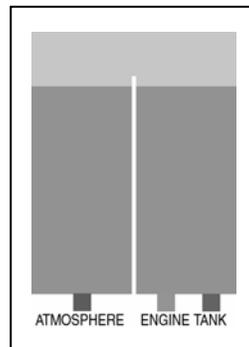
- Critical modeling: almost zero emissions for an equipment that controls 50% of hydro-carbon pollution.
- Complex 3D geometries
- Multiphysics (flow, diffusion, convection, reaction, ...)
- Two length scales:
  - local (active carbon pellets; mm)
  - global (canister, dm)
- Transient and non-uniform problem
- Two time scales: due to the wide range of flow rates e.g. working capacity test
  - Loading at 0.2 l/min  $\implies$  reaction is "instantaneous"
  - Purge at 22.7 l/min  $\implies$  reaction takes time

"Simplified" model: Flow of fuel vapor and Mass transfer of hydro-carbons between air and pellets

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## Flow of fuel vapor

- Active carbon: porous media theory  
 $\mathbf{v}$  is Darcy velocity
- Air chambers: potential flow  
prescribed pressure drop
- Air-carbon interface: uniform pressure  
continuity of vapor flow



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## Mass transfer of hydro-carbons (1)

- Two-porosity model



- Three fractions of hydro-carbons (HC)

✓ In interparticle fluid (air): concentration  $c$  [g/m<sup>3</sup>]

✓ In intraparticle fluid (pores): concentration  $c_{pore}$  [g/m<sup>3</sup>]

✓ Adsorbed in active carbon (AC): ratio  $q$  [g HC/g AC]

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## Mass transfer of hydro-carbons (2)

- Mass conservation: mass transfer mechanism  
(diffusion + convection + "local" mass variation)

only air fraction

$$\frac{1}{\epsilon_e} \frac{\partial m}{\partial t} = \nabla \cdot (D \nabla c) - \mathbf{v} \cdot \nabla c$$

Mass of hydro-carbons is a combination of the three species

$$m = \underbrace{\rho_s (1 - \epsilon_e) (1 - \epsilon_p) q}_{\text{adsorbed}} + \underbrace{(1 - \epsilon_e) \epsilon_p c_p}_{\text{pores}} + \underbrace{\epsilon_e c}_{\text{air}}$$

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## Mass transfer of hydro-carbons (3)

- Mass transfer mechanisms: global level

$$\epsilon_e \frac{\partial c}{\partial t} + \underbrace{\mathbf{v} \cdot \nabla c}_{\text{convection}} - \underbrace{\epsilon_e \nu \nabla^2 c}_{\text{diffusion}} + \underbrace{\sigma [q(c), c_{pore}(c)] c}_{\text{reaction}} = \underbrace{f[q(c), c_{pore}(c)]}_{\text{source}}$$

- Reaction term
  - models adsorption/desorption
  - couple local and global levels
  - is nonlinear:  $c_{pore} = c_{pore}(c), q = q(c)$
- Diffusion term
  - tiny (important in localized areas)
  - renders the problem parabolic
- Convection term
  - models fuel vapor motion
  - $\mathbf{v}$  computed before mass transfer

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## Mass transfer of hydro-carbons (5)

- Mass transfer mechanisms at local level:  
Radial surface diffusion

$$\frac{\partial q}{\partial t} = D_p \frac{1}{r^2} \frac{\partial}{\partial r} \left( r^2 \frac{\partial q}{\partial r} \right)$$

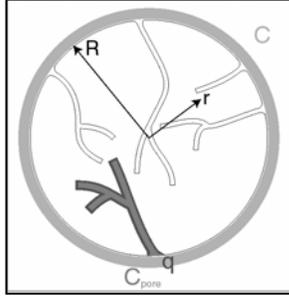
Symmetry at center:

$$D_p \frac{\partial q}{\partial r} \Big|_{r=0} = 0$$

Film mass transfer:

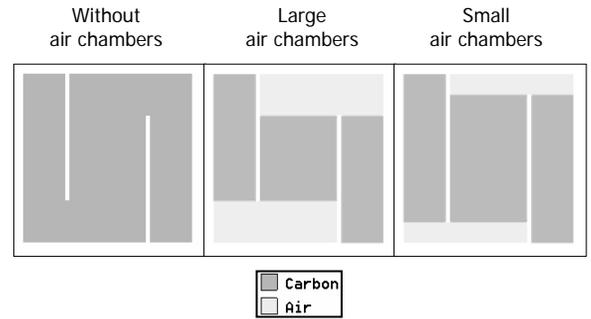
Robin B.C.

