

# Alessio Figalli

## Fields Medal 2018



Courtesy of ETH, Zürich

*Doctor Honoris Causa*  
of the  
**Universitat Politècnica de Catalunya**  
**22nd November 2019**

# Alessio Figalli 1

# Main collaborators



**Luigi Ambrosio**, Scuola Normale Superiore di Pisa. *Fermat Prize* 2003. *Balzan Prize* 2019. **Cédric Villani**, Institut Henri Poincaré, Sorbone University, University of Lyon, Institut Camille-Jordan. *EMS Prize* 2008. *Fermat Prize* 2009. *Fields Medal* 2010. *Doob Prize* 2014. **Luis A. Caffarelli**, University of Texas at Austin. *Steele Prize* 2009. *Wolf Prize* 2012. *Shaw Prize* 2018. **Jean Bourgain** (1954-2018). *Fields Medal* 1994. *Shaw Prize* 2010. *Crafoord Prize* 2012. *Steele Prize* 2018.



**David Jerison**, Massachusetts Institute of Technology. *Bergman Prize* 2012. **Juan L. Vázquez**, Universidad Autónoma de Madrid. *Premio Nacional de Investigación Julio Rey Pastor* 2003. *Plenary speaker* at ICM-2006. *Medalla de la RSME* 2018. **Albert Fathi**, Georgia Institute of Technology. *Sophie Germain Prize* 2013. *Professeur émérite* à l'ENS de Lyon. **Paul Thierry**, Directeur de Recherche, Centre de Mathématiques Laurent Schwartz, École Polytechnique, Paris, France. **Henrik Shahgholian**, Royal Institute of Technology (KTH), Stockholm, Sweden.



**Francesco Maggi**, University of Texas at Austin. *Miranda Prize* 2008. **José A. Carrillo de la Plata**, Imperial College London. *Von Mises Prize* 2006. *Wolfson Prize* 2012. **Robert J. McCann**, University of Toronto. *Coxeter-James Prize* 2005. *Jeffery-Williams Prize* 2017. **Alice Guionnet**, École Normale Supérieure de Lyon. *Loève Prize* 2009. *Pascal Medal* 2018. **Kim Young-Heon**, University of British Columbia.



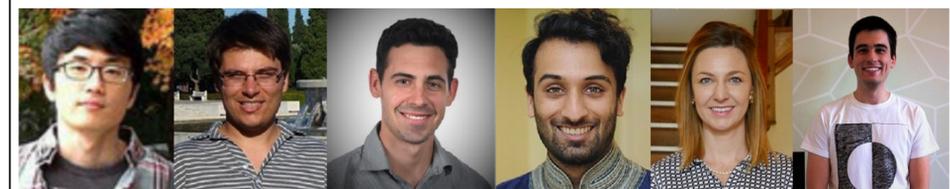
**Ludovic Rifford**, Université Nice Sophia Antipolis. Director of the Centre International de Mathématiques Pures et Appliquées. *Eisenbud Professor at MSRI* 2013 (fall). **Enrico Valdinoci**, University of Western Australia. *Most cited mathematician according to his graduation year* (in all subjects and in Analysis). *ERC Starting Grant* 2011-2016. **Aldo Pratelli**, Università di Pisa. *Medal of the President of Italian Republic for young researchers* 2004. *Iapichino Prize* 2005. *ERC Starting Grant* 2010-2015. *Miranda Prize* 2011. *De Giorgi's Prize* 2015. **Matteo Bonforte**, Universidad Autónoma de Madrid. **Álvaro Pelayo**, University of California at San Diego. *RSME Rubio de Francia Prize* 2009. *NSF Faculty CAREER Award* 2016.



**Marco Di Francesco**, Dipartimento di Ingegneria e Scienze dell'Informazione e Matematica, Università degli Studi dell'Aquila. **Dejan Slepčev**, Department of Mathematical Sciences, Carnegie Mellon University. **Giulio Ciraolo**, Department of Mathematics Federigo Enriques, Università degli Studi di Milano. **Nicola Gigli**, Scuola Internazionale Superiore di Studi Avanzati. *De Giorgi's Prize* 2019. **Laura Valentina Spinolo**, IMATI-CNR, Pavia, Italy.



**Guido de Philippis**, Courant Institute, New York University. *EMS Prize* 2016. *Stampacchia Medal* 2018. **Maria Colombo**, EPFL Lausanne. *Iapichino Prize* 2016. *Miranda Prize* 2018. **Begoña Barrios**, Universidad de La Laguna, Tenerife, Spain. **Xavier Ros-Oton**, Universität Zürich. *RSME Rubio de Francia Prize* 2016. *SeMA Antonio Valle Prize* 2017. *ERC Starting Grant* 2018. *Fundación Princesa de Girona Prize* 2019. **Joaquim Serra**, ETH Zürich. *SCM Évariste Galois Prize* 2011. *SCM Josep Teixidó Prize* 2016. *SeMA Antonio Valle Prize* 2019. *RSME Rubio de Francia Prize* 2019.



**Moon-Jin Kang**, Sookmyung Women's University, Seoul, South Korea. **Emanuel Andrei**, Department of Mathematics, Purdue University. **Connor Mooney**, University of California at Irvine. *Firestone Medal for Excellence in Undergraduate Research*, Stanford University, 2011. **Yash Jhaveri**, Princeton Institute for Advanced Study. **Robin Neumayer**, Northwestern University. **Xavier Fernández-Real**, PhD student, ETH Zürich. *Whelan Prize in Mathematics* from Christ's College, University of Cambridge, 2015. *SCM Évariste Galois Prize* 2016. *National Award for Excellence in Academic Performance* 2013-14, First Prize, 2018.

**Xavier Cabré**, ICREA Research Professor, Department of Mathematics, Universitat Politècnica de Catalunya. *Kurt Friedrichs Prize*, New York University, 1995. *Harrington Faculty Fellow*, The University of Texas at Austin, 2001-02. *Fellow of the American Mathematical Society, inaugural class*, 2012.



## STABLE SOLUTIONS TO SEMILINEAR ELLIPTIC EQUATIONS ARE SMOOTH UP TO DIMENSION 9

XAVIER CABRÉ, ALESSIO FIGALLI, XAVIER ROS-OTON, AND JOAQUIM SERRA  
 ABSTRACT. In this paper we prove the following long-standing conjecture: *stable solutions to semilinear elliptic equations are bounded (and thus smooth) in dimension  $n \leq 9$ .*  
 This result, that was only known to be true for  $n \leq 4$ , is optimal:  $\log(1/|x|^2)$  is a  $W^{1,2}$  singular stable solution for  $n \geq 10$ .

La physique mathématique et l'analyse pure ne sont pas seulement des puissances limitrophes, entretenant des rapports de bon voisinage : elles se pénètrent mutuellement et leur esprit est le même.

Henri Poincaré, *La Valeur de la Science*.

## Sources

- Alessio Figalli (AF) Web page (WP), particularly his CV (22.10.2019).
- Luis Caffarelli (LC). "Alessio Figalli: His Contributions to Geometry and Analysis". *Proceedings of the International Congress of Mathematicians*. World Scientific, 2019. Volume 1, 43-46.

A recurring theme in Figalli's research is the interaction between the theory of *optimal transport* and other areas of mathematics. Optimal transport theory, although pioneered by G. Monge back in 1781, has emerged to paramount importance in many areas of mathematics only in the last two decades. One of the most valuable features of this theory is its *unifying power*, in the sense that key ideas and constructions in optimal transport have turned out to be useful in the most unexpected contexts. Figalli is currently one of the most authoritative experts in optimal transport and its many applications. (LC, p. 1 (43))

- Xavier Ros-Oton and Joaquim Serra. Private communications.
- MathSciNet.
- FME. Documentation on the DHC nomination of AF.

## Topics

While the broad research area of AF is *Partial Differential Equations and Calculus of Variations*, he has landmark contributions in a large number of topics, like *Regularity of solutions to elliptic PDE's and to free boundary problems*; *Isoperimetric and geometric inequalities*; *Minimal surfaces*; *ODE's and SDE's with non-smooth coefficients*; *Weak KAM theory*; *Random matrices*.

## Research Landmarks

Books are distinguished with \*.

- 2007\* AF. *Optimal Transportation and Action-Minimizing Measures*. PhD thesis, Supervised by Luigi Ambrosio and Cédric Villani. 251 pp. WP.
- 2009 AF. "Regularity of optimal transport maps (after Ma-Trudinger-Wang and Loeper)." Séminaire BOURBAKI 2008-2009, n° 1009. *Astérisque* 332, SMF, 2010. WP.
- 2010\* AF and Luigi Ambrosio. *Lecture notes on variational models for incompressible Euler equations*. WP.
- 2010 AF. "Review of Cédric Villani's book *Optimal transport. Old and new*

(Grundlehren der Mathematischen Wissenschaften 338. Springer-Verlag, 2009. xxii+973 pp)". *Bulletin of the AMS* (N.S.) 47.4, 723-727.

2010 AF and Albert Fathi. "Optimal transportation on non-compact manifolds". *Israel Journal of Mathematics* 175.1, 1-59.

2010 AF. "The optimal partial transport problem." *Archive for rational mechanics and analysis* 195.2: 533-560.

2010 AF, and Ludovic Rifford. "Mass transportation on sub-Riemannian Manifolds". *Geometric and Functional Analysis* 20.1, 124-159.

2010 AF and Kim Young-Heon. "Partial regularity of Brenier solutions of the Monge-Ampère equation". *Discrete and Continuous Dynamical Systems* 28.2: 559-565.

2010 AF, Francesco Maggi and Aldo Pratelli: "A mass transportation approach to quantitative isoperimetric inequalities". *Inventiones Mathematicae* 182.1, 167-211.

... a sharp quantitative stability theorem for the Wulff inequality [...] a most important mathematical result in our understanding of surface tension driven phase transitions, as it relates the microscopic structure of a given surface tension energy density to the macroscopic shape of the liquid/crystal observed at equilibrium. [...] its sharp stability version allows one to describe in quantitative terms every low energy state. This is a result of clear physical importance, whose proof required several original and innovative mathematical ideas. (LC, p. 1-2 (4344))

2011\* AF, William Bench, Carlo De Franchis, Laurent Deproix, Sébastien Gilles, Byunghyun Oh, Adrien Tenne, Kevin Webster. *Autour des inégalités isopérimétriques*. Éditions de l'École Polytechnique, Palaiseau. 124 p.

2011 AF and Francesco Maggi. "On the shape of liquid drops and crystals in the small mass regime". *Archive for Rational Mechanics and Analysis* 201.1, 143-207.

2013 AF and Luis Caffarelli. "Regularity of solutions to the parabolic fractional obstacle problem". *Journal für die reine und angewandte Mathematik* (Crelles Journal) 680: 191-233.

2013 AF and Guido De Philippis. " $W^{2,1}$  regularity for solutions of the Monge-Ampère equation". *Inventiones mathematicae* 192.1: 55-69.

... a fundamental, groundbreaking result on the second order Sobolev regularity of optimal transport maps and their link with the Monge-Ampère equation. (LC, p. 1 (43))

2014 AF and Guido De Philippis. "The Monge-Ampère equation and its link to optimal transportation". *Bulletin of the American Mathematical Society* 51.4: 527-580.

2014 AF, Serena Dipierro and Enrico Valdinoci. "Strongly nonlocal dislocation dynamics in crystals". *Communications in Partial Differential Equations* 39.12: 2351-2387.