$$D_p \frac{\partial q}{\partial r} \Big|_{r=R} = \frac{K_f}{\rho_s(1-\varepsilon_p)} (c(R) - c_{\text{pore}}(R))$$



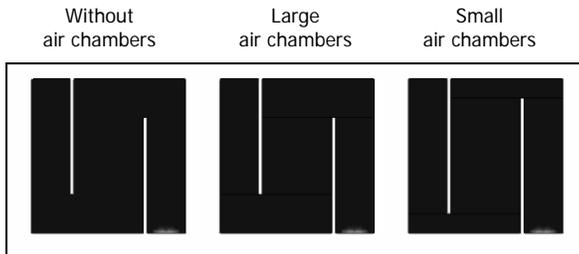
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## The smoothing effect of air chambers (1)



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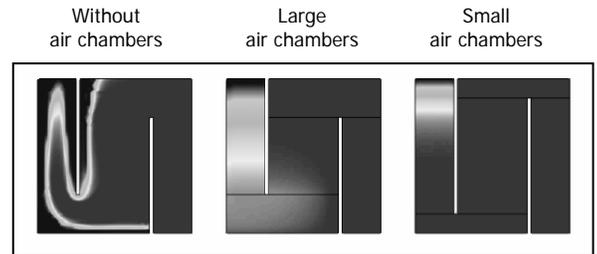
## The smoothing effect of air chambers (2)



Adsorption

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## The smoothing effect of air chambers (3)



Desorption

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## Numerical simulation

- Transient convection-diffusion-reaction (non-linear):
  - Accuracy
  - Stabilization Need high-accuracy and stabilization?
- Wide range of flow rates: e.g. working capacity test
  - Loading at 0.2 l/min  $\iff$  diffusion-reaction dominated
  - Purge at 22.7 l/min  $\iff$  convection dominated

True or False?

- Complex 3D geometries  $\iff$  mesh adaption
- Local problem must be solved efficiently (at each node or Gauss point)  $\iff$  homogenize (non-linear ODE...)
- Is the advancing front controlled by the convection velocity?

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## Numerical simulation

- Transient convection-diffusion-reaction (non-linear):
  - Accuracy
  - Stabilization Need high-accuracy and stabilization?
- Wide range of flow rates: e.g. working capacity test
  - Loading at 0.2 l/min  $\iff$  Vertical front
  - Purge at 22.7 l/min  $\iff$  Smooth front

True or False?

- Complex 3D geometries  $\iff$  mesh adaption
- Local problem must be solved efficiently (at each node or Gauss point)  $\iff$  homogenize (non-linear ODE...)
- Is the advancing front controlled by the convection velocity?

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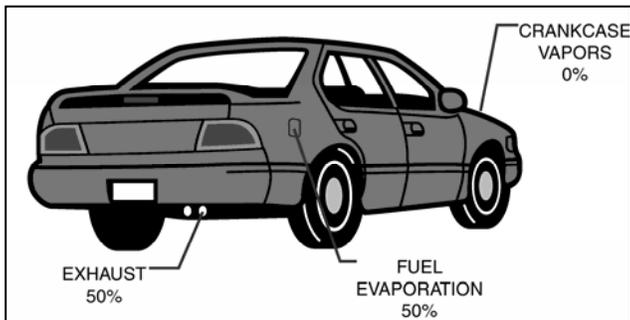
## Concluding remarks

Finite element model of evaporative emission canisters:

- Realistic modeling of multi-physics: flow, convection, diffusion at local and global levels, nonlinear reaction (adsorption/desorption),...
- Challenging numerical issues: 3D, stabilization of convective term, accurate time-stepping, different time and length scales,...
- Valuable tool for canister design

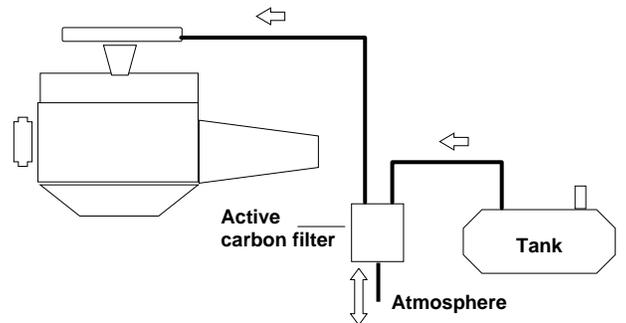
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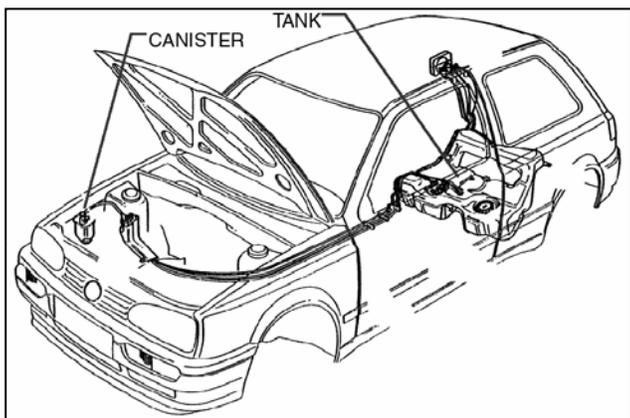


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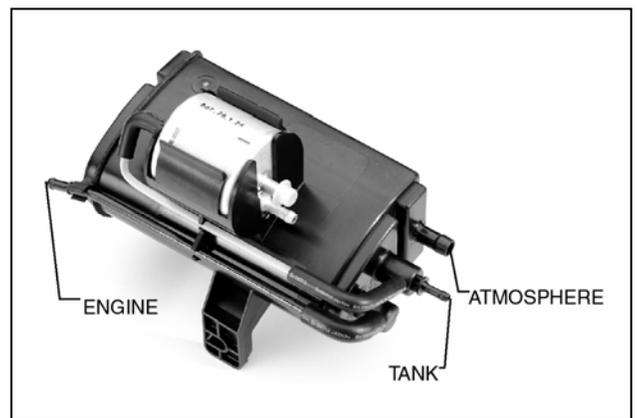
## Evaporative emission system



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23



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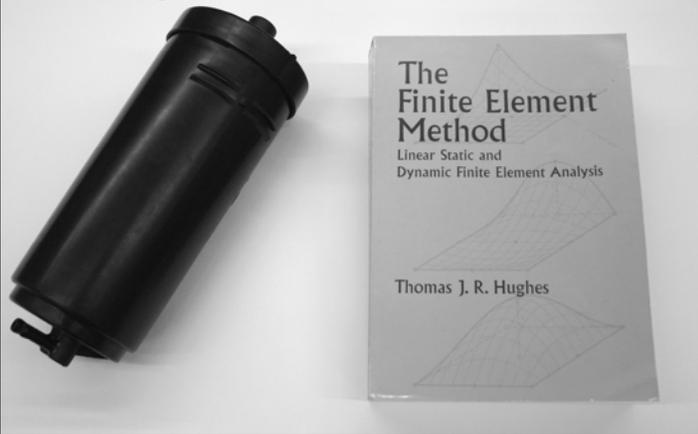


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**Volkswagen Polo**



27

**Audi A8**



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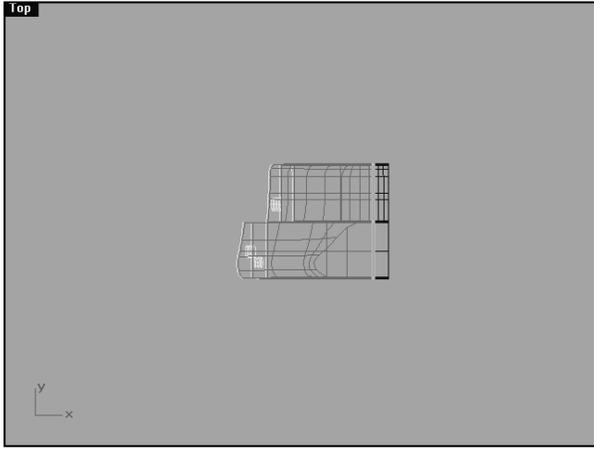
**Audi A8**