2015 AF and Guido de Philippis: "Partial regularity for optimal transport maps". *Publications Mathématiques IHES* 121.1: 81-112.

2015 AF, Gonzalo Contreras and Ludovic Rifford. "Generic hyperbolicity of Aubry sets on surfaces". *Inventiones Mathematicae* 200.1, 201-261.

2016 AF and Alice Guionnet. "Universality in several-matrix models via approximate transport maps". *Acta Mathematica*. 217.1, 81-176.

Large random matrices arise as a natural model in diverse fields such as quantum mechanics, quantum chaos, telecommunications, finance, and statistics. The central mathematical question in this area is how much their asymptotic properties depend on the fine details of the model. [...] About the universality of the fluctuations of the eigenvalues in several-matrix models, little was known except in very particular situations. [...] developed a new approach to these questions by introducing particular approximate transport maps. [...] This is a real breakthrough, which in addition, gives a firm mathematical grounding to the widely held belief coming from physics that a universality of local fluctuations holds, at least until some phase transition occurs. (LC, p.2-3 (44-45)).

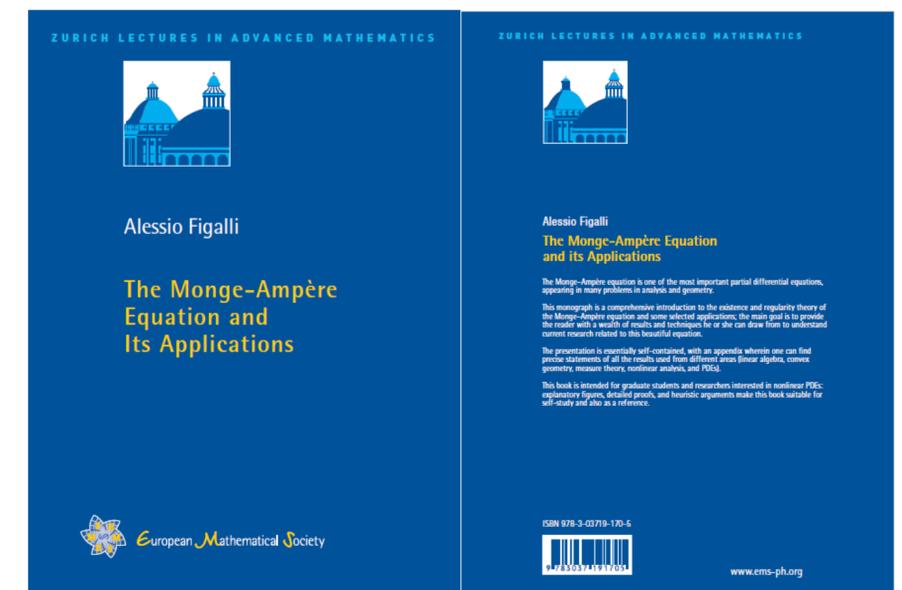
2016 AF, Diogo Gomes and Diego Marcon. "Weak KAM theory for a weakly coupled system of Hamilton-Jacobi equations". *Calculus of Variations and Partial Differential Equations* 55.4: 55-79.

2017 AF and David Jerison. "Quantitative stability for the Brunn-Minkowski inequality". *Advances in Mathematics* 314: 1-47.

[...] succeeded in the quite challenging task of combining tools from additive combinatorics, affine geometry, harmonic analysis and optimal transport to obtain the first quantitative stability result for the BM inequality in arbitrary dimension and on generic sets. [...] quite impressive, both for the technical complexity, the wealth of original ideas involved, and the mathematical beauty of the question under consideration (LC, p. 2 (44)).

2017 AF, Brian Krummel and Xavier Ros-Oton. "On the regularity of the free boundary in the  $p$ -Laplacian obstacle problem". *Journal of Differential Equations* 263.3: 1931-1945.

2017\* AF. *The Monge-Ampère equation and its applications*. EMS, 2017. x+200 pp.



**2018 AF, Begoña Barrios and Xavier Ros-Oton.** “Free boundary regularity in the parabolic fractional obstacle problem”. *Communications in Pure and Applied Mathematics* **71.10**, 2129-2159.

**2018 AF, Begoña Barrios and Xavier Ros-Oton.** “Global regularity for the free boundary in the obstacle problem for the fractional Laplacian”. *American Journal of Mathematics* **140.2**, 415-447.

**2018 AF.** “Global existence for the semigeostrophic equations via Sobolev estimates for Monge-Ampère”. *Partial Differential Equations and Geometric Measure Theory*. Springer, 2018. 1-42. **These notes record and extend the lectures for the CIME Summer Course held by the author in Cetraro during the week of June 2–7, 2014. [...] show how some recent developments in the theory of the Monge-Ampère equation play a crucial role in proving existence of global weak solutions to the semigeostrophic equations** (Abstract).

**2018\* AF, Ireneo Peral, and Enrico Valdinoci.** *Partial Differential Equations and Geometric Measure Theory*. Springer International Publishing, 2018.

**2018 AF.** “Regularity of interfaces in phase transitions via obstacle problems”. *Proceedings of the International Congress of Mathematicians*. World Scientific, 2019. Volume 1, 225-247.

**2019 AF and Joaquim Serra.** “On the fine structure of the free boundary for the classical obstacle problem”. *Inventiones mathematicae* **215.1**: 311-366.

[...] great acclaim greeted the work of Figalli, together with his co-author Joaquim Serra, who in 2017 gave a complete and definitive description of the free boundary. [...] The new methods introduced in this work are having a wide impact (Allyn Jackson, *The Work of Alessio Figalli*, IMU, 2018).

**2019 AF and Joaquim Serra.** “On stable solutions for boundary reactions: a De Giorgi-type result in dimension  $4 + 1$ ”. *Inventiones mathematicae* (Online July 2019, 26 p).