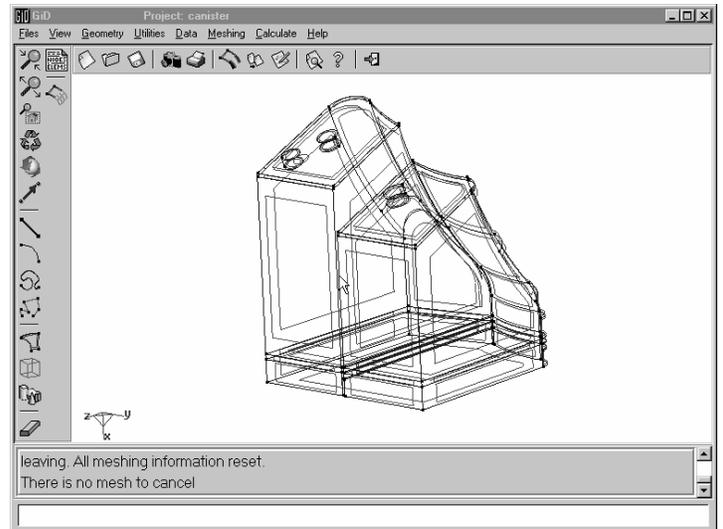
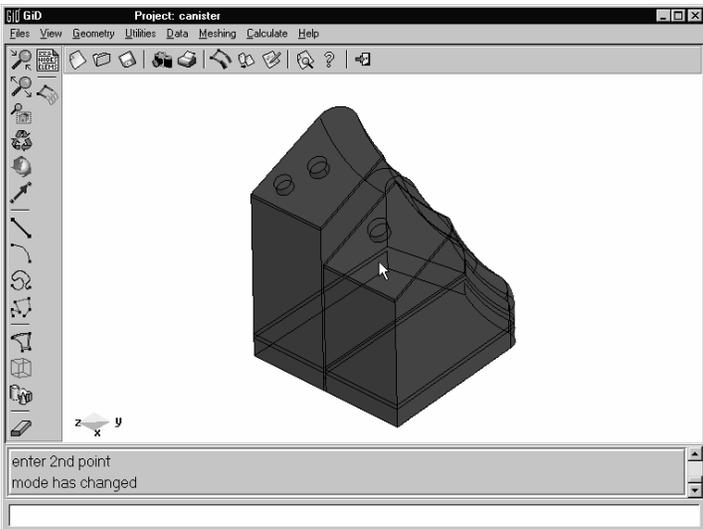
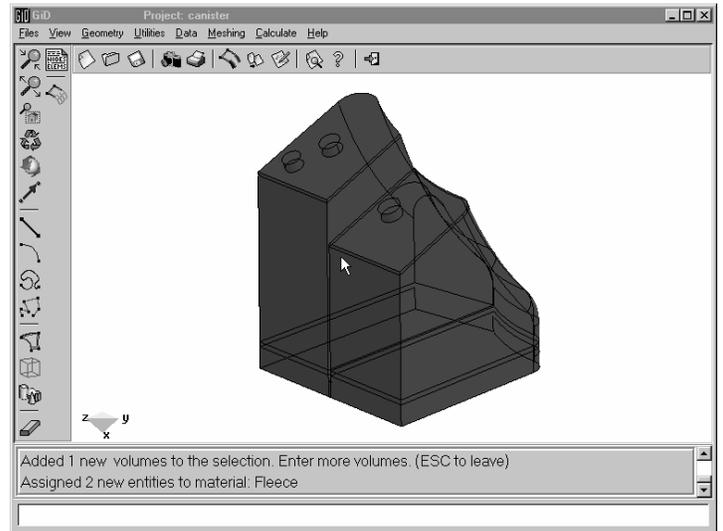
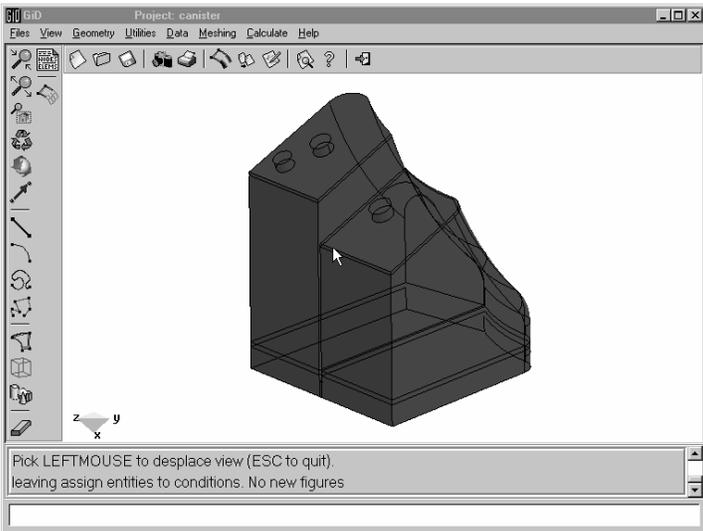
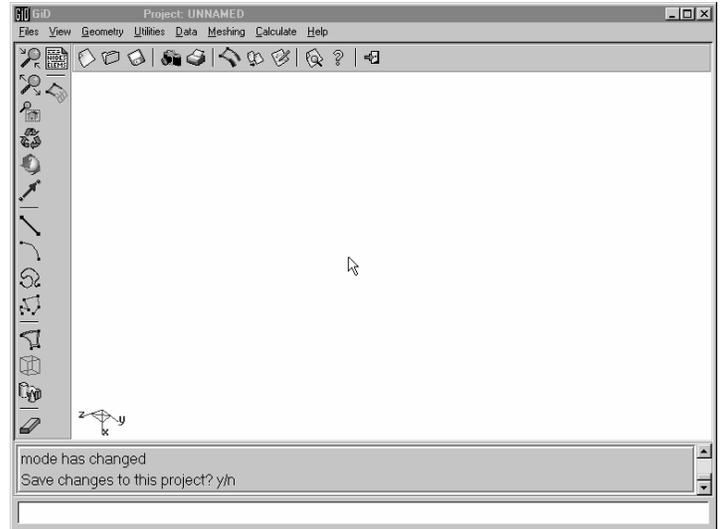
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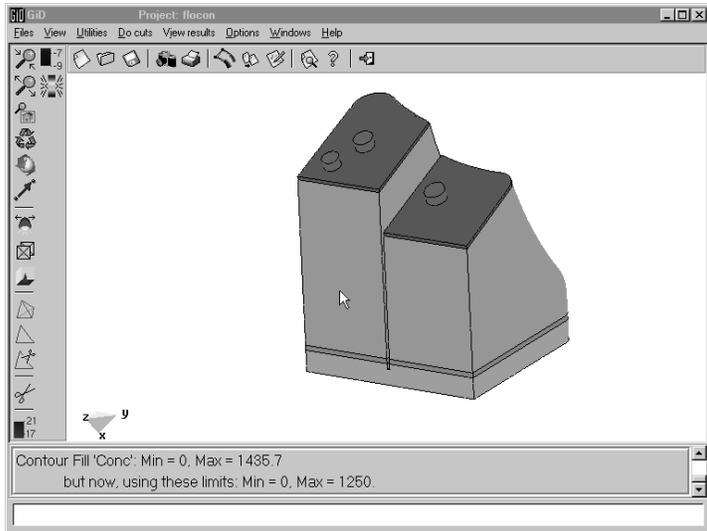


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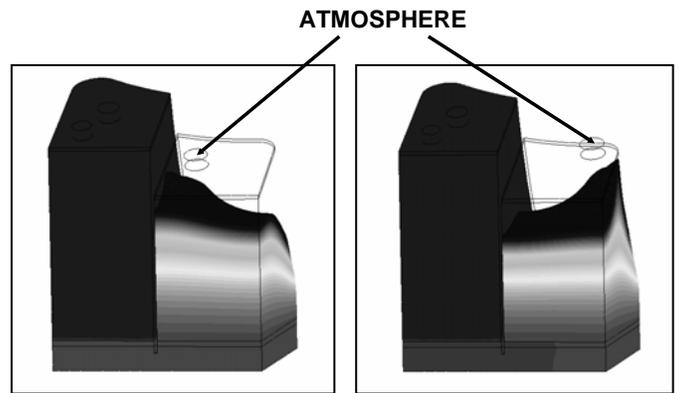
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## Design capabilities



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## Unsteady convection-diffusion

Com. NME 18/8 (2002)

$$u_t + \mathbf{a} \cdot \nabla u - \nu \nabla^2 u = s$$

$$u_t + \mathcal{L}(u) = s \quad \text{where} \quad \mathcal{L} := \mathbf{a} \cdot \nabla - \nu \nabla^2$$

Implicit multi-stage schemes  
(IRK, Padé ...)

$$\frac{\Delta u}{\Delta t} - \mathbf{W} \Delta u_t = \mathbf{w} u_t^n$$

$$\mathcal{R}(\Delta u) := \frac{\Delta u}{\Delta t} - \mathbf{W} \Delta u_t - \mathbf{w} u_t^n$$

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- CRANK-NICOLSON ( $n_{\text{stg}}=1$ )

$$\Delta \mathbf{u} = u^{n+1} - u^n, \quad \Delta \mathbf{s} = s^{n+1} - s^n,$$

$$\mathbf{W} = \frac{1}{2}, \quad \mathbf{w} = 1.$$

It can be seen as a 2<sup>nd</sup> order Padé approximation :  $R_{11}$

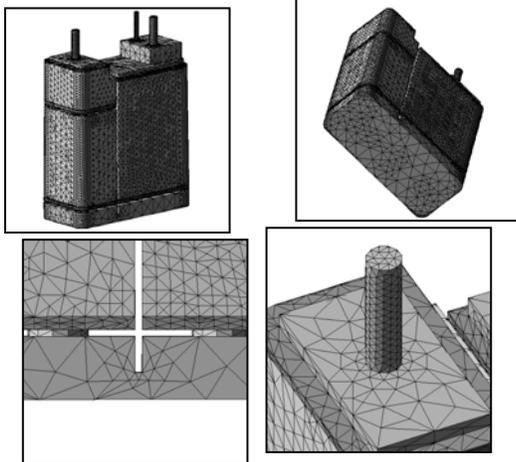
- 4<sup>th</sup> ORDER PADÉ APPROXIMATION:  $R_{22}$  ( $n_{\text{stg}}=2$ )