## General Appraisals

“Figalli 's work is of the highest quality in terms of originality, innovation and impact both on mathematics per se as well as on its applications. He is clearly a driving force in the global mathematics community today. His approach to research is lively, dynamic and effective, and without a doubt will lead him to achieve many more stunning discoveries in years to come” (LC, 4 (46)).

“His work is also quantitatively striking. In twelve years, he has published more than 140 research papers, the vast majority of them in top journals, already with an impressive number of citations and collaborators. These numbers surely rank him among the most prolific scientists publishing in pure mathematics over the same period. A charismatic lecturer,

he has given more than 200 invited lectures and research seminars, and he has visited many top mathematical institutions and departments” (Luigi Ambrosio).

“Alessio is an outstanding mathematician who has had a tremendous impact in several fields of partial differential equations and analysis. His work will have an everlasting influence in mathematics. [...] Among his vast production in the area [Geometric and functional inequalities] another result I like a lot is in a joint paper with Jerison, which contains the first quantitative version of the Brunn-Minkowski inequality in arbitrary dimension and for generic sets. They use a striking combination of techniques from different areas to achieve their theorems” (Camillo de Lellis).

“Figalli’s area of research is surrounded by formidable technical machinery that often proves difficult for outsiders to penetrate. A master of this machinery, Figalli has made the area more widely accessible through his outstanding expositions, which cut through technicalities and reveal the conceptual structure. His influence has also been amplified by his friendliness and generosity in sharing ideas with students and younger colleagues. These personal qualities combine with mathematical brilliance to make Alessio Figalli an ideal leader whose impact in mathematics has only just begun” (Allyn Jackson, *The Work of Alessio Figalli*, IMU, 2018).

## Graduate Teaching

The following table is a synopsis of the graduate courses taught by AF since the term 2009-2010. Labels such as 12S and 12F denote the Spring and Fall of 2012, respectively. UPS stands for Université de Paris Sud.

09S	OT	UPS	12F	TDE	MIT	16S	PDE	UTA
11S	PDE	UTA	13S	OT	UTA	16S	HJE	UTA
11S	GMT	UTA	14S	NLA	UTA	16F	FBP	ETH
12S	PDE	UTA	14F	MAE	ETH	17S	CV	ETH
12S	TCV	UTA	15S	PDE	UTA	19F	OT	ETH

**OT** Optimal Transport. **PDE** Partial Differential Equations II. **GMT** Geometric Measure Theory. **TCV** (Topics in the) Calculus of Variations. **TDE** Topics in Differential Equations. **NLA** Topics in Nonlinear Analysis. **MAE** Monge-Ampère Equations. **HJE** Hamilton-Jacobi Equations. **FBP** Free Boundary Problems.

AF is an archetype for the research-based teaching, be it in graduate studies, as described above, or in the two-dozen invited graduate or research level courses delivered in conferences, workshops and Summer schools all over the world. A short sample:

### April 2010

*Optimal transport and applications*, 2009-2010 Salomon Bochner Lectures in Mathematics (Houston, TX, USA).

### May 2014

*Stability results for geometric and functional inequalities*, “Nirenberg Lectures in Geometric Analysis” at the CRM (Montreal, Canada).

### March 2015

*The Monge-Ampère equation*, “Thomas Wolff Memorial Lectures in Mathematics” at Caltech (Pasadena, CA, USA).

## Selected Editorial Work

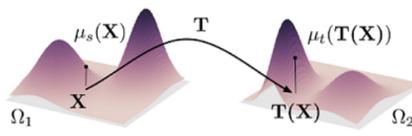
2011-----	AIMS Book Series, Applied Mathematics
2011-2018	Discrete and Continuous Dynamical Systems - Series A (Managing Editor 2012-2016)
2013-----	Analysis in Theory and Applications Analysis and PDE Journal de l'École polytechnique
2014-----	Duke Mathematical Journal
2015-----	Probability Theory and Related Fields
2016-2018	Communications in Contemporary Mathematics
2016-----	Archive for Rational Mechanics and Analysis



ETH, Zürich, houses the Department of Mathematics and FIM

## What is optimal transport theory?

- It's the study of transportation and allocation of resources. The problem was formalized by the Gaspard Monge in 1781, and arises in a variety of settings (both in Mathematics and Applied Sciences).
- Given two distribution of masses,  $\mu$  and  $\nu$ , find the optimal way to transport  $\mu$  onto  $\nu$  by minimizing a cost  $c(x, y)$ .
- Transport map:**  $T : \Omega_1 \rightarrow \Omega_2$  satisfying  $T_\# \mu = \nu$ .
- Find the map  $T$  minimizing total cost

$$\int_{\Omega_1} c(x, T(x)) d\mu(x)$$


- Most typical cost:  $c(x, y) = |x - y|^2$

## Optimal transport: Basic known results

- Existence:** Even in  $\mathbb{R}^n$  with very simple costs  $c$ , this is far from trivial!
- Theorem (Brenier '87): When  $c(x, y) = |x - y|^2$ , then:
  - there exists a unique solution  $T$  to the optimal transport problem.
  - $T = \nabla u$  for some *convex* function  $u$ .
- If in addition  $T$  is a diffeomorphism,  $\mu = f dx$  and  $\nu = g dx$ , then  $u$  solves the PDE

$$\det(D^2 u) = \frac{f}{g(\nabla u)}$$

This is called the **Monge-Ampere equation**. It's a fully nonlinear elliptic PDE.

### KEY QUESTIONS

- Regularity:** Is the optimal transport map  $T$  *smooth*?
- What happens for other costs? Or for the problem in a manifold?

## The Monge-Ampere equation

$$\det(D^2 u) = f > 0 \quad \text{in } \Omega \subset \mathbb{R}^n$$

- When  $u$  is convex, this is an **elliptic PDE**.
- It's a very nonlinear version of the simplest elliptic PDE:  $\Delta u = f$  in  $\Omega \subset \mathbb{R}^n$ .
- The Monge-Ampere equation arises not only in optimal transport theory, but also in other PDE models, as well as in several problems in Analysis and Geometry.
- Minkowski problem (1897): Prescribing the Gaussian curvature of a manifold.
- One of the most important questions in PDE theory is that of **regularity**.
- The regularity theory for the Monge-Ampere equation is one of the most delicate topics in elliptic PDE.
- Classical theory: Minkowski, Alexandrov, Caffarelli, ...

## Monge-Ampere equation: classical theory

$$\det(D^2 u) = f \quad \text{in } \Omega \subset \mathbb{R}^n$$

- Existence and uniqueness of weak solutions: Alexandrov (1958).
- The regularity of such solutions is a *very* delicate problem.
- Best known results: [Caffarelli '90, '91, '92] if  $\Omega$  is strictly convex, then
  - If  $f \in C^{0, \alpha}$ , then  $D^2 u \in C^{0, \alpha}$
  - If  $f \in C^0$ , then  $D^2 u \in L^p$  for all  $p < \infty$
  - If  $f$  is merely bounded, then  $\nabla u \in C^{0, \gamma}$  for some  $\gamma > 0$
- The first two parts say " $u$  is two derivatives more regular than  $f$ ".
- However, the last part says nothing about  $D^2 u$ !

## Monge-Ampere equation: Alessio Figalli's work

$$\det(D^2 u) = f > 0 \quad \text{in } \Omega \subset \mathbb{R}^n \quad (*)$$

- Motivated by the *semigeostrophic equations* (meteorology), as well as by optimal transport and geometry, an important open question was:
  - If  $f$  is merely bounded, is it true that  $D^2 u$  is in  $L^1$ ?
- Counterexamples show that this would be sharp [Wang '95].

### Theorem (DePhilippis-Figalli, Invent. Math.'13)

Let  $u$  be any weak solution to the Monge-Ampere eq. If  $\Omega$  is strictly convex, then

$$f \text{ bounded} \implies D^2 u \in L^1$$

- This essentially completes the regularity theory for the Monge-Ampere eq (\*). ...at least in convex domains  $\Omega$ .

## Optimal transport maps: classical theory

- When the cost is  $c(x, y) = |x - y|^2$  in  $\mathbb{R}^n$ , and  $\Omega_2$  is convex, then the regularity theory for the Monge-Ampere equation can be applied, with  $T = \nabla u$ .
- What if  $\Omega_2$  is **not convex**?
  - Counterexamples show that  $T$  may be discontinuous!
- What if we have a **different cost**  $c(x, y)$ , or we are in a manifold?
  - Under a mysterious assumption ("*MTW condition*"), and a "*c-convexity*" assumption on the domain, regularity of optimal transport maps holds.
- The MTW condition is actually necessary! Also, it is quite restrictive (usually false).

## Optimal transport maps: Alessio Figalli's work

- One can easily build examples where the MTW condition fails at *every* point.
- Q:** When  $\Omega_2$  is **not convex**, or we have a **general cost**, or we are in a **manifold**... can one at least prove **partial regularity**?

### Theorem (DePhilippis-Figalli, Publ. Mat. IHES'15)

Let  $T : \Omega_1 \rightarrow \Omega_2$  be **any** optimal transport map in  $\mathbb{R}^n$  with **any** cost  $c(x, y)$ .

Then,  $T$  is a *smooth* diffeomorphism, *outside a closed set of zero measure*.

Furthermore, the same happens in any Riemannian manifold  $M$  with cost  $c = d^2$ .

- This essentially settles the question of regularity for optimal transport maps!

## Isoperimetric inequalities: classical theory

- Isoperimetric problem: Find the figure of largest volume, given the surface area.

$$\text{In } \mathbb{R}^n : \quad \frac{|\partial \Omega|}{|\Omega|^{\frac{n-1}{n}}} \geq \frac{|\partial B_1|}{|B_1|^{\frac{n-1}{n}}}$$

Similar problems arise in Physics, Geometry, Analysis, Probability, etc.

- Natural important problem: Quantitative version? (i.e., stability?)
- Theorem** (Fusco-Maggi-Pratelli, Ann. Math.'05)

$$\frac{|\partial \Omega|}{|\Omega|^{\frac{n-1}{n}}} \geq \frac{|\partial B_1|}{|B_1|^{\frac{n-1}{n}}} + c_n [A(\Omega)]^2$$

where  $A(\Omega)$  is the "*asymmetry*" of the set.

- Proof: Quantitative symmetrization!
- Very powerful technique, but clearly specific to the *isotropic* case.

## Isoperimetric inequalities: Alessio Figalli's work

- When studying crystals, phase transitions, etc., we need **anisotropic perimeters**. Corresponding isoperimetric inequality: Wulff inequality
- It is equivalent to the Brunn-Minkowski

$$|E + F|^{1/n} \geq |E|^{1/n} + |F|^{1/n}$$

### Theorem (Figalli-Maggi-Pratelli, Invent. Math.'10)

For any convex sets  $E, F \subset \mathbb{R}^n$ ,

$$|E + F|^{1/n} \geq (|E|^{1/n} + |F|^{1/n}) \left\{ 1 + c_n [A(E, F)]^2 \right\}$$

where  $A(E, F)$  is the *relative asymmetry* between two sets.

- Proof: via **optimal transport**!
- Very powerful technique, works in various different settings!