$$\Delta \mathbf{u} = \begin{Bmatrix} u^{n+\frac{1}{2}} - u^n \\ u^{n+1} - u^{n+\frac{1}{2}} \end{Bmatrix}, \quad \Delta \mathbf{s} = \begin{Bmatrix} s^{n+\frac{1}{2}} - s^n \\ s^{n+1} - s^{n+\frac{1}{2}} \end{Bmatrix},$$

$$\mathbf{W} = \frac{1}{24} \begin{bmatrix} 7 & -1 \\ 13 & 5 \end{bmatrix}, \quad \mathbf{w} = \frac{1}{2} \begin{Bmatrix} 1 \\ 1 \end{Bmatrix}.$$

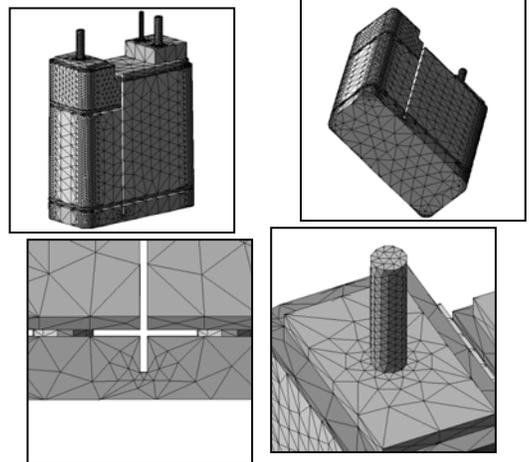
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### GM light truck: Load mesh



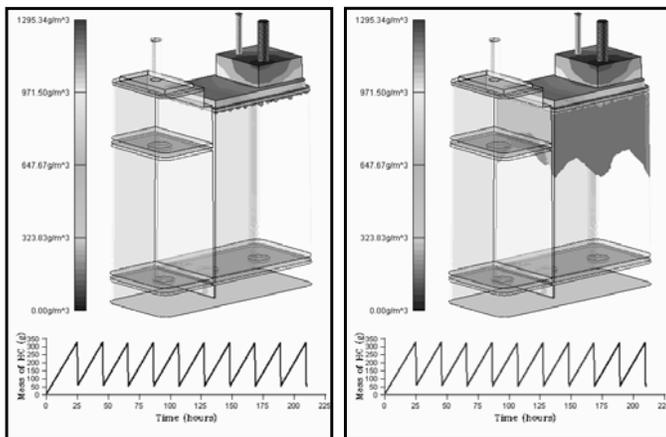
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### GM light truck: Purge mesh



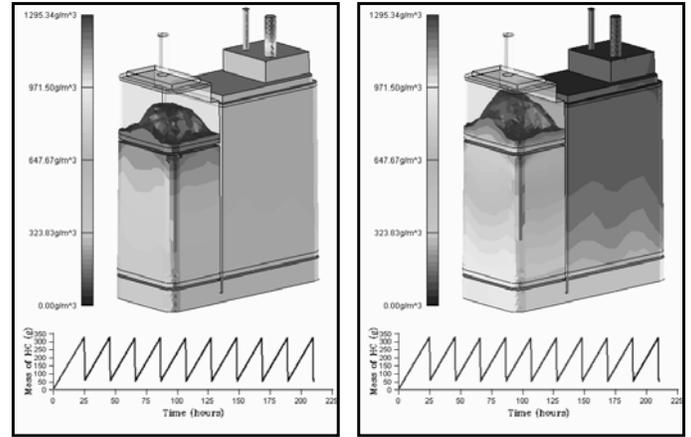
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### Loading: concentration of HC (1)



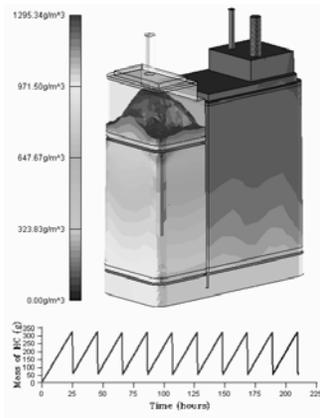
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### Loading: concentration of HC (2)