## Random matrix theory: classical theory

- Problem: Understand the spectrum of large random matrices
- Wigner matrix ( $N \times N$ ): i.i.d. entries with mean zero and covariance  $1/N$ .
- Theorem** (Wigner'55)  $\frac{1}{N} \sum \delta_{\lambda_i}$  converges in probability to  $\frac{1}{2\pi} (4 - x^2)_+^{1/2} dx$  (That is, even if  $\lambda_i$  are random, as  $N \rightarrow \infty$  they behave as deterministic!)
- Q:** Can one understand the **fluctuations** of eigenvalues?
- The first results were for Gaussian models...
  - ...but it was envisioned by Wigner that fluctuations should be **universal**, i.e., independent of the distribution of the entries.
- This deep fact was proved only recently in a series of remarkable papers (Erdős, Tao, Schlein, Yau, Vu, ...)

## Random matrix theory: Alessio Figalli's work

- A related question is to understand **universality** of fluctuations for  $\beta$ -models, where the law of eigenvalues is

$$c_{V, N} \prod_{i < j} |\lambda_i - \lambda_j|^\beta e^{-N \sum_i V(\lambda_i)} d\lambda_1 \cdots d\lambda_N$$

This is important in the study of several-matrix models (appearing in physics, random graphs, etc).

- $\beta = 1, 2, 4$  and  $V(\lambda) = \lambda^2 \iff$  joint law of eigenvalues of Gaussian matrices.

### Theorem (Figalli-Guionnet, Acta. Math.'16)

In  $\beta$ -models with "*nice*" interaction potentials  $V$ , the local fluctuations of the spectrum are **universal**, that is, independent of the potential  $V$ .

- Proof: **Optimal transport**!

## Summary

- Alessio has solved some of the most important questions in optimal transport theory.
- Moreover, he has applied ideas from optimal transport in the context of isoperimetric inequalities and random matrices, obtaining striking new results.
- On top of this, he has actually worked in a variety of other settings!
  - Dynamical systems (weak KAM theory, generic hyperbolicity of Aubry sets)
  - Free boundary problems (Obstacle problems, Mumford-Shah)
  - Evolution equations (Vlasov-Poisson equation, Keller-Segel, nonlinear diffusions)
  - ...

## Mathematical education

### 2002-2006

Student of Mathematics, [Scuola Normale Superiore di Pisa \(SNSP\)](#)

#### ▪ 2004

Bachelor's degree. Degree thesis, supervised by [Giovanni Alberti](#): *Il problema de Berstein e una congettura di De Giorgi* (In Italian).

#### ▪ 2006

Master's degree. Master's thesis, supervised by [Luigi Ambrosio](#): *Transporte ottimale su varietà non compatte* (in English).

### 2006-2007

PhD student at the [SNSP](#) and the [École Normale Supérieure de Lyon](#)

- 2007 PhD thesis, Supervised by [Luigi Ambrosio](#) and [Cédric Villani](#): *Optimal Transportation and Action-Minimizing Measures*.

### 2009

French habilitation (Habilitation à Diriger des Recherches):

*Optimal transport, Euler equations, Mather and DiPerna-Lions theories*.

## Positions

### 2007-2008

Chargé de recherche CNRS, Université de Nice (Nice, France).

### 2008-2009

Hadamard Professor, [École Polytechnique](#) (Palaiseau, France).

### 2009-2010

Associate Professor and Harrington Faculty Fellow, The University of Texas at Austin ([UTA](#)).

2010-2011 Associate Professor, [UTA](#).

2011-2013 Full Professor, [UTA](#).

2013-2016 Full Professor and R. L. Moore Chair, [UTA](#).

2016----: Full Professor and Chair, [ETH Zürich](#) (Zurich, Switzerland).

2019----: FIM Director, [ETH Zürich](#) (Zurich, Switzerland).

## PhD students

### 2009-2012

[Eric Baer](#): *Symmetry properties of crystals and bounds from below on the temperature in compressible fluid dynamics*. [UTA](#), 2012.

### 2009-2013

[Emanuel Indrei](#).\* *Optimal transport, free boundary regularity, and stability results for geometric and functional inequalities*. [UTA](#), 2013.

### 2010-2013

[Diego Marcon Farias](#): *Weak KAM and Aubry-Mather theories in an optimal switching setting*. [Instituto Superior Técnico](#) (Lisbon) and [UTA](#), 2013. Coadvised with [Diogo Aguiar Gomes](#).

### 2011-2012

[Levon Nurbekyan](#): *Weak KAM theory on the d-infinite dimensional torus*. [Instituto Superior Técnico](#) (Lisbon), 2012. Coadvised with [Diogo Aguiar Gomes](#).

### 2011-2016

[Rohit Jain](#): MSC 35: *Partial differential equations*. [UTA](#), 2016. Coadvised with [Luis Caffarelli](#).\*

### 2012-2015

[Maria Colombo](#).\* MSC 49: *Calculus of variations and optimal control*. [UTA](#) and [SNSP](#), 2015. Coadvised with [Luigi Ambrosio](#).\*

### 2013-2017

[Robin Neumayer](#).\* MSC 49: *Calculus of variations and optimal control*. [UTA](#), 2017. Coadvised with [Francesco Maggi](#).\*

### 2013-2017

[Javier Morales](#): MSC 49: *Calculus of variations and optimal control*. [UTA](#), 2017.

### 2014-2018

[Yash Jhaveri](#).\* *Regularity Results for Generated Jacobian Equations*. [UTA](#) and [ETH Zürich](#), 2018.

### 2015----

[Xavier Fernández-Real](#).\* [UTA](#) and [ETH Zürich](#).

### 2018----

[Federico Glaudo](#), [ETH Zürich](#).

2019---- [Federico Franceschini](#), [ETH Zürich](#).

## Postdocs

### 2010-2011

[Clayton Bjorland](#). [UTA](#). Coadvised with [Luis Caffarelli](#).\*

### 2012

[Filippo Cagnetti](#). [UTA](#). Coadvised with [Luis Caffarelli](#).\*

### 2013

[Shibing Chen](#). [MSRI](#).

### 2014-2016

[Xavier Ros-Oton](#).\* [UTA](#).

### 2014-2016

[Begoña Barrios](#).\* [UTA](#).

### 2015-2016

[Brian Krummel](#). [UTA](#). Coadvised with [Francesco Maggi](#).\*

### 2016-2018

[Connor Mooney](#).\* [UTA](#) and [ETH Zurich](#).

### 2016-2018

[Joaquim Serra](#).\* [ETH Zurich](#).

### 2018----

[Hardy Chan](#), [ETH Zurich](#).

### 2019----

[Yi Zhang](#), [ETH Zurich](#).

\* Included in [Main collaborators](#) (poster #1)

### Do you have any specific plans for the FIM's future?

I want to make sure that everyone inside the mathematics community at ETH and the University of Zurich feels that the FIM represents every aspect of mathematics.

Interview with the new FIM Director Alessio Figalli  
FIM, D-MATH News, 1.9.2019

## Prizes

**2006-2007** Benedetto Sciarra Prize of the Scuola Normale Superiore of Pisa

**2008** Giuseppe Borgia Prize of the Accademia Nazionale dei Lincei  
Carlo Miranda Prize of the Accademia di Scienze Fisiche e Matematiche of Naples

**2009-2010** Harrington Faculty Fellowship

**2010** Gioacchino Iapichino Prize of the Accademia Nazionale dei Lincei.  
Anile Prize of the Associazione Angelo Marcello Anile and the Consorzio Catania Ricerche.

**2011-2012** Peccot-Vimont Prize and Cours Peccot of the Collège de France.

**2012 EMS Prize (6ecm, Kraków, Poland)**

*For his outstanding contributions to the regularity theory of optimal transport maps, to quantitative geometric and functional inequalities and to partial solutions of the Mather and Mané conjectures in the theory of dynamical systems.*



**2015** Stampacchia Gold Medal of the Italian Mathematical Union

**2017** Feltrinelli Prize of Accademia Nazionale dei Lincei.



**2018 Fields Medal**  
(ICM-2018, Rio de Janeiro, Brazil)

*For contributions to the theory of optimal transport, and its applications in partial differential equations, metric geometry, and probability.*



Laudatio: "Figalli's work is of the highest quality in terms of originality, innovation and impact both on mathematics per se as well as on its applications. [He is] bound to be one of the most influential mathematicians of his generation." (Luis Caffarelli).

**2019** Gili Agostinelli Prize of the Accademia delle Scienze di Torino.

## Awards

**2017** O'Donnell Award in Science of The Academy for Medicine, Engineering, & Science of Texas (TAMEST)

**2018** Doctorate *Honoris Causa* of the Université Côte d'Azur  
Knight of the Order of Merit of the Italian Republic

## Academies

**2017** Fellow and Honorary Member of the European Academy of Sciences

**2018** Foreign Member of the Royal Spanish Academy of Sciences

**2019** Foreign Member of the Academy of Sciences of Bologna  
Member of Academia Europaea

## Grants

**2010-2013**

*Analytical and geometrical problems in calculus of variations and partial differential equations* (NSF Grant DMS-0969962)

**2013-2018**

*Regularity and stability results in variational problems*  
(NSF Grant DMS-1262411)

**2014-2017**

*Vectorial and geometric problems in the calculus of variations*  
(NSF Grant DMS-1361122. FRG, Collaborative Research)

**2017-2022**

*Regularity and Stability in Partial Differential Equations*  
(ERC Grant)

## Selected invited lectures

**2009/06** Bourbaki seminar (IHP, Paris, France)

**2009-2010** Salomon Bochner Lectures in Mathematics  
(Houston, TX, USA)

**2012/07** European Congress of Mathematics (6ecm, Kraków, Poland)

**2013/06** INdAM Day (Palermo, Italy)

**2013/12** SIAM Conference on Analysis of Partial Differential Equations\*  
(Lake Buena Vista, Florida, USA)

**2014/04** AMS Spring Central Regional Meeting\*  
(Texas Tech University, Lubbock, TX, USA)

**2014/05** 1st Nirenberg Lectures in Geometric Analysis  
(CRM, Montreal, Canada)

**2014/07** XV International Conference on Hyperbolic Problems\*  
(Rio de Janeiro, Brazil)

**2014/09** International Congress of Mathematicians (Seoul, Korea)

**2014/Fall** *Nachdiplom-Vorlesungen* (ETH Zürich, Zurich, Switzerland)

**2015/03** Thomas Wolff Memorial Lectures in Mathematics  
(Caltech, Pasadena, CA, USA)

**2015/09** XX Congress of the Italian Mathematical Union\* (Siena, Italy)

**2016/11** Leonardo Da Vinci Lectures (Milan, Italy)

**2017/06** 23rd Rolf Nevanlinna Colloquium  
(ETH Zürich, Zurich, Switzerland)

**2018/02** Harold J. Gay Lecture (Worcester, MA, USA)

**2018/05** Rouse Ball Lecture (University of Cambridge, Cambridge, UK)

**2018/06** Bourbaki seminar (IHP, Paris, France)

**2018/08** International Congress of Mathematicians\*  
(Rio de Janeiro, Brazil):  
*Regularity of interfaces in phase transitions via obstacle problems*

**2018/10** John von Neumann Lecture  
(Münster University, Münster, Germany)

**2019/02** Joseph D'Atri Memorial Lectures  
(Rutgers University, New Brunswick, NJ, USA)

**PIMS-UBC Math Distinguished Colloquium**  
(University of British Columbia, Vancouver, Canada)

**2019/07** Equadiff 2019\* (Leiden, Netherlands)

**2019/09** Dynamics, Equations and Applications (DEA 2019)\*  
(Krakow, Poland)

\* Plenary speaker

## Childhood

**AF** was born in Rome in 1984. His father, **Gennaro Figalli**, was a professor of engineering. His mother, **Giuseppina Carola**, was a high school professor of Latin and Greek.