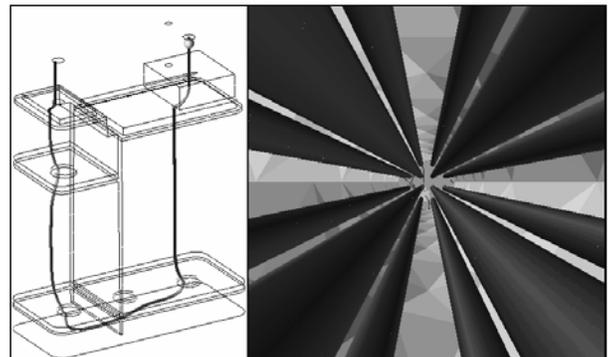


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### Purge



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- Galerkin weak form

$$\left(\mathbf{v}, \frac{\Delta \mathbf{u}}{\Delta t}\right)_\Omega - (\mathbf{v}, \mathbf{W} \Delta \mathbf{u}_t)_\Omega = (\mathbf{v}, \mathbf{w} u_t^n)_\Omega$$

- Stabilized weak form

$$\left(\mathbf{v}, \frac{\Delta \mathbf{u}}{\Delta t}\right)_\Omega - (\mathbf{v}, \mathbf{W} \Delta \mathbf{u}_t)_\Omega + \sum_e \tau(\mathcal{P}(\mathbf{v}), \mathcal{R}(\Delta \mathbf{u}))_{\Omega^e} = (\mathbf{v}, \mathbf{w} u_t^n)_\Omega$$

- Streamline-Upwind Petrov-Galerkin:  $\mathcal{P}(\mathbf{v}) := \mathbf{W}(a \cdot \nabla) \mathbf{v}$
- Least-Squares (LS):  $\tau \mathcal{P}(\mathbf{v}) := \Delta t \mathbf{W} \mathcal{L}(\mathbf{v})$

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- Stabilization terms:

$$\underbrace{\tau (\mathbf{a} \cdot \nabla v, \mathbf{a} \cdot \nabla u)_{\Omega^e}}_{\text{extra diffusion}} + \underbrace{\tau (\mathbf{a} \cdot \nabla v, \nu \nabla^2 u)_{\Omega^e}}_{\text{high order derivatives}} + \dots$$

- With linear FE, terms with high order derivatives are neglected or under-represented.

- The lack of consistency leads to errors of order  $\mathcal{O}(\tau)$

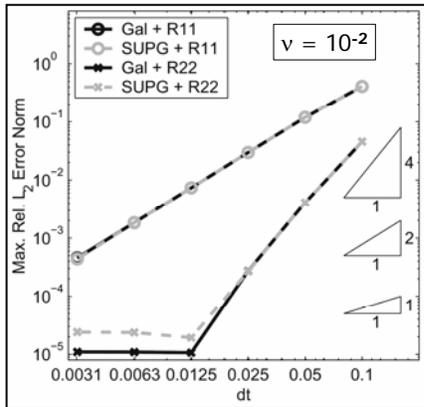
- In order to preserve the convergence rates:

$\sqrt{\tau}$  must be defined such that it behaves as  $\mathcal{O}(\Delta t^{2n_{\text{stg}}})$

$\sqrt{\tau}$  Second derivatives can be approximated (iterative computation), Jansen et al. *CMAME* 174 (1999).

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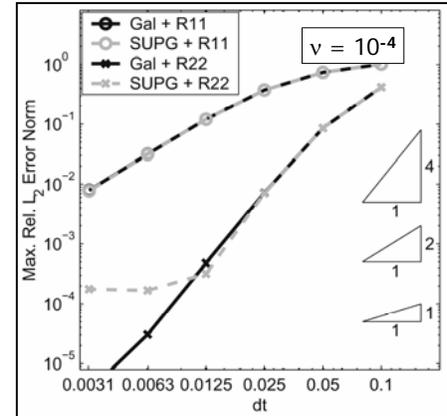
### Consistent stabilization: is it crucial?



*IJNME* 56/6 (2003)

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### Consistent stabilization: is it crucial?



*IJNME* 56/6 (2003)

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### Modeling: dimensionless analysis (1)

- General equations

$$\begin{aligned} \frac{\partial c'}{\partial t'} &= \nabla' \cdot \left( \frac{1}{P_e} \nabla' c' \right) - (\mathbf{v}' \cdot \nabla') c' - 3 \left( S_t + \frac{S_h E_d \Gamma \varepsilon_p}{n \bar{q}'} \sqrt{\frac{\bar{q}'}{A'}} \right) (c' - L'(q_r')) \\ \frac{\partial \bar{q}'}{\partial t'} &= 3 S_h E_d (c' - L'(q_r')) \\ \frac{\partial q_r'}{\partial t'} &= 10 S_h E_d (c' - L'(q_r')) + 35 E_d (\bar{q}' - q_r') \end{aligned}$$