**AF** at five in the **Padre Lais** school.  
Courtesy of **Alessio Figalli**.

“As a kid Figalli liked to play soccer, watch cartoons, and hang out with his friends — and, he recalls, he always made the rational decision to get his homework done first, so that he could fully enjoy himself. ‘For me it was always a balance between how good a grade I could get and how much time I had to spend to get such a grade,’ he said. ‘I was always an optimizer, I wanted the best for the least effort.’” [Q]

“Figalli liked math from an early age. He regarded it as an easy subject, something he was good at without having to work hard, and it took him a while to pursue the subject with any zeal.” [Q]

## Youth

“I liked mathematics as a kid —because it was easy for me. I was thinking about becoming an engineer. Then I had to decide at the age of 13 which high school I would like to attend. There are many different types in Italy, but the main ones that prepare you for university are the science and humanist grammar schools. You learn Greek and Latin, philosophy and so on. I chose this direction. In Italy there is always the idea that the humanistic Gymnasium offers the broadest education and that with this training you can study afterwards whatever you want.” [Z]

In his third year in high school, **Antonio Corbo**, a mathematician working in the same university as his father, suggested **AF** to participate in the **Mathematical Olympiad**. This led him to the realization that there were mathematics problems whose solution required inventiveness, and his aptness and joy in solving them was a moving revelation.

“At the Mathematics Olympiad, I met other teens who loved math. All these kids really wanted to study at the Scuola Normale Superiore in Pisa, which offers a high level of education. Anyone who manages to win one of the coveted scholarships does not have to pay anything. Living, eating, studying —this is all free. I wanted that too.” [Z]

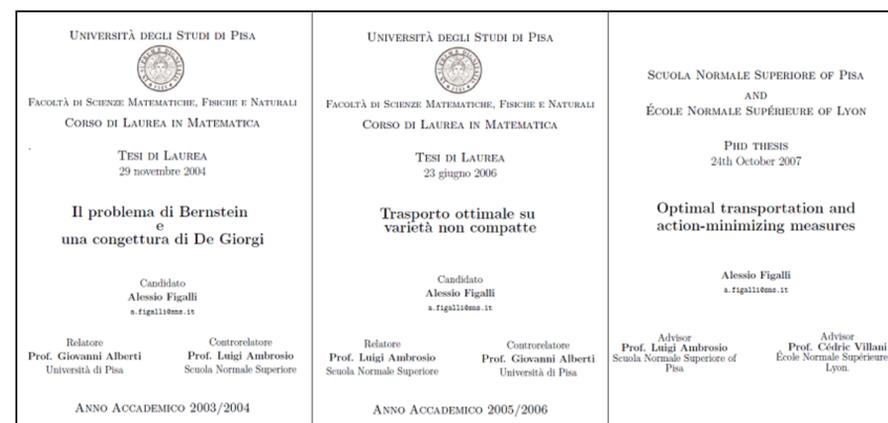
“I crammed on mathematics and physics on my own and succeeded in the entrance exam. The first year at the Scuola Normale was tough. I did not even know how to calculate a derivative, while my colleagues were much further ahead than myself; they had already gone over partial derivatives

in the first lesson. But in the second year my distance to my fellow students became much smaller.” [Z]

“He learned quickly and caught up to his peers within a year. At the start of his second year he began to work on a highly technical paper that **Luigi Ambrosio** had recently written. **Ambrosio** expected that the novice student would struggle to get anywhere with it.” [Q]

But “Alessio came to me less than one week after and I realized he understood everything.” This step set **AF** course on mathematical research: one year later he completed his undergraduate degree and in the next three years he earned the Master’s and PhD degrees, all with full honors.

“**Alessio** is unbelievably quick. Quick on the essential issues, and quick on isolating an important point” (**David Jerison**, MIT).



## Maturity

As witnessed in the preceding posters, **AF**’s work in the decade after his landmark PhD is astounding on all counts. His leading role in the global mathematical landscape has increased steadily along the years, with a conspicuous boost after receiving the Fields Medal.

One of the effects of this prominence is the solicitation by the media for all sorts of interactions. We end by quoting a few thoughts culled from the interview [Z].



Fields Medallists 2918: **AF** (ETH Zürich), **Peter Scholze** (Universität Bonn), **Akshay Venkatesh** (IAS), **Caucher Birkar** (University of Cambridge).

*What is the most important tool for your work? The computer or rather paper and pencil?*

Paper and pencil! My research is done on paper. Of course, computers are practical because they allow us to immediately read the publications of other researchers and facilitate communication. But when I really think about a problem, I turn off the computer. Then I can concentrate better.

*When and where do you get the best ideas?*

That’s a long process. You spend hours, days, months with the problem you want to solve. Sometimes you are lucky, and things move quickly forward, but most of the time you get stuck somewhere. Some of my key ideas came to mind when I came back to my desk late at night after having a beer with friends. But sometimes it just takes many hours at the desk.

*What do you do to escape the pressure of not getting stuck?*

I think every mathematician has his own strategies to deal with it. Mine is to work on several projects at the same time. So I can put away what’s just not working, and come back to it later. The beauty of the brain is that it works in the background. For example, I found the solution for one of my most important works in 2012 [regularity for solutions of the Monge–Ampère equation]. But I started thinking about the problem in 2005. Had I been working on just that one problem in the seven years in between, I would probably be crazy by now —and I would not have a job because I could not produce any scientific results. Instead, I have dedicated myself to many other problems in between and have always come back to this one.

[Q] **Kevin Hartnet**: “A Traveller Who Finds Stability in the Natural World”. *Quanta Magazine*, August 1st, 2018.

[Z] **Neue Zürcher Zeitung** interview by **Helga Rietz**, 1.8.2018.

## Acknowledgements

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**JAUME FRANCH BULLICH**  
**SEBASTIÀ XAMBÓ-DESCAMPS**