- Dimensionless variables

$$P_e = \frac{VL}{D} \quad S_t = \frac{k_f L (1 - \varepsilon_e)}{V R \varepsilon_e} \quad E_d = \frac{L D_s}{V R^2} \quad S_h = \frac{R k_f c_{\text{ref}}}{D_s \rho_s (1 - \varepsilon_p) q_{\text{ref}}}$$

$$\Gamma \varepsilon_p = \frac{(1 - \varepsilon_e) \varepsilon_p}{\varepsilon_e} \quad A' = A \frac{c_{\text{ref}}^n}{q_{\text{ref}}^n} \quad \begin{aligned} \bar{c}'_p &= L'(\bar{q}') = \sqrt[n]{\frac{\bar{q}'}{A'}} \\ \bar{q}' &= L'^{-1}(\bar{c}'_p) = A' (\bar{c}'_p)^n \end{aligned}$$

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## Modeling: dimensionless analysis (2)

- Compute dimensionless parameters and neglect terms  $\ll 1$ .

- Assume simplifying hypothesis for isotherm: fast intraparticle diffusion
 

$q' = \bar{q}' = q'_R$	then $\bar{q}' = L'^{-1}(c')$
$c'_p = c'$	

**LOADING!**

- Solve:

$$\left(1 + r_{\varepsilon_p} + \frac{S_t}{S_h E_d} \frac{\partial L'^{-1}(c')}{\partial c'}\right) \frac{\partial c'}{\partial t'} = \nabla' \cdot \left(\frac{1}{P_e} \nabla' c'\right) - (v' \cdot \nabla') c'$$

- OR

$$\frac{\partial u}{\partial t} + \mathbf{a}(u) \cdot \nabla u - \nabla \cdot (\nu(u) \nabla u) = 0 \quad \text{with} \quad \begin{cases} \nu(u) \ll 1 \\ \mathbf{a}(u) \propto uv \end{cases}$$

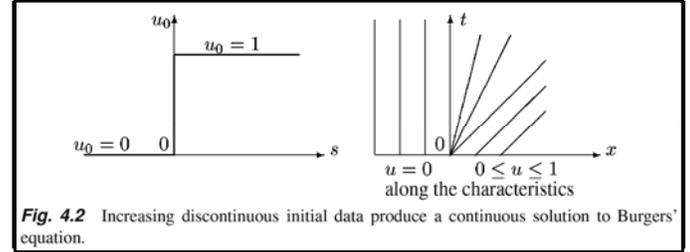
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## Modeling: dimensionless analysis (3)

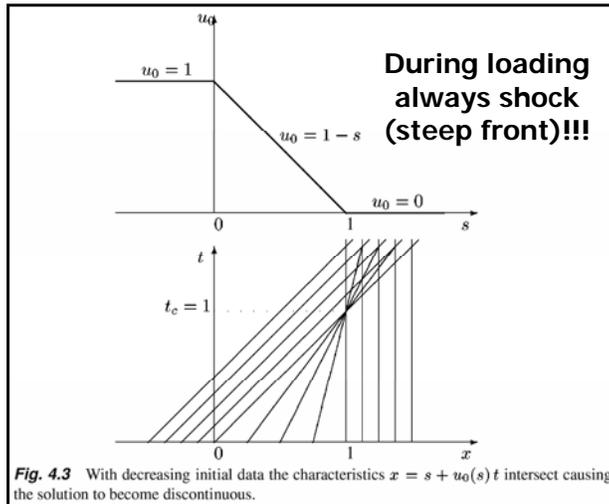
- Loading induces Burgers' equation!

$$u_t + uu_x - \epsilon u_{xx} = 0$$

- Recall behavior of inviscid Burgers'  $u_t + uu_x = 0$



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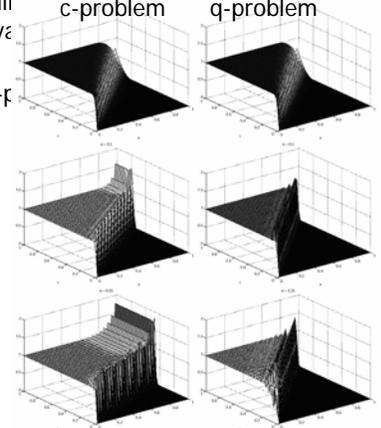


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## Modeling: dimensionless analysis (4)

- Moreover, as in other nonlinear c-problem  $\bar{q}$ -problem choice of the right conserve

- For usual isotherms the  $\bar{q}$ -p



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